

## K-THEORY FOR ACTIONS OF THE CIRCLE GROUP ON $C^*$ -ALGEBRAS

WILLIAM L. PASCHKE

### 0. INTRODUCTION

M. Pimsner and D. Voiculescu [12] (see also [5], [3]) have recently obtained the following cyclic exact sequence for the  $K$ -groups of a  $C^*$ -algebra  $A$  and its crossed product  $B$  by a single automorphism  $\theta$ :

$$(1) \quad \dots \rightarrow K_j(A) \xrightarrow{\theta_* - \text{id}} K_j(A) \xrightarrow{i_*} K_j(B) \rightarrow K_{j-1}(A) \xrightarrow{\theta_* - \text{id}} \dots \quad (j=0,1).$$

Our project here is to extend this important result to the situation in which  $B$  is a  $C^*$ -algebra equipped with an action  $\rho$  of the circle group whose spectral subspaces are “large” (see §2), and  $A$  is the fixed-point algebra for  $\rho$ . (The prototypical case is when  $B = C^*(A, \theta)$  and  $\rho$  is the action dual to  $\theta$  as in [15].) In this more general setting, with the additional technical assumption that  $B$  has a strictly positive element, we show that there is an exact sequence of the form (1), where  $\theta$  is a certain automorphism of  $A \otimes K$ . (Here,  $K$  is, as usual, the  $C^*$ -algebra of compact operators on separable infinite-dimensional Hilbert space.) The automorphism  $\theta$  is not, of course, unique. One way to concoct a suitable  $\theta$  is via the spectral subspace  $E_1 = \{x \in B: \rho_\lambda(x) = \lambda x \quad \forall \lambda\}$ , which we assume to be large in the sense that  $E_1^* E_1$  and  $E_1 E_1^*$  are dense in  $A$ . We may use [2, Thm. 3.4] to associate to  $E_1^*$  (viewed as an  $A - A$  equivalence bimodule with the obvious left and right  $A$ -valued inner products) an automorphism of  $A \otimes K$  which turns out to have the right effect on  $K_*(A)$ . Another approach invokes Theorem 2 of [10] to see that  $A \otimes K$  is isomorphic to the crossed product of  $B \otimes K$  by  $\rho \otimes \text{id}_K$ , whereupon Takai’s duality theorem [15] gives  $B \otimes K$  as the crossed product of  $A \otimes K$  by the automorphism corresponding to the generator of the action dual to  $\rho \otimes \text{id}_K$ . These two constructions, which we show induce the same map on  $K_*(A)$ , are non-formulaic and involve several arbitrary choices. Computation of  $\theta_*: K_*(A) \rightarrow K_*(A)$  thus becomes a problem in specific examples. We determine  $\theta_*$  when  $B$  is unital in as explicit a fashion as may be

hoped for, and as a consequence obtain the sequence

$$\dots \rightarrow K_j(A) \xrightarrow{\sigma_* - \text{id}} K_j(A) \xrightarrow{i_*} K_j(C^*(A, S)) \rightarrow K_{1-j}(A) \xrightarrow{\sigma_* - \text{id}} \dots \quad (j = 0, 1)$$

for the algebras treated in [11].

The paper is organized as follows. In § 1 we put on record a proof of the fact that the inclusion map of  $C^*$ -subalgebra  $C$  into bigger  $C^*$ -algebra  $D$  induces an isomorphism on  $K$ -theory when  $C$  is full hereditary in  $D$  and both have strictly positive elements. This is crucial for some of the subsequent manipulations. Section 2 deals with consequences of the assumption that  $\rho$  has large spectral subspaces, including the two methods mentioned above for obtaining automorphisms of  $A \otimes K$ . The  $K$ -theoretic results discussed in the previous paragraph are proved in § 3, and applied in § 4 to crossed products by endomorphisms. In particular, we show in this last section that for any countable subgroup  $H$  of  $\mathbf{R}$ , there is a unital, infinite, simple  $C^*$ -algebra  $A$  such that  $K_0(A) \approx H$ .

