

DISSIPATIONS, DERIVATIONS, DYNAMICAL SYSTEMS, AND ASYMPTOTIC ABELIANNES

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1. INTRODUCTION

Let $(\mathfrak{A}, G, \alpha)$ be a C^* -dynamical system where \mathfrak{A} is a simple C^* -algebra with identity and G is compact and abelian. Next let δ be a linear operator from the G -finite elements \mathfrak{A}_F of \mathfrak{A} into \mathfrak{A} . There have been many recent investigations of this situation with the extra assumption that δ commutes with α (see, for example, [1] and the references therein). The principal aim of these investigations was to characterize those δ which generate C_0 -groups of $*$ -automorphisms of \mathfrak{A} , or C_0 -semigroups of completely positive maps. In this paper we study the same questions without the assumption that δ and α commute. Instead we assume that \mathfrak{A} is asymptotically abelian with respect to an automorphism τ and that α and δ commute with τ . Somewhat surprisingly this latter assumption leads to similar but even stronger conclusions. (Related results have previously been given by Takesaki [8] and Longo and Peligrad [9].) For example if δ is a $*$ -derivation then δ automatically vanishes on the fixed point algebra \mathfrak{A}^α of α , δ is closable, and its closure $\bar{\delta}$ generates a group of $*$ -automorphisms β which commutes with α . Similarly if δ is a $*$ -dissipation for which $\delta(\mathfrak{A}^\alpha) = \{0\}$ then δ is closable and $\bar{\delta}$ generates a C_0 -semigroup of completely positive contractions β which commutes with α . In both cases β acts by multiplication on the spectral subspaces $\mathfrak{A}^\alpha(\gamma)$ of \mathfrak{A} , i.e.,

$$\beta_\tau(x) = e^{-i\varphi(\gamma)}x, \quad x \in \mathfrak{A}^\alpha(\gamma).$$

If δ is a $*$ -derivation then $i\varphi(\gamma) = \lambda(\gamma)$ is a homomorphism of \hat{G} , the dual of G , into \mathbf{R} . If δ is a $*$ -dissipation then φ is a negative definite function.

The key point in deriving these results is the observation that asymptotic abelianness defines a natural topology on the multiple tensor products of \mathfrak{A} with itself. This structure is analyzed in Section 2 and is exploited in Section 3 to obtain the generator results. In Section 3 we also examine almost periodic actions α of the real line \mathbf{R} in place of the action α of G .

2. ASYMPTOTICALLY ABELIAN SYSTEMS

In this section we consider a C^* -algebra \mathfrak{A} which is asymptotically abelian with respect to an automorphism τ . By this we mean

$$\lim_{n \rightarrow \infty} \|[x, \tau^n(y)]\| = 0$$

for all pairs $x, y \in \mathfrak{A}$. This concept expresses a certain independence of x and $\tau^n(y)$ for large values of n . Another expression of independence is through a tensor product structure and our aim is to relate these two notions. Specifically we show that asymptotic abelianness defines a natural topology on the algebraic tensor products $\mathfrak{A}_2 = \mathfrak{A} \odot \mathfrak{A}$, $\mathfrak{A}_3 = \mathfrak{A} \odot \mathfrak{A} \odot \mathfrak{A}$, etc. of \mathfrak{A} with itself. This topology is introduced by a family of C^* -crossnorms which are defined in the following proposition. Note that it is not essential for this result that \mathfrak{A} is simple and we discuss possible generalizations at the end of the section.

PROPOSITION 2.1. *Let \mathfrak{A} be a simple C^* -algebra with identity which is asymptotically abelian with respect to a $*$ -automorphism τ , i.e.,*

$$\lim_{n \rightarrow \infty} \|[x, \tau^n(y)]\| = 0, \quad x, y \in \mathfrak{A}.$$

For each $k = 1, 2, 3, \dots$ there exists a C^ -norm $\|\cdot\|_k$ on the algebraic tensor product $\mathfrak{A}_k = \mathfrak{A} \odot \mathfrak{A} \odot \dots \odot \mathfrak{A}$ of k copies of \mathfrak{A} such that*

$$\|\sum x_i^{(1)} \otimes x_i^{(2)} \otimes \dots \otimes x_i^{(k)}\|_k = \limsup_{|n| \rightarrow \infty} \|\sum \tau^{n_1}(x_i^{(1)}) \tau^{n_2}(x_i^{(2)}) \dots \tau^{n_k}(x_i^{(k)})\|$$

for any $\sum x_i^{(1)} \otimes x_i^{(2)} \otimes \dots \otimes x_i^{(k)}$ in \mathfrak{A}_k where $|n| = \min\{|n_i - n_j|; i \neq j\}$. Moreover if $x \in \mathfrak{A}_k$ and $y \in \mathfrak{A}_l$ one has the cross-norm property

$$\|x \otimes y\|_{k+l} = \|x\|_k \|y\|_l.$$

Proof. We begin by arguing that $\|\cdot\|_k$ defines a C^* -seminorm. First define, for each k -tuple $n = (n_1, \dots, n_k)$ of positive integers, a map T_n from the direct product of k copies of \mathfrak{A} into \mathfrak{A} by

$$T_n(x^{(1)}, x^{(2)}, \dots, x^{(k)}) = \tau^{n_1}(x^{(1)}) \tau^{n_2}(x^{(2)}) \dots \tau^{n_k}(x^{(k)}).$$

Since T_n is linear in each coordinate it extends to a linear map, also denoted by T_n , from \mathfrak{A}_k into \mathfrak{A} . Thus $a \in \mathfrak{A}_k \mapsto \|T_n a\|$ defines a seminorm on the linear space \mathfrak{A}_k . Since $\|T_n a\|$ is uniformly bounded in n , for each $a \in \mathfrak{A}_k$ one concludes that

$$\|a\|_k = \limsup_{|n| \rightarrow \infty} \|T_n a\|$$

also defines a seminorm on the linear space. Next we examine the algebraic properties of the norm.

