

## COMPOSITION OPERATORS BETWEEN BERGMAN SPACES ON CONVEX DOMAINS IN $\mathbb{C}^n$

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**ABSTRACT.** We prove a Carleson measure theorem for the Bergman spaces associated with a strictly pseudoconvex domain in  $\mathbb{C}^n$ . We use the theorem to study composition operators between Bergman spaces associated with a strongly convex domain in  $\mathbb{C}^n$ .

**KEYWORDS:** *Bergman space, composition operators.*

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### 0. INTRODUCTION

If  $\Omega$  is a smoothly bounded simply connected domain in  $\mathbb{C}^1$ , then every holomorphic self-map of  $\Omega$  induces a bounded (linear) composition operator of the associated classical (i.e., Hardy or Bergman) function spaces into themselves. A similar situation does not occur in  $\mathbb{C}^n$  for  $n \geq 2$  ([4], [15] for example). Sufficient conditions are known for a holomorphic self-map of the ball in  $\mathbb{C}^n$  to induce a bounded composition operator on the associated Hardy or Bergman spaces ([13], [15]). Moreover, there are polynomial self-maps of the ball in  $\mathbb{C}^2$  which induce unbounded composition operators on the associated Hardy spaces ([4]).

It was shown by MacCluer and Mercer ([14]) that a holomorphic self-map of a bounded strongly convex domain  $\Omega \subset \mathbb{C}^n$  induces a bounded composition operator from the Hardy space  $H^p(\Omega)$  into the Bergman space  $A_{n-2}^p(\Omega)$ . (See Section 1 for precise definitions.) The proof of this result uses a well-known Carleson measure theorem of Hörmander ([5]) about  $H^p$  spaces associated with a strictly pseudoconvex domain in  $\mathbb{C}^n$ .

In Section 3 we show that in the same situation the induced composition operator maps the Bergman space  $A_\alpha^p(\Omega)$  into the Bergman space  $A_{\alpha+n-1}^p(\Omega)$  boundedly — a result which recovers the known situation when  $n = 1$ . Our proof uses a version of Hörmander’s theorem about Bergman spaces associated with a strictly pseudoconvex domain in  $\mathbb{C}^n$  (see Section 2) which we believe is of independent interest; it generalizes a result of Cima and Wogen ([3]) which studied Carleson measures on the ball.

1. NOTATION AND PREPARATORY LEMMAS

Let  $\Omega \Subset \mathbb{C}^n$  be a smoothly bounded strictly pseudoconvex domain, given by defining function  $\rho : \mathbb{C}^n \rightarrow \mathbb{R}$ . For  $\xi \in \partial\Omega$ , denote by  $T_\xi$  the complex tangent space to  $\partial\Omega$  at  $\xi$ . As in [5], we define

$$A_\Omega(\xi, t) = \left\{ z \in \Omega : \inf_{\substack{|w-\xi| < t^{1/2} \\ w \in T_\xi}} \text{dist}(z, w) < t \right\} \quad (t > 0),$$

and  $B_\Omega(\xi, t) = \overline{A_\Omega(\xi, t)} \cap \partial\Omega$ . We write simply  $A(\xi, t)$  or  $B(\xi, t)$  when the context is clear.

LEMMA 1. ([5]) (i) *If  $\phi : \Omega_1 \rightarrow \Omega_2$  is biholomorphic then the sets  $A_{\Omega_1}(\xi, t)$  and  $A_{\Omega_2}(\phi(\xi), t)$  are comparable, i.e., there are constants  $c_1, c_2 > 0$  such that*

$$A_{\Omega_1}(\xi, c_1 t) \subset A_{\Omega_2}(\phi(\xi), t) \subset A_{\Omega_1}(\xi, c_2 t) \quad \forall \xi \in \partial\Omega_1, t > 0 \text{ small.}$$

(ii) *We have  $\sigma(B(\xi, t)) \cong t^n$ , where  $d\sigma$  is surface measure on  $\partial\Omega$ .*

For  $\alpha \geq 0$  and  $dm = dm_n = \text{volume measure in } \mathbb{C}^n$ , we write  $d\mu_\alpha(z) = d\Omega_\alpha^\alpha(z)dm(z) = (\text{dist}(z, \partial\Omega))^\alpha dm(z)$ .

LEMMA 2. *For  $t > 0$  small, we have  $\mu_\alpha(A(\xi, t)) \cong t^{\alpha+n+1} \quad \forall \xi \in \partial\Omega$ .*

*Proof.* For  $s > 0$ , let  $\Omega_s = \{\rho + s < 0\} \subset \Omega$ . If  $s$  is sufficiently small, the projection  $\Pi : \partial\Omega_s \rightarrow \partial\Omega$  along inner normals to  $\partial\Omega$  is well defined. By Lemma 1(i), and Narasimhan’s Lemma ([6]),  $A(\xi, t)$  is comparable to  $Q(\xi, t) = \{z \in B_s(\xi, t) : 0 < s < t\}$ , where  $B_s(\xi, t) = B_{\Omega_s}(\Pi^{-1}(\xi) \cap \partial\Omega_s, t)$ .