The  $K$ -theory used here is that developed in [16, §§ 5–10]. The arguments given there are valid for non-commutative as well as for commutative Banach algebras. We follow the standard practice of using projections (resp. unitaries) in place of arbitrary idempotents (resp. invertibles) when working with  $C^*$ -algebras; this changes nothing ([9, Thms. 2, 6, 27], [4]) and is sometimes useful in small ways. Two important features of  $K$ -theory for  $C^*$ -algebras are stability ( $K_*(A) \approx K_*(A \otimes K)$  via the map on  $K_*$  induced by  $a \mapsto a \otimes e_{11}$ ) and periodicity ( $K_j(A) \approx K_{1-j}(A \otimes \otimes C_0(\mathbf{R}))$ ,  $j = 0, 1$ ). We will use square brackets to denote equivalence classes in  $K_*$ .

## 1. FULL HEREDITARY SUBALGEBRAS

Let  $D$  be a  $C^*$ -algebra. A  $C^*$ -subalgebra  $C$  of  $D$  is said to be *full* if it is contained in no proper closed ideal of  $D$ , and *hereditary* if  $0 \leq h \leq k$ ,  $k \in C$  implies  $h \in C$ . When both these conditions are met (and  $C$  and  $D$  both have strictly positive elements), L. G. Brown [1] has shown that  $C \otimes K$  and  $D \otimes K$  are isomorphic, and furthermore that the inclusion  $i: C \rightarrow D$  induces an isomorphism on  $\text{Ext}$  (for separable  $D$ ). We will need the  $K$ -theoretic analog of this latter result, a special case of which is proved in [13]. The following lemma will be useful in this connection and later on.

**1.1. LEMMA.** *Let  $B$  be a Banach algebra. If  $x$  and  $y$  in  $B$  are such that  $1 - xy$  is invertible in  $B^+$  (the algebra obtained by adjoining a unit to  $B$ , if necessary), then  $[1 - xy] = [1 - yx]$  in  $K_1(B)$ .*

*Proof.* We have

$$\begin{pmatrix} 1 - xy & 0 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & -x \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & x \\ y & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ -y & 1 \end{pmatrix},$$

Shrinking the off-diagonal entries to zero in the two outer factors connects them through invertibles to the identity, and conjugating the middle factor by a path of invertibles from the identity to  $e_{21} + e_{12}$  joins this factor to the matrix obtained from it by interchanging  $x$  and  $y$ , which in turn can be joined to  $(1 - yx) \oplus 1$ .

**1.2. PROPOSITION.** *Let  $C$  be a full hereditary  $C^*$ -subalgebra of a  $C^*$ -algebra  $D$  and assume that each of  $C$  and  $D$  has a strictly positive element. The map  $i_*: K_*(C) \rightarrow K_*(D)$  induced by the inclusion of  $C$  into  $D$  is an isomorphism.*

*Proof.* As in [1], we first consider the case in which  $C$  is a full corner of  $D$ , so there is a projection  $q$  in the multiplier algebra  $M(D)$  such that  $C = qDq$ . By [1, Lemma 2.5], there is a multiplier  $v$  in  $M(D \otimes K)$  such that  $v^*v = 1$  and  $vv^* = q \otimes 1$ . The map  $x \rightarrow v^*xv$  is an isomorphism of  $C \otimes K$  with  $D \otimes K$ . It will suffice to show that this map has the same effect on  $K_*(C)$  as the inclusion map. To see this for  $K_1$ , let  $u$  be a unitary in  $(C \otimes K)^+ \otimes M_n$ , so  $u = w + W$ , where  $w \in C \otimes K \otimes M_n$  and  $W$  is a unitary in  $M_n$ . Since  $K \otimes M_n \approx K$  and the unitary group of  $M_n$  is connected, there is no loss of generality in assuming that  $n = 1$  and  $u = w + 1$ . Since  $w$  is normal, we can factor  $w$  as  $w = ab$ , where  $a, b \in C^*(w)$ . With  $x = bv$  and  $y = v^*a$ , we have  $x, y \in D \otimes K$  (since  $v$  is a multiplier of  $D \otimes K$ ),  $xy = w$  (since  $a$  and  $b$  are commuting elements of  $C \otimes K$  and  $vv^* = q \otimes 1$ ), and  $yx = v^*wv$ . Lemma 1.1 now shows that  $[u] = [v^*wv + 1]$ , so  $i_*: K_1(C) \rightarrow K_1(D)$  is an isomorphism. Tensoring  $C$  and  $D$  with  $C_0(\mathbb{R})$  and using periodicity [16, § 9] gives the same result for  $K_0$ . The general case now follows by the argument used to prove [1, Thm. 2.10].