If $a = \sum x_i^{(1)} \otimes \dots \otimes x_i^{(k)}$ then $\|T_n a^* - (T_n a)^*\|$ is bounded by a finite linear combination of terms

$$\prod_{\substack{p=1 \\ p \neq q, r}}^k \|x_i^{(p)}\| (\|[\tau^n a(x_i^{(q)}), \tau^n r(x_i^{(r)})]\|).$$

Hence $\|T_n a^* - (T_n a)^*\| \rightarrow 0$ as $|n| \rightarrow \infty$ and

$$\|a^*\|_k = \|a\|_k.$$

Similarly for $a, b \in \mathfrak{A}_k$ one finds that $\|(T_n ab) - (T_n a)(T_n b)\| \rightarrow 0$ as $|n| \rightarrow \infty$ and consequently

$$\|ab\|_k \leq \|a\|_k \|b\|_k.$$

But since

$$(T_n a^* a) - (T_n a)^*(T_n a) = (T_n a^* a) - (T_n a^*)(T_n a) + ((T_n a^*) - (T_n a)^*)(T_n a)$$

one concludes from the previous observations that $\|(T_n a^* a) - (T_n a)^*(T_n a)\| \rightarrow 0$ as $|n| \rightarrow \infty$ and hence

$$\|a^* a\|_k = \|a\|_k^2.$$

This completes the proof that $\|\cdot\|_k$ is a C^* -seminorm.

The proof that $\|\cdot\|_k$ is in fact a C^* -norm depends upon the crossnorm property. The crucial step in deriving this latter property is the following.

LEMMA 2.2. For each pair $x, y \in \mathfrak{A}$

$$\lim_{n \rightarrow \infty} \|x \tau^n(y)\| = \|x\| \|y\|.$$

Proof. Since

$$\|x^* x \tau^n(y y^*)\| \leq \|x\| \cdot \|x \tau^n(y)\| \cdot \|y\| \leq \|x^* x\| \|y y^*\|$$

it suffices to prove the statement for positive $x, y \in \mathfrak{A}$.

Let $x, y \in \mathfrak{A}$ be positive with $\|x\| = 1 = \|y\|$ and assume there is a positive $a \in \mathfrak{A}$ with $\|a\| = 1$ such that $ax = a$. Since \mathfrak{A} is simple and has an identity $\mathbf{1}$ there exist $b_1, b_2, \dots, b_m \in \mathfrak{A}$ such that

$$z = \sum_{i=1}^m b_i^* a b_i \geq \mathbf{1}.$$

Setting $c_i = a^{1/2} b_i z^{-1/2}$ one has $x c_i = c_i$ and

$$\sum_{i=1}^m c_i^* c_i = \mathbf{1}.$$

But

$$\left\| \sum_{i=1}^m c_i^* \tau^n(y) c_i - \tau^n(y) \right\| \leq \sum_{i=1}^m \|c_i\| \cdot \|[\tau^n(y), c_i]\| \xrightarrow{n \rightarrow \infty} 0.$$

Moreover

$$\sum_{i=1}^m c_i^* \tau^n(y) c_i = \sum_{i=1}^m c_i^* x \tau^n(y) x c_i \leq \|x \tau^n(y) x\| \mathbf{1}.$$

Therefore

$$1 = \widetilde{\lim}_{n \rightarrow \infty} \left\| \sum_{i=1}^m c_i^* \tau^n(y) c_i \right\| \leq \widetilde{\lim}_{n \rightarrow \infty} \|x \tau^n(y) x\| \leq \lim_{n \rightarrow \infty} \|x \tau^n(y)\| \leq 1$$

where $\widetilde{\lim}$ denotes the limit over any convergent subsequence. Consequently

$$\lim_{n \rightarrow \infty} \|x \tau^n(y)\| = 1.$$

Finally for each $\varepsilon > 0$ one can choose a positive $x_1 \in \mathfrak{A}$ with $\|x_1\| = 1$ and a positive $a \in \mathfrak{A}$ such that $\|x - x_1\| < \varepsilon$ and $ax_1 = x_1$. Then

$$1 \geq \|x \tau^n(y)\| \geq \|x_1 \tau^n(y)\| - \varepsilon$$

and one deduces from the special case above that

$$\lim_{n \rightarrow \infty} \|x \tau^n(y)\| = 1.$$

Now we return to the proof of Proposition 2.1.

Let $a \in \mathfrak{A}_k$ and $b \in \mathfrak{A}_l$. Write $n = (n_1, \dots, n_{k+l})$ as $n = (n', n'')$ where $n' = (n_1, \dots, n_k)$ and $n'' = (n_{k+1}, \dots, n_{k+l})$. Then $T_n(a \otimes b) = T_{n'}(a)T_{n''}(b)$ and hence

$$\begin{aligned} \|a \otimes b\|_{k+l} &= \limsup_{|n| \rightarrow \infty} \|T_n(a \otimes b)\| \leq \\ &\leq \limsup_{|n'| \rightarrow \infty} \|T_{n'}(a)\| \limsup_{|n''| \rightarrow \infty} \|T_{n''}(b)\| = \|a\|_k \|b\|_l. \end{aligned}$$

But if one considers $k+l$ -tuples for which $n'' = (m + m_1, \dots, m + m_l)$ then $T_n(a \otimes b) = T_{n'}(a)\tau^m(T_{m'}(b))$ where $m' = (m_1, \dots, m_l)$. Therefore

$$\lim_{m \rightarrow \infty} \|T_n(a \otimes b)\| = \|T_{n'}(a)\| \|T_{m'}(b)\|$$

by Lemma 2.2. Hence

$$\begin{aligned} \|a \otimes b\|_{k+l} &= \limsup_{|n| \rightarrow \infty} \|T_n(a \otimes b)\| \geq \\ &\geq \limsup_{|n'|, |m'| \rightarrow \infty} \lim_{m \rightarrow \infty} \|T_n(a \otimes b)\| = \\ &= \limsup_{|n'|, |m'| \rightarrow \infty} \|T_{n'}(a)\| \|T_{m'}(b)\| = \|a\|_k \|b\|_l \end{aligned}$$

Combination of these two estimates establishes the general cross-norm property.

It remains to prove that the $\|\cdot\|_k$ are in fact norms. The proof is based upon the following observation.