Thus

$$\begin{aligned} \mu_\alpha(A(\xi, t)) &\cong \mu_\alpha(Q(\xi, t)) \cong \int_0^t \int_{B_s} d\Omega_\alpha^\alpha(z) d\sigma_{\Omega_s}(z) ds \cong \int_0^t s^\alpha \int_{B_s} d\sigma_{\Omega_s} ds \\ &\cong \int_0^t s^\alpha [(1 + O(s))t]^n ds \quad \text{by Lemma 1 (ii)} \\ &\cong t^{n+\alpha+1}. \end{aligned}$$

The constants are independent of  $\xi \in \partial\Omega$ . ■

Denote by  $k_\Omega$  the Kobayashi (pseudo-) distance (see [6]) on  $\Omega$ . For  $z \in \Omega$  and  $R > 0$ , let  $E(z, R) = \{w \in \Omega : k_\Omega(z, w) < R\}$ . We write  $E(z) = E(z, 1)$  and  $E^2(z) = \bigcup\{E(w) : E(w) \cap E(z) \neq \emptyset\}$ .

For  $z \in \Omega$  near  $\partial\Omega$ , denote by  $P_z(c_1 d_\Omega(z), c_2 \sqrt{d_\Omega(z)})$  the polydisk centered at  $z$  with radius  $c_1 d_\Omega(z)$  in the complex normal direction for  $z$ , and radii  $c_2 \sqrt{d_\Omega(z)}$  in the complex tangential directions for  $z$ .

LEMMA 3. ([7], [11]) *For  $z \in \Omega$  near  $\partial\Omega$ ,  $E(z, R)$  is comparable to the polydisk  $P_z(c_1 d_\Omega(z), c_2 \sqrt{d_\Omega(z)})$ , where  $c_1$  and  $c_2$  depend only on  $R$ . Thus  $m(E(z)) \cong d_\Omega^{n+1}(z)$ .*

For  $p > 0$  we define the (weighted) Bergman spaces associated with  $\Omega$ :

$$A_\alpha^p(\Omega) = \left\{ f \in H(\Omega) : \int_\Omega |f|^p d\mu_\alpha < +\infty \right\}.$$

2. THE MAIN RESULT

THEOREM 4. *Let  $\mu$  be a positive measure on  $\Omega$ . We have*

$$\mu(A(\xi, t)) \lesssim \mu_\alpha(A(\xi, t)) \iff \int_\Omega |f|^p d\mu \lesssim \int_\Omega |f|^p d\mu_\alpha \quad \forall f \in A_\alpha^p(\Omega).$$

*Proof of sufficiency.* According to [12] it is sufficient to check the following conditions:

- (i)  $\chi_{E(z)}(w)$  is measurable on  $\Omega \times \Omega$ .
- (ii)  $m(E^2(z)) \lesssim m(E(z))$ .
- (iii)  $d_\Omega^\alpha(u) \lesssim d_\Omega^\alpha(w)$  whenever  $u, w \in E(z)$ .
- (iv)  $|f(z)|^p \lesssim (m(E(z)))^{-1} \int_{E(z)} |f|^p dm$ .
- (v)  $\mu(E(z)) \lesssim \mu_\alpha(E(z))$ .

Condition (i) holds since  $k_\Omega$  is continuous on  $\Omega \times \Omega$ . Also,  $E^2(z) = E(z, 3)$ , and so (ii) holds by Lemma 3. Condition (iii) follows from the triangle inequality for  $k_\Omega$  and the following estimate ([1]): Fix  $z_0 \in \Omega$ . There are constants  $c_1, c_2 \in \mathbb{R}$  such that  $c_1 - \frac{1}{2} \log d_\Omega(z) \leq k_\Omega(z_0, z) \leq c_2 - \frac{1}{2} \log d_\Omega(z)$ . By the Cauchy formula on a polydisk, we have  $|f(z)|^p \lesssim (m(P_z))^{-1} \int_{P_z} |f|^p dm$ ; then (iv) follows from Lemma 3.