## 2. FIXED-POINT ALGEBRAS AND CROSSED PRODUCTS

In this section  $B$  will be a  $C^*$ -algebra equipped with a (point-norm) continuous action  $\rho$  of a compact abelian group  $G$ . For a character  $\chi$  in the dual group  $\hat{G}$ , we set  $E_\chi = \{b \in B: \rho_s(b) = \chi(s)b \ \forall s \in G\}$ . Letting  $A$  denote the fixed-point algebra for  $\rho$ , we say that  $\rho$  has *large spectral subspaces* if  $\overline{E_\chi^* E_\chi} = A$  for each  $\chi$  in  $\hat{G}$ . (By  $E_\chi^* E_\chi$  we mean the linear span of  $\{x^*y: x, y \in E_\chi\}$ .) Recall that the crossed product  $C^*(B, \rho)$  of  $B$  by  $\rho$  is the completion in the greatest  $C^*$ -norm of  $L^1(G, B)$ , the space of norm-integrable functions from  $G$  to  $B$ , considered as a  $*$ -algebra with multiplication and involution defined by

$$(2) \quad (fg)(s) = \int_G f(t) \rho_t(g(s-t)) \, dt$$

$$(2)' \quad f^*(s) = \rho_s(f(-s)^*),$$

$dt$  being Haar measure on  $G$ . Define  $j: A \rightarrow L^1(G, B) \subseteq C^*(B, \rho)$  by  $j(a)(s) = a$  ( $s$  in  $G$ ). It is immediate that  $j$  is a  $*$ -monomorphism.

The next proposition probably comes as no surprise to crossed product specialists. It will be used in the proof of Theorem 3.2.

2.1. PROPOSITION. *If  $\rho$  has large spectral subspaces, then  $j(A)$  is a full corner of  $C^*(B, \rho)$ .*

*Proof.* That  $j(A)$  is a corner of  $C^*(B, \rho)$  is shown in [14]. It remains to show that  $j(A)$  is contained in no proper closed ideal of  $C^*(B, \rho)$ . Let  $L$  be a closed ideal containing  $j(A)$ . Given  $\chi, \psi$  in  $\hat{G}$ , let  $x \in E_{\chi\bar{\psi}}$  and  $y \in E_\psi$ , and define  $f$  and  $g$  in  $L^1(G, B)$  by  $f(s) = x$ ,  $g(s) = \psi(s)y$  ( $s$  in  $G$ ). An easy computation using (2) shows that  $(fj(a)g)(s) = \psi(s)xay$  for any  $a$  in  $A$ . Because  $\rho$  has large spectral subspaces, the linear span of the products  $xay$  is dense in  $E_\chi$ . (Using an approximate unit for  $A$ , we have  $E_\chi = \overline{AE_\chi} = \overline{E_{\chi\bar{\psi}}^* E_{\chi\bar{\psi}} E_\chi} \subseteq \overline{E_{\chi\bar{\psi}} E_\psi} \subseteq E_\chi$ .) Hence every  $h$  in  $L^1(G, B)$  of the form  $h(s) = H(s)w$ , where  $H \in C(G)$  and  $w \in E_\chi$ , belongs to  $L$ . The  $E_\chi$ 's taken together span a dense subspace of  $B$  (since for any  $\varphi$  in  $B^*$  annihilating all the  $E_\chi$ 's, the Fourier coefficients of  $s \rightarrow \varphi(\rho_s(b))$  vanish for every  $b$  in  $B$ ), so we may now argue as in the proof of [11, Thm. 2] to conclude that  $L = C^*(B, \rho)$ .