LEMMA 2.3. *Let $\mathfrak{A}_i, i = 1, 2, \dots, k$ be C^* -algebras with identity and $\mathfrak{B} = \mathfrak{A}_1 \odot \odot \mathfrak{A}_2 \odot \dots \odot \mathfrak{A}_k$ the algebraic tensor product of the \mathfrak{A}_i . If α is a C^* -seminorm on \mathfrak{B} and $\mathfrak{I} = \{x \in \mathfrak{B}; \alpha(x) = 0\}$ then $\mathfrak{I} \neq \{0\}$ if, and only if, \mathfrak{I} contains a non-zero elementary product $x_1 \otimes x_2 \otimes \dots \otimes x_k$.*

Proof. First note that as α is a C^* -seminorm \mathfrak{I} is a two-sided ideal of \mathfrak{B} . Next assume that \mathfrak{I} is non-zero and let n_0 be the largest positive integer such that

$$\sum_{i=1}^n x_i^{(1)} \otimes \dots \otimes x_i^{(k)} \in \mathfrak{I} \setminus \{0\}$$

implies $n \geq n_0$. Then choose

$$a = \sum_{i=1}^{n_0} x_i^{(1)} \otimes \dots \otimes x_i^{(k)} \in \mathfrak{I} \setminus \{0\}.$$

In particular each term $x_i^{(1)} \otimes \dots \otimes x_i^{(k)} \neq 0$. Therefore, by multiplying with $x_1^{(1)} \otimes \dots \otimes x_1^{(k)*}$ if necessary, we may suppose that $x_i^{(j)} \geq 0$ for all j . Let $y \in \mathfrak{A}_j$ with $[y, x_1^{(j)}] = 0$ then setting $b = \mathbf{1} \otimes \dots \otimes y \otimes \dots \otimes \mathbf{1}$, with y in the j -th position, one has

$$[b, a] = \sum_{i=2}^{n_0} x_i^{(1)} \otimes \dots \otimes [y, x_i^{(j)}] \otimes \dots \otimes x_i^{(n)} \in \mathfrak{I}.$$

Hence each term of the sum must be zero, by definition of n_0 , i.e., $[y, x_i^{(j)}] = 0$ for $i = 2, \dots, n_0$. Thus the $x_i^{(j)}, i = 1, 2, \dots, n_0$, belong to a maximal abelian C^* -subalgebra \mathfrak{C}_j of \mathfrak{A}_j . Hence

$$\mathfrak{I} \cap \mathfrak{C}_1 \odot \dots \odot \mathfrak{C}_k \neq \{0\}.$$

Thus we have reduced the proof to the case that the \mathfrak{A}_i are abelian.

Let \mathfrak{D} be the completion of $\mathfrak{B}/\mathfrak{I}$ with respect to the α -seminorm and Π the canonical quotient map of \mathfrak{B} into \mathfrak{D} . If χ is a character of \mathfrak{D} then $x^{(j)} \in \mathfrak{A}_j \rightarrow \chi(\Pi(\mathbf{1} \otimes \dots \otimes x^{(j)} \otimes \dots \otimes \mathbf{1}))$ defines a character χ_j of \mathfrak{A}_j . Thus there is a map φ of the spectrum Ω of \mathfrak{D} into $\Omega_1 \times \dots \times \Omega_k$ where Ω_j is the spectrum of \mathfrak{A}_j . This map φ is continuous and hence $\varphi(\Omega)$ is compact.

If $\varphi(\Omega) = \Omega_1 \times \dots \times \Omega_k$ and

$$a = \sum_{i=1}^n x_i^{(1)} \otimes \dots \otimes x_i^{(k)} \in \mathfrak{I}$$

then $\Pi(a) = 0$ and $\chi(\Pi(a)) = 0$ for all characters χ . But then by a simple inductive argument beginning with $k = 2$ one deduces that $a = 0$ and hence $\mathfrak{J} = \{0\}$ which is a contradiction.

If, however, $\varphi(\Omega) \neq \Omega_1 \times \dots \times \Omega_k$ there are non-zero $x^{(j)} \in \mathfrak{A}_j$ such that $(\text{supp } x^{(1)} \times \text{supp } x^{(2)} \times \dots \times \text{supp } x^{(k)}) \cap \varphi(\Omega) = \emptyset$, i.e., $\chi(\Pi(x^{(1)} \otimes \dots \otimes x^{(k)})) = 0$ for all $\chi \in \Omega$. Thus $x^{(1)} \otimes \dots \otimes x^{(k)} \in \mathfrak{J}$.

REMARK. An alternative proof of this lemma can be based on Exercise 3 of Section IV.4 in [3].

Now let us return to the proof of Proposition 2.1.

Let \mathfrak{J}_k be the two-sided ideal of \mathfrak{A}_k associated with the C^* -seminorm $\|\cdot\|_k$, i.e.,

$$\mathfrak{J}_k = \{a \in \mathfrak{A}_k ; \|a\|_k = 0\}.$$

Then $\|\cdot\|_k$ is a norm if, and only if, $\mathfrak{J}_k = \{0\}$. But if $\mathfrak{J}_k \neq \{0\}$ there is a non-zero product $a = x^{(1)} \otimes \dots \otimes x^{(k)} \in \mathfrak{J}_k$ by Lemma 2.3. Then

$$0 = \|x^{(1)} \otimes \dots \otimes x^{(k)}\|_k = \|x^{(1)}\| \|x^{(2)} \otimes \dots \otimes x^{(k)}\|_{k-1} = \prod_{i=1}^k \|x^{(i)}\|$$

by iteration of the cross-norm property and the identification $\|\cdot\|_1 = \|\cdot\|$. Therefore $a = 0$, which is a contradiction, and hence $\|\cdot\|_k$ is a norm.

This completes the proof of Proposition 2.1.

For applications it is also essential to have continuity properties of product states. In the simplest case, $k = 2$, the continuity follows from the existence of a minimal C^* -norm on \mathfrak{A}_2 (see, for example, [2], Section 1.22 or [3], Section IV.4). But a similar reasoning is valid in the general case [7].

PROPOSITION 2.4. *Let $\mathfrak{B}_1, \mathfrak{B}_2, \dots, \mathfrak{B}_n$ be C^* -algebras and let α_0 be the C^* -tensor product norm on $\mathfrak{M}_n = \mathfrak{B}_1 \odot \mathfrak{B}_2 \odot \dots \odot \mathfrak{B}_n$ defined by*

$$\alpha_0(a) = \sup\|(\pi_1 \otimes \pi_2 \otimes \dots \otimes \pi_n)(a)\|$$

for $a \in \mathfrak{M}_n$ where π_i runs over all representations of \mathfrak{B}_i .

It follows that α_0 is the minimum C^ -norm among all C^* -norms α on \mathfrak{M}_n .*

The proof of this proposition for the case $n = 2$ can be found in [2], Theorem 1.22.6, or in [3], Theorem 4.19. The general case is covered by Li, [7], Theorem 1.7.