For condition (v), let  $z \in \Omega$  near  $\partial\Omega$ . Pick  $\xi \in \partial\Omega$  so that  $|\xi - z| = d_\Omega(z)$ . By Lemma 3,  $E(z) \subset A(\xi, t)$ , where  $t$  is proportional to  $d_\Omega(z)$ . By hypothesis we have  $\mu(E(z)) \leq \mu(A(\xi, t)) \lesssim \mu_\alpha(A(\xi, t))$ . Now by Lemma 2 and 3, we have  $m(A(\xi, t)) \cong t^{n+1} \cong d_\Omega^{n+1}(z) \cong m(E(z))$  and thus  $\mu_\alpha(A(\xi, t)) \lesssim \mu_\alpha(E(z))$  and we are done.

We remark that [11] uses Luecking's Theorem ([12]) in a similar fashion.

*Proof of necessity.* We first prove the assertion in case  $\Omega$  is in addition strongly convex; our proof is motivated by [5]. Fix  $\xi \in \partial\Omega$ . By Lemma 1 and [8], [9] we may assume that  $\xi = (1, 0, 0, \dots, 0)$  and that in a neighborhood  $U$  of  $\xi$ ,  $\Omega$  is given by  $x_1 > \varphi(x_2, \dots, x_N) - 1$  ( $x_1 = \operatorname{Re} z_1$ ,  $x_2 = \operatorname{Im} z_1, \dots, x_N = \operatorname{Im} z_n$  and  $N = 2n$ ), where  $\varphi$  is a positive definite quadratic form in  $\hat{x} = (x_2, \dots, x_N)$ , plus terms which are  $o(|\hat{x}|^2)$ . Moreover, we have

$$(*) \quad \xi \in \bar{\Omega} \text{ and } \xi_1 = 1 \Leftrightarrow \xi = (1, 0, \dots, 0)$$

(cf. Lempert's Theorem 5 in [14]).

For  $t > 0$  the function  $f_t(z) = (1 + t - z_1)^{-k}$  is holomorphic on  $\Omega$ ;  $k$  is a positive integer to be chosen. We assume that  $t$  is small, so that  $A(\xi, t) \subset U$ . Here we have  $A = A(\xi, t) = \{z \in \Omega : |1 - z_1| < t\}$ . Therefore

$$t^{-kp} \mu(A(\xi, t)) = t^{-kp} \int_A d\mu \lesssim \int_A |f_t|^p d\mu \lesssim \int_\Omega |f_t|^p d\mu_\alpha \text{ by hypothesis.}$$

Now

$$\begin{aligned} \int_\Omega |f_t|^p d\mu_\alpha &\lesssim C + \int_A |1 + t - z_1|^{-pk} d\mu_\alpha(z) \\ &\lesssim C + \int_0^t s^\alpha \int_{B_s} (|x_2| + t + |x_1 + 1|)^{-kp} d\sigma_{\Omega_s}(x) ds \\ &\lesssim C + \int_0^t s^\alpha \int_{B_s} (|x_2| + t + |\beta(s)\hat{x}|^2)^{-kp} d\sigma_{\Omega_s}(x) ds \\ &\lesssim C + \int_0^t s^\alpha \int (t + |\beta(s)\hat{x}|^2)^{1-kp} d\hat{x} ds \\ &\lesssim C + t^{n-kp} \int_0^t s^\alpha (1 + O(s)) ds \\ &\lesssim C + t^{n-kp} t^{\alpha+1} \end{aligned}$$

where  $\beta(s) = 1 + O(s)$ ,  $\hat{x} = (x_3, \dots, x_N)$ , and  $2(kp - 1) > 2n - 2$ , i.e.,  $kp > n$ . Then by Lemma 2 we have  $\mu(A(\xi, t)) \lesssim t^{\alpha+n+1} \cong \mu_\alpha(A(\xi, t))$ . The constants depend continuously on  $\xi \in \partial\Omega$ .

In case  $\Omega$  is merely strictly pseudoconvex, we may still assume (by Narasimhan's Lemma) that  $\Omega$  has the special form above in a neighborhood  $U$  of a boundary point — except that  $(*)$  may no longer hold. So from here we proceed as in [5]: we can define functions  $f_t$  holomorphic on  $\Omega$  analogous to those above, using a solution to the  $\bar{\partial}$  equation on a strictly pseudoconvex domain  $\tilde{\Omega} \supset \Omega$ . The well-known estimate ([6]):  $u \in C^1(\tilde{\Omega}) \Rightarrow$

$$\sup_{\Omega} |u| \lesssim \|u\|_{L^2(\tilde{\Omega})} + \|\bar{\partial}u\|_{L^\infty(\tilde{\Omega})}$$

plays an important role. The rest of the argument is the same as in the strongly convex case. ■