2.2. REMARK. If  $G$  is separable,  $\rho$  has large spectral subspaces, and  $B$  has a strictly positive element, then [10, Thm. 2] shows that  $C^*(B, \rho) \otimes K$  and  $A \otimes K$  are isomorphic. (This also follows from [1, Cor. 2.6], 2.1 above, and the easy fact that  $C^*(B, \rho)$  has a strictly positive element under the given circumstances.) Let  $\hat{\rho}$  be the action of  $\hat{G}$  dual to  $\rho$  on  $C^*(B, \rho)$  (so  $(\hat{\rho}_\chi f)(s) = \chi(s)f(s)$  for  $f$  in  $L^1(G, B)$ ), and let  $\sigma$  be the action of  $\hat{G}$  on  $A \otimes K$  corresponding to  $\hat{\rho} \otimes \text{id}_K$  on  $C^*(B, \rho) \otimes K$ . The duality theorem in [15] now shows that  $B \otimes K$  is (isomorphic to) the crossed product of  $A \otimes K$  by  $\sigma$ .

Suppose that  $G = \mathbf{T}$ , the circle group. In this case there is a second, ostensibly different way to exhibit  $B \otimes K$  as the crossed product of  $A \otimes K$  by an action of  $\hat{G}$ , based on the treatment of Picard groups in [2, § 3]. If  $\rho$  has large spectral subspaces, then in particular we have  $\overline{E_1^* E_1} = A = \overline{E_1 E_1^*}$ . (It is easy to check that this condition is in fact equivalent to  $\rho$  having large spectral subspaces.) The two-sided  $A$ -module  $E_1^*$ , with left and right  $A$ -valued inner products  $\langle x, y \rangle_L = xy^*$  and  $\langle x, y \rangle_R = x^*y$ , is thus what [2] calls an  $A - A$  equivalence bimodule. The same holds for  $E_1^* \otimes K$  with respect to  $A \otimes K$ , so if  $A$  has a strictly positive element, [2, Thm. 3.4] yields an automorphism  $\theta$  of  $A \otimes K$  and a linear bijection  $F: A \otimes K \rightarrow E_1^* \otimes K$  satisfying

$$(3) \quad F(a_1)^* F(a_2) = \theta(a_1^* a_2),$$

$$(4) \quad F(a_1) \theta(a_2) = F(a_1 a_2),$$

$$(5) \quad F(a_1) F(a_2)^* = a_1 a_2^*,$$

$$(6) \quad a_1 F(a_2) = F(a_1 a_2),$$

for  $a_1, a_2$  in  $A \otimes K$ .

2.3. THEOREM. Let  $\rho$  be an action of  $\mathbf{T}$  on  $B$  with large spectral subspaces; and suppose that the fixed point algebra  $A$  has a strictly positive element. If  $\theta$  is an automorphism of  $A \otimes K$  arising from  $E_1^* \otimes K$  as in [2, Thm. 3.4], then  $B \otimes K$  is isomorphic to  $C^*(A \otimes K, \theta)$  in such a way that  $\rho \otimes \text{id}_K$  corresponds to the action  $\hat{\theta}$  of  $\mathbf{T}$  on  $C^*(A \otimes K, \theta)$  dual to  $\theta$ .

*Proof.* Replacing  $A$  and  $B$  by their tensor products with  $K$  and  $\rho$  by  $\rho \otimes \text{id}_K$ , we may assume that  $A$  and  $B$  are stable, that  $\theta$  is an automorphism of  $A$ , and that there is a linear bijection  $F: A \rightarrow E_1^*$  satisfying (3), (4), (5), (6). We define linear bijections  $F_{-k}: A \rightarrow E_k^* (= E_{-k})$  for  $k = 1, 2, \dots$  satisfying

$$(3)_{-k} \quad F_{-k}(a_1)^* F_{-k}(a_2) = \theta^k(a_1^* a_2),$$

$$(4)_{-k} \quad F_{-k}(a_1) \theta^k(a_2) = F_{-k}(a_1 a_2),$$

(5), (6) by induction as follows. Set  $F_{-1} = F$  and suppose that  $F_{-k}$  has been constructed and satisfies (3)<sub>-k</sub>, (4)<sub>-k</sub>, (5), (6). For  $a_1, \dots, a_n, b_1, \dots, b_n$  in  $A$  we have

$$\| \sum_i F_{-k}(a_i) F(\theta^k(b_i)) \|^2 = \| \sum_{i,j} F_{-k}(a_i) \theta^k(b_i b_j^*) F_{-k}(a_j)^* \| = \quad (\text{by (5)})$$

$$= \| \sum_{i,j} F_{-k}(a_i b_i b_j^*) F_{-k}(a_j)^* \| = \quad (\text{by (4)}_{-k})$$