Note that if $\omega_1, \omega_2, \dots, \omega_n$ are states on $\mathfrak{B}_1, \mathfrak{B}_2, \dots, \mathfrak{B}_n$, then the product state $\omega_1 \otimes \omega_2 \otimes \dots \otimes \omega_n$ on \mathfrak{M}_n satisfies

$$|(\omega_1 \otimes \omega_2 \otimes \dots \otimes \omega_n)(a)| \leq \alpha_0(a) \leq \alpha(a).$$

The first inequality follows from the definition of α_0 and the second is valid for any C^* -norm α on \mathfrak{M}_n by the minimality of α_0 . Thus in the setting of Proposition 2.1 one has

$$|\omega^{\otimes k}(a)| \leq \|a\|_k$$

for all states ω on \mathfrak{A} and all $a \in \mathfrak{A}_k$. This will be used in the next section.

We conclude this section with three remarks on extensions of Proposition 2.1.

REMARKS 1. Simplicity of \mathfrak{A} is not essential in Proposition 2.1; it suffices that \mathfrak{A} is τ -simple. The only change in the proof occurs for Lemma 2.2 which must be replaced by

$$\limsup_{n \rightarrow \infty} \|x\tau^n(y)\| = \|x\| \cdot \|y\|.$$

This can be proved along the previous lines but an alternative proof is as follows. Let ω be an extremal τ -invariant state, choose $b^{(1)}, b^{(2)}, \dots, b^{(k)}$, such that $\omega(b^{(i)*}b^{(i)}) \neq 0$, and set $b = b^{(1)} \otimes b^{(2)} \otimes \dots \otimes b^{(k)}$. Then

$$(*) \quad |\omega((T_n b)^*(T_n a)(T_n b))| \leq \|T_n a\| \omega((T_n b)^*(T_n b))$$

for all $a \in \mathfrak{A}_k$. But since ω is extremal τ -invariant, and (\mathfrak{A}, τ) is asymptotic abelian, the mean value of

$$(**) \quad n \in \mathbf{Z}^k \mapsto \omega((T_n b)^*(T_n a)(T_n b))$$

exists and is given by $(\varphi^{(1)} \otimes \varphi^{(2)} \otimes \dots \otimes \varphi^{(k)})(a)$ where $\varphi^{(i)}$ is the linear functional over \mathfrak{A} defined by $\varphi^{(i)}(x) = \omega(b^{(i)*}x b^{(i)})$. Therefore it follows from (*) that

$$|(\omega^{(1)} \otimes \omega^{(2)} \otimes \dots \otimes \omega^{(k)})(a)| \leq \limsup_{|n| \rightarrow \infty} \|T_n a\|$$

where $\omega^{(i)}$ denotes the state over \mathfrak{A} defined by $\omega^{(i)}(x) = \varphi^{(i)}(x)/\varphi^{(i)}(\mathbf{1})$.

Now consider the case $k = 2$ with $a = x^*x \otimes y^*y$. One has

$$\omega^{(1)}(x^*x)\omega^{(2)}(y^*y) \leq \limsup_{|n| \rightarrow \infty} \|x^*x\tau^n(y^*y)\| \leq \limsup_{|n| \rightarrow \infty} \|x\tau^n(y)\|^2 \leq \|x\|^2 \|y\|^2.$$

But if \mathfrak{A} is τ -simple the $b^{(i)}$ can be chosen such that $\omega^{(1)}(x^*x) \simeq \|x\|^2$ and $\omega^{(2)}(y^*y) \simeq \|y\|^2$. Consequently

$$\limsup_{|n| \rightarrow \infty} \|x\tau^n(y)\| = \|x\| \cdot \|y\|.$$

Finally remark that by weak $*$ -approximation (see, for example, [6], Lemme 11.2.1) the above estimates give an independent proof that products of states $\omega_1, \omega_2, \dots, \omega_k$

over \mathfrak{A} satisfy the continuity property

$$|(\omega_1 \otimes \omega_2 \otimes \dots \otimes \omega_k)(a)| \leq \|a\|_k.$$

This proof does not involve the minimal C^* -norm.

2. If \mathfrak{A} is τ -simple and if in addition there exists a τ -invariant factor state ω over \mathfrak{A} the foregoing conclusion can be strengthened. In this case the function (**) converges pointwise as $|n| \rightarrow \infty$ (see, for example, [4], Example 4.3.24) and one deduces from (*) that

$$|(\omega^{(1)} \otimes \omega^{(2)} \otimes \dots \otimes \omega^{(k)})(a)| \leq \liminf_{|n| \rightarrow \infty} \|T_n a\|.$$

Therefore by specializing again to the case $k = 2$ with $a = x \otimes y$ and $x, y \geq 0$ one concludes that

$$\|x\| \cdot \|y\| \leq \liminf_{|n| \rightarrow \infty} \|x\tau^n(y)\| \leq \limsup_{|n| \rightarrow \infty} \|x\tau^n(y)\| \leq \|x\| \cdot \|y\|.$$

This estimate together with the first remark in the proof of Lemma 2.2 allows one to conclude that

$$\lim_{|n| \rightarrow \infty} \|x\tau^n(y)\| = \|x\| \cdot \|y\|,$$

i.e., Lemma 2.2 remains valid.

Finally a simple elaboration of the above argument gives the estimate

$$\frac{(\omega^{(1)} \otimes \omega^{(2)} \otimes \dots \otimes \omega^{(k)})(c^* a^* a c)}{(\omega^{(1)} \otimes \omega^{(2)} \otimes \dots \otimes \omega^{(k)})(c^* c)} \leq \liminf_{|n| \rightarrow \infty} \|T_n a\|^2$$

for all $c \in \mathfrak{A}_k$. Therefore

$$\alpha_k(a^* a) \leq \liminf_{|n| \rightarrow \infty} \|T_n a\|^2$$

where α_k denotes the minimal C^* -norm on \mathfrak{A}_k . Note that this estimate does not follow automatically from minimality of α_k because the limit inferior does not necessarily define a norm on \mathfrak{A}_k .