### 3. APPLICATION TO COMPOSITION OPERATORS

Throughout this section  $\Omega \Subset \mathbb{C}^n$  is smoothly bounded and strongly convex. Fix  $z_0 \in \Omega$ . For each  $x \in \partial\Omega$  there is a unique extremal map (with respect to  $k_\Omega$ )  $\varphi_x : \bar{\Delta} \rightarrow \bar{\Omega}$  such that  $\varphi_x(0) = z_0$ ,  $\varphi_x(1) = x$  ([8], [2]). There is also a map  $\Psi : \bar{\Omega} \rightarrow \bar{\mathbb{B}}$  (with  $\Psi(z_0) = 0$ ) called the spherical representation ([10]) which maps extremal disks  $\varphi_x(\Delta)$  onto slices through the origin.  $\Psi$  is a diffeomorphism away from  $z_0$ . The spherical representation and the estimate ([8], [1]):  $d_\Omega(\varphi(\lambda)) \cong 1 - |\lambda|$  for any extremal map  $\varphi : \Delta \rightarrow \Omega$  with  $\varphi(0) = z_0$  yield the following (cf. [14], Lemma 1):

LEMMA 5. *Let  $f \in L^1(\Omega)$ , with support away from a neighborhood of  $z_0$ . There is an  $\varepsilon > 0$  such that*

$$\int_{\Omega} f \, d\mu_\alpha \cong \int_{\varepsilon}^1 r^{2n-1} \int_{\partial\Omega} \int_0^{2\pi} f \circ \varphi_x(re^{i\theta}) \, d\theta \, d\sigma(x) (1-r)^\alpha \, dr.$$

Let  $\phi : \Omega \rightarrow \Omega$  be holomorphic. The composition operator  $C_\phi : H(\Omega) \rightarrow H(\Omega)$  induced by  $\phi$  is given by  $C_\phi f = f \circ \phi$ . Theorem 7 of [14] asserts that  $C_\phi : H^p(\Omega) \rightarrow A_{n-2}^p(\Omega)$  boundedly. We prove the following companion result.

THEOREM 6.  $C_\phi : A_\alpha^p(\Omega) \rightarrow A_{\alpha+n-1}^p(\Omega)$  boundedly.

*Proof.* The argument is similar to that in [14]; we omit some of the details. Let  $\phi(z_0) = w_0$ , and  $\gamma = \alpha + n - 1$ . By Theorem 4, Lemma 2, and change of variables, it suffices to show that  $\mu_\gamma \circ \phi^{-1}A(\xi, t) \lesssim t^{\alpha+n+1} \forall \xi \in \partial\Omega, t > 0$ . By Lemma 5 we have

$$\begin{aligned} \mu_\gamma \circ \phi^{-1}A(\xi, t) &\lesssim \int_0^1 r^{2n-1} \int_{\partial\Omega} \int_0^{2\pi} \chi_{\phi^{-1}(A)} \circ \varphi_x(re^{i\theta}) d\theta d\sigma(x) (1-r)^\gamma dr \\ &\lesssim \int_{\partial\Omega} \int_{\Delta} \chi_{\phi^{-1}(A)} \circ \varphi_x(\lambda) (1-|\lambda|)^\gamma dm_1(\lambda) d\sigma(x), \end{aligned}$$

where  $\Delta$  is the unit disk in  $\mathbf{C}^1$ . Now by [14], Lemma 6, the sets  $A(\xi, t)$  are comparable to the sets  $S(\xi, t) = \{z \in \Omega : |1 - \varphi_\xi^{-1} \circ p_\xi(z)| < t\}$ , where  $\varphi_\xi(0) = w_0$  and  $p_\xi : \Omega \rightarrow \Omega$  is the associated holomorphic retraction, i.e.,  $p_\xi \circ p_\xi = p_\xi$  and  $p_\xi \circ \varphi_\xi(\lambda) = \varphi_\xi(\lambda) \forall \lambda \in \Delta$  ([8], [9]). Thus the above integral is nonvanishing if and only if

$$\phi \circ \varphi_x(\lambda) \in S(\xi, t) \Leftrightarrow |1 - \varphi_\xi^{-1} \circ p_\xi \circ \phi \circ \varphi_x(\lambda)| < t \Leftrightarrow \tau(\lambda) \in A_\Delta(1, t) = S_\Delta(1, t),$$

where  $\tau = \varphi_\xi^{-1} \circ p_\xi \circ \phi \circ \varphi_x : \Delta \rightarrow \Delta, \tau(0) = 0$ . Now  $C_\tau$  is bounded on  $A_\alpha^p(\Delta)$ , from which it follows that  $\mu_\alpha \circ \tau^{-1}S_\Delta(1, t) \lesssim t^{\alpha+2}$ ; the constant depending only on  $\tau(0)$  (see [14], Lemma 3). Thus we have  $\mu_\gamma \circ \phi^{-1}A(\xi, t) \lesssim t^{\gamma+2} = t^{\alpha+n+1}$  and we are done. ■

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