$$= \| \sum_{i,j} a_i b_i b_j^* a_j^* \| = \quad (\text{by (5)})$$

$$= \| \sum_i a_i b_i \|^2,$$

so there is a well-defined linear isometry  $F_{-(k+1)}: A \rightarrow E_{k+1}^*$  satisfying

$$(7) \quad F_{-(k+1)}(ab) = F_{-k}(a) F(\theta^k(b))$$

for  $a, b$  in  $A$ . This map is surjective because  $\overline{E_k^* E_1^*} = E_{k+1}^*$ , and routine computations establish that  $F_{-(k+1)}$  satisfies (3)<sub>-k-1</sub>, (4)<sub>-k-1</sub>, (5), (6). For  $j = 1, 2, \dots$ , define  $F_j: A \rightarrow E_j$  by

$$(8) \quad F_j(a) = F_{-j}(\theta^{-j}(a^*))^*,$$

and let  $F_0: A \rightarrow E_0 (= A)$  be the identity map. We claim that

$$(9) \quad F_{m+n}(ab) = F_m(a) F_n(\theta^{-m}(b))$$

for  $a, b$  in  $A$  and all integers  $m, n$ . [For  $j, k \geq 0$ , we obtain  $F_{-k-j}(a_1 a_2 \dots a_j b) = F_{-k}(a_1) F_{-j}(\theta^k(a_2 \dots a_j b))$  by iterating (7)  $j$  times, so (9) holds for  $m, n \leq 0$ .

For  $k \geq j \geq 0$ , we have

$$F_{-k}(a_1 a_2) F_j(\theta^k(b)) = F_{j-k}(a_1) F_{-j}(\theta^{k-j}(a_2)) F_{-j}(\theta^{k-j}(b^*))^* = F_{j-k}(a_1 a_2 b)$$

using (8), (5), (4)<sub>j-k</sub>. Hence (9) holds for  $m \leq m+n \leq 0$ . The remaining cases can be checked using similar computations.] We are now in a position to define a \*-homomorphism  $\Delta: C^*(A, \theta) \rightarrow B$ . Let  $f: \mathbf{Z} \rightarrow A$  be a finitely supported function. (Multiplication and involution for such functions are defined by (2) and (2)', with  $\theta_n = \theta^n$  in place of  $\rho_\lambda$ , to give a \*-algebra whose enveloping  $C^*$ -algebra is  $C^*(A, \theta)$ ). Set  $\Delta(f) = \sum_n F_n(f(n))$ . By (8) (which holds for all integers  $j$ ) and (9),  $\Delta$  is a \*-homomorphism on the \*-algebra of finitely supported functions and hence extends to  $C^*(A, \theta)$ . Since the  $E_n$ 's span a dense subspace of  $B$ ,  $\Delta$  is surjective. We have  $\Delta(\hat{\theta}_\lambda(f)) = \rho_\lambda(\Delta(f))$  for finitely supported  $f$  and  $\lambda$  in  $\mathbf{T}$ , so  $\Delta\hat{\theta}_\lambda = \rho_\lambda\Delta$ . Integrating the action  $\hat{\theta}$  over  $\mathbf{T}$  gives a faithful conditional expectation of  $C^*(A, \theta)$  on the canonical copy of  $A$  that it contains. Notice that the restriction of  $\Delta$  to this copy of  $A$  is injective, merely identifying it with the copy of  $A$  in  $B$ , whence it follows that  $\Delta$  is injective

2.4. REMARK. The only positive functional on  $C^*(A, \theta)$  annihilating the canonical copy of  $A$  is the zero functional, so the hypotheses of 2.3 imply that  $B \otimes K$ , and hence  $B$ , has a strictly positive element.