3. An alternative version of Proposition 2.1 can be proved assuming \mathfrak{A} is H -simple where H is an amenable group of $*$ -automorphisms of which contains τ . Arguing as in Remark 1 above one finds that

$$\sup_{h \in H} \limsup_{|n| \rightarrow \infty} \|x\tau^n \circ h(y)\| = \|x\| \cdot \|y\|$$

and then Proposition 2.1 is valid with the norm $\|\cdot\|_k$ on \mathfrak{A}_k defined by

$$\|\sum x_i^{(1)} \otimes \dots \otimes x_i^{(k)}\|_k = \sup_{h_i \in H} \limsup_{|n| \rightarrow \infty} \|\sum \tau^{n_1} \circ h_1(x_i^{(1)}) \dots \tau^{n_k} \circ h_k(x_i^{(k)})\|.$$

3. GENERATION THEOREMS

After these preliminaries on asymptotic abelianness we turn to the discussion of derivations and dissipations. The following theorem concerns the action α of a compact abelian group G as $*$ -automorphisms of the C^* -algebra \mathfrak{A} . Since G is compact its dual \hat{G} is discrete and we use $\mathfrak{A}^\alpha(\gamma)$ to denote the spectral subspaces of α corresponding to $\gamma \in \hat{G}$, i.e.,

$$\mathfrak{A}^\alpha(\gamma) = \{x; \alpha_g(x) = (\gamma, g)x, g \in G\}.$$

We also use \mathfrak{A}^α to denote the fixed point algebra $\mathfrak{A}^\alpha(0)$.

THEOREM 3.1. *Let \mathfrak{A} be a simple C^* -algebra with identity which is asymptotically abelian with respect to a $*$ -automorphism τ and let α be a continuous action of a compact abelian group G as $*$ -automorphisms of \mathfrak{A} which satisfies $\alpha_g \circ \tau = \tau \circ \alpha_g$, $g \in G$. Further let D be a τ -invariant dense $*$ -subalgebra such that $\mathfrak{A}^\alpha \subset D$ and D is the linear span of the subspaces $D \cap \mathfrak{A}^\alpha(\gamma)$, $\gamma \in \hat{G}$. Finally let $\delta : D \rightarrow \mathfrak{A}$ be a linear operator satisfying $\delta(x^*) = \delta(x)^*$ and $\delta \circ \tau(x) = \tau \circ \delta(x)$ for all $x \in D$.*

The following conditions are equivalent:

1. δ is a derivation,
2. δ is closable and its closure $\bar{\delta}$ generates a C_0 -group β of $*$ -automorphisms of \mathfrak{A} .

If these conditions are satisfied then there exists a homomorphism λ of \hat{G} into \mathbf{R} such that $\delta(x) = i\lambda(\gamma)x$, for $x \in \mathfrak{A}^\alpha(\gamma)$, and β is a one-parameter subgroup of α_G .

If further $\delta(\mathfrak{A}^\alpha) = \{0\}$ then the following conditions are equivalent:

- 1'. δ is a dissipation, i.e., $\delta(x^*x) \leq x^*\delta(x) + \delta(x)^*x$ for all $x \in D$,
- 2'. δ is closable and its closure $\bar{\delta}$ generates a C_0 -semigroup β of completely positive contractions.

If these conditions are satisfied there exists a negative definite function φ on \hat{G} such that $\delta(x) = \varphi(\gamma)x$, for $x \in \mathfrak{A}^\alpha(\gamma)$, and a convolution semigroup μ_t on $C(G)$ such that

$$\beta_t(x) = \int d\mu_t(g)\alpha_g(x)$$

and

$$\mu_i(f) = \sum_{\gamma \in \hat{G}} e^{-i\varphi(\gamma)} \hat{f}(\gamma)$$

for all $f \in C_0(G)$.

Proof. First consider the derivation statement. The implication $2 \Rightarrow 1$ is standard and we concentrate on the converse $1 \Rightarrow 2$. Since $D(\delta) \supset \mathfrak{A}^\alpha$ it follows that $\delta|_{\mathfrak{A}^\alpha}$ is bounded (see, for example, [4], Corollary 3.2.23). Next since \mathfrak{A}^α is τ -invariant it follows that

$$\|\delta(\tau^{n_1}(u_1)\tau^{n_2}(u_2) \dots \tau^{n_k}(u_k))\| \leq \|\delta|_{\mathfrak{A}^\alpha}\|$$

for any unitaries $u_j \in \mathfrak{A}^\alpha$. Therefore in the limit $|n| \rightarrow \infty$

$$\left\| \sum_{i=1}^k u_1 \otimes \dots \otimes \delta(u_i) \otimes \dots \otimes u_k \right\|_k \leq \|\delta|_{\mathfrak{A}^\alpha}\|$$

and hence

$$\left\| \sum_{i=1}^k \mathbf{1} \otimes \dots \otimes u_i^* \delta(u_i) \otimes \dots \otimes \mathbf{1} \right\|_k \leq \|\delta|_{\mathfrak{A}^\alpha}\|.$$

Therefore setting $u_1 = u_2 = \dots = u_k = u$ one finds

$$k |\omega(u^* \delta(u))| \leq \|\delta|_{\mathfrak{A}^\alpha}\|$$

for any k and any state ω of \mathfrak{A} , by the remark following Proposition 2.4. Consequently $\omega(u^* \delta(u)) = 0$ for any state ω of \mathfrak{A} , which implies $u = 0$. But any element of \mathfrak{A}^α can be written as a linear combination of four unitaries and hence $\delta|_{\mathfrak{A}^\alpha} = 0$.

Now if $x, y \in \mathfrak{A}^\alpha(\gamma) \cap D$ then $x\tau^n(y^*) \in \mathfrak{A}^\alpha$ and

$$\|\delta(x\tau^n(y^*))\| = 0 = \|\delta(x)\tau^n(y^*) + x\tau^n(\delta(y^*))\|.$$

Therefore in the limit $n \rightarrow \infty$ one finds

$$(*) \quad \|\delta(x) \otimes y^* + x \otimes \delta(y^*)\|_2 = 0.$$

It readily follows that

$$\delta(x) = i\lambda(\gamma)x$$

for all $x \in \mathfrak{A}^\alpha(\gamma) \cap D$ where $\lambda(\gamma) \in \mathbf{R}$.

Next for non-zero $x \in \mathfrak{A}^\alpha(\gamma_1) \cap D$ and $y \in \mathfrak{A}^\alpha(\gamma_2) \cap D$ one has $x\tau^n(y) \in \mathfrak{A}^\alpha(\gamma_1 + \gamma_2) \cap D$. But since $\|x\tau^n(y)\| \rightarrow \|x\|\|y\|$ as $n \rightarrow \infty$ the element $x\tau^n(y)$ is non-zero for large n . Therefore $\lambda(\gamma_1 + \gamma_2) = \lambda(\gamma_1) + \lambda(\gamma_2)$ by the derivation property of δ . Thus λ is a group homomorphism of \hat{G} into \mathbf{R} .

For each $t \in \mathbb{R}$ let $g(t)$ be the function on \hat{G} defined by $g(t)(\gamma) = \exp\{it\lambda(\gamma)\}$ for $\gamma \in \hat{G}$. Then $t \mapsto g(t)$ is a continuous group of characters of \hat{G} and hence it is a continuous one-parameter subgroup of G . Let $\beta_t = \alpha_{g(t)}$ and δ_β the generator of β . It follows that $\delta = \delta_\beta$ on D . But D is β -invariant and hence a core for δ_β . Thus δ is closable and $\overline{\delta} = \delta_\beta$. This completes the proof of the derivation statement.

Now consider the dissipation statement. Since a completely positive semigroup β is strongly positive, i.e.,

$$\beta_t(x^*x) \geq \beta_t(x)^*\beta_t(x),$$

its generator is a dissipation. Hence $2' \Rightarrow 1'$ and it remains to prove the converse.

$1' \Rightarrow 2'$. Let $x_i \in \mathfrak{A}^\alpha(\gamma) \cap D$ be non-zero. Then $x_1\tau^{n_2}(x_2^*) \in \mathfrak{A}^\alpha$ and $\tau^{n_2}(x_2^*)\tau^{n_3}(x_3) \in \mathfrak{A}^\alpha$ and

$$\delta(x_1\tau^{n_2}(x_2^*)\tau^{n_3}(x_3)) = x_1\tau^{n_2}(x_2^*)\tau^{n_3}(\delta(x_3)) = \delta(x_1)\tau^{n_2}(x_2^*)\tau^{n_3}(x_3)$$

by Lemma 1.1 of [1]. Therefore, by taking a limit $|n| \rightarrow \infty$, one concludes that

$$x_1 \otimes x_2^* \otimes \delta(x_3) = \delta(x_1) \otimes x_2^* \otimes x_3,$$

i.e.,

$$x_1 \otimes \delta(x_3) = \delta(x_1) \otimes x_3.$$

It immediately follows that

$$\delta(x) = \varphi(\gamma)x$$

for all $x \in \mathfrak{A}^\alpha(\gamma) \cap D$ where $\varphi(\gamma) \in \mathbb{C}$.

Next for $x_i \in \mathfrak{A}^\alpha(\gamma_i) \cap D, i = 1, 2, \dots, k$ set $x = \sum x_i$ then

$$\delta(x^*)x + x^*\delta(x) - \delta(x^*x) = \sum_{i,j=1}^k M_{ij}x_i^*x_j \geq 0$$

where $M = \{M_{ij}\}$ is the matrix with coefficients

$$M_{ij} = \overline{\varphi(\gamma_i)} + \varphi(\gamma_j) - \varphi(\gamma_j - \gamma_i).$$

This demonstrates that φ satisfies \mathfrak{A} -valued inequalities analogous to the \mathbb{C} -valued inequalities which characterize negative definite functions. Our next aim is to prove that the former imply the latter and then the existence of the convolution semigroup μ follows from the standard results of harmonic analysis [5]. Similar arguments have been used in [1] and [10].

LEMMA 3.2. *Adopt the assumptions of Proposition 3.1. If $a_n = \sum \tau^{n_1}(x_1^1) \tau^{n_2}(x_1^2) \dots \dots \tau^{n_k}(x_1^k) \geq 0$ for all (large) $n = (n_1, n_2, \dots, n_k)$ then $a = \sum x_1^1 \otimes x_1^2 \otimes \dots \otimes x_1^k \geq 0$.*

Proof. Define T_n as in the proof of Proposition 2.1. Then

$$T_n(a - a^*) = T_n a - T_n a^* = (T_n a)^* - T_n a^*$$

converges to zero as $|n| \rightarrow \infty$. Thus $a = a^*$ and hence a is positive if, and only if,

$$\left\| \mathbf{1} - \frac{a}{\|a\|_k} \right\| \leq 1.$$

But since $T_n a$ is positive

$$\left\| \mathbf{1} - \frac{T_n a}{\|T_n a\|} \right\| \leq 1$$

and the desired result follows by taking an appropriate limit.

Now if one replaces x_i by $\tau^{n_i}(x_i)$ in the inequality preceding the lemma one obtains

$$\sum_{i, j=1}^k M_{ij} \tau^{n_i}(x_i)^* \tau^{n_j}(x_j) \geq 0.$$

Hence it follows from Lemma 3.2 that

$$(*) \quad \sum_{i, j=1}^k M_{ij} X_i^* X_j \geq 0$$

in \mathfrak{A}_k where $X_i = \mathbf{1} \otimes \mathbf{1} \otimes \dots \otimes x_i \otimes \dots \otimes \mathbf{1}$ with the x_i occurring in the i -th position.

Now if $y_i \in \mathfrak{A}^\alpha(y_i) \cap D$ is non-zero one can choose states ω_i over \mathfrak{A} such that $\omega_i(y_i) \neq 0$. Then if $\lambda_i \in \mathbb{C}$ and one sets $x_i = \lambda_i y_i / \omega_i(y_i)$ and evaluates (*) in the state $\omega_1 \otimes \omega_2 \otimes \dots \otimes \omega_k$ on \mathfrak{A}_k one obtains

$$\sum_{i, j=1}^k M_{ij} \bar{\lambda}_i \lambda_j + \sum_{i=1}^k M_{ii} |\lambda_i|^2 \left(\frac{\omega_i(y_i^* y_i)}{|\omega_i(y_i)|^2} - 1 \right) \geq 0.$$

Therefore

$$\sum_{i, j=1}^k M_{ij} \bar{\lambda}_i \lambda_j \geq 0$$

by application of the subsequent lemma. But this last family of inequalities is equivalent to negative-definiteness of φ .