### 3. K-THEORETIC RESULTS

3.1. THEOREM. *Let  $A$  be the fixed-point algebra for an action with large spectral subspaces of  $\mathbf{T}$  on a  $C^*$ -algebra  $B$ , and suppose that  $A$  has a strictly positive element. There is an automorphism  $\theta$  of  $A \otimes K$  such that, upon identifying  $K_*(A \otimes K)$  with  $K_*(A)$ , we have a cyclic exact sequence*

$$\dots \rightarrow K_j(A) \xrightarrow{\theta_* - \text{id}} K_j(A) \xrightarrow{i_*} K_j(B) \rightarrow K_{1-j}(A) \xrightarrow{\theta_* - \text{id}} \dots \quad (j = 0, 1).$$

*Proof.* Take  $\theta$  as in 2.3 or set  $\theta = \sigma_1$  as in 2.2. After also identifying  $K_*(B \otimes K)$  with  $K_*(B)$ , [12, Thm. 2.4] applies directly.

The next result shows that the automorphisms given by 2.2 and 2.3 have the same effect on  $K_*(A)$ .

3.2. THEOREM. *Let  $B$ ,  $\rho$ , and  $A$  be as in 3.1 and let  $\theta = \sigma_1$  as in 2.2. Let  $\alpha, \beta$  be \*-homomorphisms of  $A \otimes K$  into itself for which there exists a map  $g: A \otimes K \rightarrow E_1^* \otimes K$  satisfying  $g(a_1)^* g(a_2) = \alpha(a_1^* a_2)$  and  $g(a_1) g(a_2)^* = \beta(a_1 a_2^*)$ . Then  $\alpha_* = \theta_* \beta_*$ .*

*Proof.* Passing to  $A \otimes K$ ,  $B \otimes K$ , and  $\rho \otimes \text{id}_K$ , and identifying  $C^*(B \otimes K, \rho \otimes \text{id}_K)$  with  $C^*(B, \rho) \otimes K$ , we may assume that  $A$  and  $B$  are stable, so that the maps  $\alpha, \beta$ , and  $g$  are defined on  $A$ , with  $g$  mapping into  $E_1^*$ . Let  $\hat{\rho} = \hat{\rho}_1$ , the generat-

ing automorphism for the dual action on  $C^*(B, \rho)$  (so  $(\hat{\rho}F)(\lambda) = \lambda F(\lambda)$  for  $F$  in  $L^1(\mathbf{T}, B)$  and  $\lambda$  in  $\mathbf{T}$ ). With  $j$  as in 2.1, we have  $j_*\theta_* = \hat{\rho}_*j_*$  and hence  $\theta_* = j_*^{-1}\hat{\rho}_*j_*$ , since  $j_*$  is an isomorphism (by 1.2 and 2.1). We deal first with  $K_1$ . As in the proof of 1.2, it will suffice to consider unitaries in  $A^+$  of the form  $1 + w$ , where  $w \in A$ . We factor  $w$  as  $w = ab^*$ , where  $a$  and  $b$  belong to the commutative  $C^*$ -subalgebra of  $A$  generated by  $w$ , and define  $X, Y$  in  $L^1(\mathbf{T}, B)$  by  $X(\lambda) = g(a)$ ,  $Y(\lambda) = \lambda g(b)^*$ . Formula (2) gives  $(XY)(\lambda) = \lambda g(a)g(b)^* = \lambda \beta(w)$  and  $(YX)(\lambda) = g(b)^*g(a) = \alpha(w)$ . That is,  $XY = (\hat{\rho}j\beta)(w)$  and  $YX = (j\alpha)(w)$ , so  $\rho_*j_*\beta_*([1 + w]) = j_*\alpha_*([1 + w])$ . We compose with  $j_*^{-1}$  on the left to obtain  $\theta_*\beta_* = \alpha_*$  as maps on  $K_1(A)$ . Replacing  $A, B$ , and  $E_1^*$  by their tensor products with  $C_0(\mathbf{R})$ ,  $\alpha, \beta, g$ , and  $\rho$  by their tensor products with  $\text{id}_{C_0(\mathbf{R})}$ , and identifying  $C^*(B \otimes C_0(\mathbf{R}), \rho \otimes \text{id})$  with  $C^*(B, \rho) \otimes C_0(\mathbf{R})$  causes  $j$  and  $\hat{\rho}$  to be replaced by their tensor products with  $\text{id}_{C_0(\mathbf{R})}$ , so we have

$$(\hat{\rho} \otimes \text{id})_*(j \otimes \text{id})_*(\beta \otimes \text{id})_* = (j \otimes \text{id})_*(\alpha \otimes \text{id})_*$$

as maps from  $K_1(A \otimes C_0(\mathbf{R}))$  to  $K_1(C^*(B, \rho) \otimes C_0(\mathbf{R}))$ . By periodicity, this means that  $\hat{\rho}_*j_*\beta_* = j_*\alpha_*$  on  $K_0(A)$ , so  $\alpha_* = \theta_*\beta_*$  on  $K_0(A)$ .

We can use 3.2 to identify  $\theta_*$  more explicitly when  $B$  is unital. In this case, under the assumptions of 3.1, the dense ideal  $E_1^*E_1$  is all of  $A$  and as in the proof of [1, Prop 2.1] we can find  $x_1, \dots, x_n$  in  $E_1$  such that  $x_1^*x_1 + \dots + x_n^*x_n = 1$ . Consider the map  $\gamma: A \rightarrow A \otimes M_n$  defined by

$$\gamma(a) = \sum_{i,j} x_i a x_j^* \otimes e_{ij},$$

which is easily seen to be a  $*$ -monomorphism. (This map is used in the proof of [13, Prop. 2.4].)

3.3. PROPOSITION. *The maps  $\gamma$  and  $\theta$  induce the same map on  $K_*(A)$ .*

*Proof.* Define  $h: A \rightarrow E_1^* \otimes M_n$  and  $\delta: A \rightarrow A \otimes M_n$  by  $h(a) = \sum_j a x_j^* \otimes e_{1j}$  and  $\delta(a) = a \otimes e_{11}$ . We have  $h(a_1)h(a_2)^* = \delta(a_1 a_2^*)$  and  $h(a_1)^*h(a_2) = \gamma(a_1^* a_2)$  for  $a_1, a_2$  in  $A$ . Taking tensor products with  $K$  and identifying  $M_n \otimes K$  with  $K$ , we obtain from  $\gamma$  and  $\delta$ , respectively,  $*$ -endomorphisms  $\alpha$  and  $\beta$  of  $A \otimes K$ , and from  $h$  a map  $g: A \otimes K \rightarrow E_1^* \otimes K$ , such that  $\gamma_* = \alpha_*$ ,  $\beta_* = \text{id}$ ,  $g(a_1)^*g(a_2) = \alpha(a_1^* a_2)$  and  $g(a_1)g(a_2)^* = \beta(a_1 a_2^*)$ . The proof is completed by invoking 3.2.

#### 4. CROSSED PRODUCTS BY ENDOMORPHISMS

We consider the case in which  $B$  is the crossed product of  $A$  by a single endomorphism, more or less as in [11]. Specifically, let  $A$  be unital and suppose we have a  $*$ -isomorphism  $\sigma: A \rightarrow pAp$ , where  $p$  is a proper projection of  $A$ . By considering

the completion in the greatest  $C^*$ -norm of an appropriate  $*$ -algebra, we can find a faithful  $*$ -representation of  $A$  on a Hilbert space  $H$  and an isometry  $S$  of  $H$  satisfying  $SaS^* = \sigma(a)$  ( $a$  in  $A$ ) with the following universal property: given a  $*$ -representation  $\pi$  of  $A$  and an isometry  $R$  of the representation space satisfying  $R\pi(a)R^* = \pi(\sigma(a))$ , there is a  $*$ -homomorphism from  $C^*(A, S)$  to  $C^*(\pi(A), R)$  taking  $a$  to  $\pi(a)$  and  $S$  to  $R$ . We write  $C^*(A, \sigma)$  in place of  $C^*(A, S)$ , and call it the crossed product of  $A$  by  $\sigma$ . (It was shown in [11] that if  $A$  is strongly amenable and has no nontrivial  $\sigma$ -invariant ideals, then  $C^*(A, \sigma)$  is simple.) By the universal property, there is an action  $\rho$  of  $\mathbf{T}$  on  $C^*(A, \sigma)$  such that  $\rho_\lambda(a) = a$ ,  $\rho_\lambda(S) = \lambda S$  ( $a$  in  $A$ ,  $\lambda$  in  $\mathbf{T}$ ) whose fixed-point algebra is precisely  $A$ .