LEMMA 3.3. *Let y be a non-zero element of the C^* -algebra \mathfrak{A} . If $0 < \varepsilon < 1$ there exists a state ω over \mathfrak{A} such that*

$$0 \leq 1 - \frac{|\omega(y)|^2}{\omega(y^*y)} \leq \varepsilon.$$

Proof. The positivity follows from the Schwarz inequality for any state ω . To obtain the other estimate let U_y denote the set

$$U_y = \{z \in \mathbf{C}; (\mathbf{1} - zy) \text{ is invertible}\}.$$

Since $(\mathbf{1} - zy)$ is invertible for $|z|$ sufficiently small it follows by a standard argument that U_y is an open subset of \mathbf{C} . We first claim that $z \in U_y \mapsto \|(\mathbf{1} - zy)^{-1}\|$ is unbounded. If $U_y = \mathbf{C}$ this is the case since $z \in U_y \mapsto (\mathbf{1} - zy)^{-1}$ is analytic and non-constant. To deduce this when $U_y \in \mathbf{C}$ suppose the contrary and then choose $z_n \in U_y$ converging to a $z \notin U_y$. Thus $(\mathbf{1} - z_n y)$ converges to $(\mathbf{1} - zy)$. But since

$$(\mathbf{1} - z_n y)^{-1} - (\mathbf{1} - z_m y)^{-1} = (\mathbf{1} - z_n y)^{-1}(z_n - z_m)y(\mathbf{1} - z_m y)^{-1}$$

it follows from the boundedness hypothesis that $(\mathbf{1} - z_n y)^{-1}$ also converges to a limit R_z as $n \rightarrow \infty$. Then R_z must be the inverse of $(\mathbf{1} - zy)$ which gives the contradiction $Z \in U_y$.

Next choose $z \in U_y$ such that $\|(\mathbf{1} - zy)^{-1}\|^{-2} = \varepsilon$ and a state ω such that

$$\omega((\mathbf{1} - zy)^*(\mathbf{1} - zy)) = \|(\mathbf{1} - zy)^{-1}\|^{-2}.$$

Then

$$1 - 2\operatorname{Re} z\omega(y) + |z|^2\omega(y^*y) = \varepsilon.$$

Now if $\omega(y^*y) = 0$ then $\omega(y) = 0$, by the Schwarz inequality, and one has the contradiction $\varepsilon = 1$. Therefore $\omega(y^*y) \neq 0$ and

$$\begin{aligned} 0 &\leq 1 - \frac{|\omega(y)|^2}{\omega(y^*y)} \leq 1 - \frac{(\operatorname{Re} z\omega(y))^2}{|z|^2\omega(y^*y)} \leq \\ &\leq 1 - \frac{(\operatorname{Re} z\omega(y))^2}{|z|^2\omega(y^*y)} + \left(\frac{\operatorname{Re} z\omega(y)}{|z|\omega(y^*y)^{1/2}} - |z|\omega(y^*y)^{1/2} \right)^2 = \varepsilon. \end{aligned}$$

Now we return to the proof of Theorem 3.1.

At this point we have established that the dissipation δ satisfies

$$\delta(x) = \varphi(y)x$$

for all $x \in \mathfrak{A}^\alpha(\gamma) \cap D$ where $\varphi(\gamma)$ is a negative definite function over \hat{G} . But since $\delta(\mathfrak{A}^\alpha) = \{0\}$ one also has $\varphi(0) = 0$. Therefore φ determines a convolution semi-group μ_t , of probability measures on G , such that

$$\mu_t(f) = \sum_{\gamma \in \hat{G}} e^{-t\varphi(\gamma)} \hat{f}(\gamma), \quad f \in C(G),$$

where \hat{f} denotes the Fourier transform of f [5]. Thus one can define a C_0 -semigroup β of contractions on \mathfrak{A} by

$$\beta_t(x) = \int d\mu_t(g) \alpha_g(x)$$

and if $x \in \mathfrak{A}^\alpha(\gamma) \cap D$ one has

$$\beta_t(x) = e^{-t\varphi(\gamma)}x.$$

It follows that $\delta = \delta_\beta$, the generator of β , on D . But D is β -invariant and hence a core for δ_β . Therefore δ is closable and $\bar{\delta} = \delta_\beta$.

Finally it follows from the definition of β in terms of the positive measures μ_t and the action α that the β_t are completely positive, and consequently δ is a complete dissipation.

There is a result comparable to Theorem 3.1 for $G = \mathbf{R}$ if the action α is almost periodic and one makes an additional spectral assumption on $D(\delta)$.

THEOREM 3.4. *Let \mathfrak{A} be a simple C^* -algebra with identity which is asymptotically abelian with respect to a $*$ -automorphism τ and let α be an almost periodic, but non-periodic, action of \mathbf{R} as $*$ -automorphism of \mathfrak{A} which commutes with τ . Further let D be the linear span of the α -eigenelements and $\delta : D \rightarrow \mathfrak{A}$ a closable linear operator with closure $\bar{\delta}$ satisfying $\delta(x^*) = \delta(x)^*$ and $\bar{\delta} \circ \tau(x) = \tau \circ \delta(x)$ for all $x \in D$.*

The following conditions are equivalent:

1. δ is a derivation and $D(\bar{\delta}) \supseteq \mathfrak{A}^\alpha([-\varepsilon, \varepsilon])$ for some $\varepsilon > 0$,
2. there exists a $\lambda \in \mathbf{R}$ such that $\bar{\delta} = \lambda\delta_\alpha$ where δ_α is the generator of α .

Moreover the following conditions are equivalent:

- 1'. δ is a dissipation, $D(\bar{\delta}) \supseteq \mathfrak{A}^\alpha([-\varepsilon, \varepsilon])$ for some $\varepsilon > 0$, and

$$\lim_{\varepsilon \rightarrow 0} \|\bar{\delta}|_{\mathfrak{A}^\alpha([-\varepsilon, \varepsilon])}\| = 0,$$

- 2'. there exists a continuous negative definite function φ on \mathbf{R} such that $\varphi(0) = 0$ and $\delta(x) = \varphi(p)x$ for $x \in \mathfrak{A}^\alpha(\{p\})$.

If these conditions are satisfied $\bar{\delta}$ generates a C_0 -semigroup of completely positive contractions β and $D(\bar{\delta}) \supseteq \mathfrak{A}^\alpha(K)$ for any compact subset K of \mathbf{R} .