4.1. THEOREM. *Let  $A$  and  $\sigma$  be as above and assume that  $\sigma(A)$  is a full corner of  $A$ . Then there is a cyclic exact sequence*

$$\dots \rightarrow K_j(A) \xrightarrow{\sigma_* - \text{id}} K_j(A) \xrightarrow{i_*} K_j(C^*(A, \sigma)) \rightarrow K_{1-j}(A) \xrightarrow{\sigma_* - \text{id}} \dots \quad (j = 0, 1),$$

where  $\sigma$  is regarded as a map of  $A$  into itself.

*Proof.* For the action  $\rho$  of  $\mathbf{T}$  on  $C^*(A, \sigma)$ , we have  $S$  in  $E_1$ , so  $E_1 E_1^*$  contains  $SAS^* = \sigma(A)$  and hence  $E_1 E_1^* = A$ , since no proper ideal of  $A$  contains  $\sigma(A)$ . We have  $E_1^* E_1 = A$  because  $S^* S = 1$ . Now apply 3.3, with  $\gamma = \sigma$ , and 3.1.

4.2. COROLLARY. *Let  $A$  and  $\sigma$  be as in 4.1 and suppose in addition that  $A$  is an AF-algebra. Then  $K_0(C^*(A, \sigma)) \approx K_0(A)/\text{Im}(\sigma_* - \text{id})$  and  $K_1(C^*(A, \sigma)) \approx \ker(\sigma_* - \text{id})$ .*

*Proof.* Since  $K_1(A) = (0)$ , this follows immediately from 4.1.

We can use 4.2 to construct “ $0_n$ -like”  $C^*$ -algebras (separable, unital, nuclear, infinite, simple) with tailor-made  $K_0$  groups. Let  $G$  be a countable Riesz group (in the sense of [6]) with order unit  $u$ , and let  $\sigma_*$  be an order-automorphism of  $G$  such that  $\sigma_*(u) < u$  and  $G^+$  has no non-trivial  $\sigma_*$ -invariant faces. By [6, Thm. 2.2], there is a separable unital AF-algebra  $A$  with  $K_0(A)$  order-isomorphic to  $G$  in such a way that  $u$  corresponds to [1]. Moreover, application of [8, Thm. 4.3] (see [7] for the statement of this in terms of  $K_0$ ) yields a proper projection  $p$  of  $A$  and a  $*$ -isomorphism  $\sigma: A \rightarrow pAp$  which, when regarded as a map from  $A$  into itself, induces the given order-automorphism  $\sigma_*$  on  $G$ . Our requirement that  $G^+$  have no non-trivial  $\sigma_*$ -invariant faces means precisely that  $A$  has no non-trivial  $\sigma$ -invariant ideals. Under these circumstances,  $C^*(A, \sigma)$  has the “ $0_n$ -like” properties indicated above [11]. We have  $K_0(C^*(A, \sigma)) \approx G/\text{Im}(\sigma_* - \text{id})$ .

One can, for instance, choose  $G$  and  $\sigma_*$  such that  $G/\text{Im}(\sigma_* - \text{id})$  is isomorphic to any prescribed countable subgroup  $H$  of  $(\mathbf{R}, +)$ . The construction is as follows. Choose  $\lambda$  in  $(0, 1)$  such that  $\lambda$  is not a root of any non-zero polynomial with coefficients in  $H$ ; such a  $\lambda$  exists for the same reason that transcendental numbers exist.



Let  $G = \left\{ \sum_{-N}^N x_j \lambda^j : x_j \in H \text{ for } -N \leq j \leq N \right\}$ , so  $G$  is countable and totally ordered by the order inherited from  $\mathbf{R}$ . Let  $\sigma_*$  be multiplication by  $\lambda$ . Note that every non-zero element  $t$  in  $G^+$  is an order unit (so  $G^+$  has no non-trivial faces) and satisfies  $\sigma_*(t) < t$  (so we may pick  $u$  arbitrarily in  $G^+ \setminus \{0\}$ ). Deprived of its order,  $G$  is the (weak) direct sum of countably many copies of  $H$ , indexed by  $\mathbf{Z}$ , and  $\sigma_*$  is the shift. It is a routine matter to check that  $G/\text{Im}(\sigma_* - \text{id})$  is isomorphic to  $H$ .

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WILLIAM L. PASCHKE  
 Department of Mathematics,  
 University of Kansas,  
 Lawrence, KS 66045,  
 U.S.A.

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