Proof. Let Γ be the set of α -eigenvalues. Since $\alpha \circ \tau = \tau \circ \alpha$ and τ is asymptotically abelian Γ forms a subgroup of \mathbf{R} . Since α is not periodic Γ is dense in \mathbf{R} . Now let G be the dual group of Γ , as a discrete group. For each $g \in G$ define a linear map α_g from D into D by

$$\alpha_g(x) = \langle g, p \rangle x, \quad x \in \mathfrak{A}^\alpha(\{p\}).$$

It follows that α_g extends to a *-automorphism of \mathfrak{A} , denoted again by α_g , and that $g \mapsto \alpha_g$ is a continuous action of G . Since G is compact we may now apply Theorem 3.1.

1 \Rightarrow 2. It follows from Theorem 3.1 and Condition 1 that $\delta(x) = i\lambda(p)x$ for $x \in \mathfrak{A}^\alpha(\{p\})$ where λ is a homomorphism of Γ into \mathbf{R} . Since λ is linear and bounded on $[-\varepsilon, \varepsilon]$ for some $\varepsilon > 0$ it must be continuous. Thus $\lambda(p) = \lambda p$ for some $\lambda \in \mathbf{R}$ and Condition 2 is satisfied.

2 \Rightarrow 1. This is obvious.

1' \Rightarrow 2'. The existence of a negative definite function φ on Γ such that $\delta(x) = \varphi(p)x$ for $x \in \mathfrak{A}^\alpha(\{p\})$ follows from Theorem 3.1. Obviously

$$\|\bar{\delta} | \mathfrak{A}^\alpha([-\varepsilon, \varepsilon])\| \geq \sup_{|p| \leq \varepsilon} |\varphi(p)|.$$

Thus Condition 1' implies that φ is continuous at the origin when Γ is regarded as a subset of \mathbf{R} . It then follows from the negative definiteness that φ is in fact locally uniformly continuous on Γ . (This can be deduced from the continuity properties of positive definite functions and Schoenberg's theorem [5]. A direct proof is given in the Appendix.) Therefore φ extends by continuity to a negative definite function on \mathbf{R} , also denoted by φ .

2' \Rightarrow 1'. It follows from the Levy-Khinchin formula [5], and the assumption that $\varphi(0) = 0$, that φ has a representation

$$\varphi(y) = ily + qy^2 + \int_{-\infty}^{\infty} d\mu(x) \left[1 - e^{ixy} - \frac{ixy}{1+x^2} \right] \frac{1+x^2}{x^2}$$

where $l \in \mathbf{R}$, $q \in \mathbf{R}_+$, and μ is a positive finite measure on $\mathbf{R} \setminus \{0\}$. Therefore one can define an operator $\varphi(\delta_\alpha)$ on D by

$$\varphi(\delta_\alpha) = -l\delta_\alpha + q\delta_\alpha^2 - \int_{-\infty}^{\infty} d\mu(x) \left[1 - e^{x\delta_\alpha} - \frac{x\delta_\alpha}{1+x^2} \right] \frac{1+x^2}{x^2}.$$

Then $\delta = \varphi(\delta_\alpha)$ on D and $\varphi(\delta_\alpha)$ generates a semigroup β as in Theorem 3.1. Again $\bar{\delta} = \varphi(\delta_\alpha)$. But if $\|\delta\|_K$ denotes the norm of δ_α restricted to $\mathfrak{A}^\alpha(K)$ then by splitting the foregoing integral into two parts, $|x| \leq T$ and $|x| \geq T$, one estimates that

$$\begin{aligned} \|\varphi(\delta_\alpha)|\mathfrak{A}^\alpha(K)\| &\leq |I| \cdot \|\delta\|_K + q\|\delta\|_K^2 + \\ &+ \mu(\mathbf{R})\{T\|\delta\|_K + (1 + T^2)\|\delta\|_K^2 e^{T\|\delta\|_K}\} + \mu(\{|x| \geq T\})\{2 + \|\delta\|_K/T\} \end{aligned}$$

for any $T > 0$. This establishes that $\mathfrak{A}^\alpha(K) \subseteq D(\bar{\delta})$ and it easily follows that

$$\lim_{\varepsilon \rightarrow 0} \|\bar{\delta}| \mathfrak{A}^\alpha([-\varepsilon, \varepsilon])\| = 0.$$

APPENDIX: NEGATIVE DEFINITE FUNCTIONS

In this appendix we give a direct proof of the continuity properties of negative definite functions used in the proof of Theorem 3.4.

Let Γ be a topological abelian group and φ a negative definite function on Γ . If φ is continuous at 0 then it is continuous on Γ . Moreover for any open neighbourhood U of 0 in Γ for which $\varphi|U$ is bounded φ is uniformly continuous on $U + p$ for any $p \in \Gamma$.

Proof. By definition $\varphi(0) \geq 0$, $\text{Re } \varphi(x) \geq \varphi(0)$, $\varphi(x) = \overline{\varphi(-x)}$, and

$$\begin{pmatrix} \varphi(0) & \varphi(0) & \varphi(0) \\ \varphi(0) & 2\text{Re } \varphi(x) - \varphi(0) & \varphi(x) + \overline{\varphi(y)} - \varphi(x - y) \\ \varphi(0) & \overline{\varphi(x)} + \varphi(y) - \varphi(y - x) & 2\text{Re } \varphi(y) - \varphi(0) \end{pmatrix}$$

is non-negative. Evaluating this matrix with the vector $(c_1, -c_1, c_2)$ one obtains

$$\begin{aligned} &2|c_1|^2(\text{Re } \varphi(x) - \varphi(0)) + |c_2|^2(2\text{Re } \varphi(y) - \varphi(0)) + \\ &+ 2\text{Re } \bar{c}_1 c_2(\varphi(0) - \varphi(x) - \overline{\varphi(y)} + \varphi(x - y)) \geq 0. \end{aligned}$$

Hence

$$|\varphi(0) - \varphi(x) - \overline{\varphi(y)} + \varphi(x - y)|^2 \leq 2(\text{Re } \varphi(x) - \varphi(0))(2\text{Re } \varphi(y) - \varphi(0)).$$

Replacing x by $y - z$ and using the triangle inequality one then finds

$$|\varphi(y) - \varphi(z)| \leq 2|\varphi(y - z) - \varphi(0)|^{1/2}(2\text{Re } \varphi(y) - \varphi(0))^{1/2} + |\varphi(y - z) - \varphi(0)|$$

which implies the continuity of φ at y . Moreover if $\varphi|U$ is bounded then it also follows that $\varphi|U + y$ is bounded and φ is uniformly continuous on $U + y$.

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