ESSENTIALLY QUASINILPOTENT ELEMENTS WITH RESPECT TO ARBITRARY NORM CLOSED TWO-SIDED IDEALS IN VON NEUMANN ALGEBRAS

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ABSTRACT. In this paper we prove that a part of the Riesz decomposition theory for compact operators holds in maximal generality in the realm of von Neumann algebras. More precisely, if an element x of a von Neumann algebra M is essentially quasinilpotent with respect to an arbitrary norm closed two-sided ideal of M, then the supremum (in the projection lattice of M) of the kernel projections of all positive integer powers of 1-x belongs to the ideal. It seems to be an interesting question, whether the above statement holds in arbitrary AW^* -algebras.

KEYWORDS: Essentially quasinilpotent elements, norm closed ideals and von Neumann algebras.

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In order to extend the classical Riesz theory of compact operators to the elements of the norm closed (two-sided) ideal \mathcal{I}_{fin} generated by the finite projections of a von Neumann algebra M, M. Breuer ([2]) made the following conjecture:

If $x \in \mathcal{I}_{\text{fin}}$ and if $e_n \in M$ with $n \ge 1$ is the orthogonal projection of x onto the kernel of $(1-x)^n$ and if e_{∞} is the supremum of the sequence $e_1 \le e_2 \le \cdots$ in the complete lattice of all projections of M, then e_{∞} belongs to \mathcal{I}_{fin} , that is, e_{∞} is a finite projection.

Assuming the validity of the above conjecture, a substantial Riesz decomposition theorem was proved in [2] for the elements of \mathcal{I}_{fin} .

Breuer's conjecture was proved in [6] in the case of a von Neumann algebra M acting on a separable Hilbert space. Subsequently, it was proved in full generality, independently, in [3] and [7]. We notice that, according to [8], Breuer's conjecture holds even for every $x \in M$, whose canonical image in $M/\mathcal{I}_{\text{fin}}$ is quasinilpotent.

The aim of this paper is to prove that for every norm closed two-sided ideal \mathcal{I} in a von Neumann algebra M and for every $x \in M$, whose canonical image in M/\mathcal{I} is quasinilpotent, we have $e_{\infty} \in \mathcal{I}$, where e_{∞} is as defined above.

1. LEMMAS ON ESSENTIALLY QUASINILPOTENT ELEMENTS

In this section M will denote an AW^* -algebra ([1], Definition 4.2), \mathcal{I} a norm closed two-sided ideal in M, and x an element of M, such that its canonial image x/\mathcal{I} in M/\mathcal{I} is quasinilpotent. Let us further denote, for every integer $n \geq 1$, by e_n the unique projection in M satisfying

$${y \in M; (1-x)^n y = 0} = e_n M.$$

Of course, e_n is the greatest projection $e \in M$ with $(1-x)^n e = 0$. Finally, let $g_1 = e_1$ and $g_n = e_n - e_{n-1}$, $n \ge 2$. Since $e_1 \le e_2 \le \cdots$, it follows that g_1, g_2, \ldots are mutually orthogonal projections in M. It will follow from Lemma 1.3 that $g_n \in \mathcal{I}$, when $n \ge 1$.

LEMMA 1.1. For all integers $k, n \ge 1$ we have:

- (i) $x^k e_{n+1} = e_n x^k e_{n+1} + g_{n+1}$;
- (ii) $g_n x^k g_n = g_n$.

Proof. For every $n \ge 1$,

$$(1-x)^{n}(1-x)e_{n+1} = (1-x)^{n+1}e_{n+1} = 0,$$

which implies successively that

$$(1-x)e_{n+1} = e_n(1-x)e_{n+1};$$

$$xe_{n+1} = e_{n+1} - e_n(1-x)e_{n+1} = e_nxe_{n+1} + g_{n+1}$$

Now, since for every $k, n \geqslant 1$

$$x^{k+1}e_{n+1} - e_n x^{k+1}e_{n+1} = (x^k - e_n x^k)xe_{n+1} = (x^k - e_n x^k)(e_n xe_{n+1} + g_{n+1})$$
$$= (x^k e_{n+1} - e_n x^k e_{n+1})(e_n xe_{n+1} + g_{n+1}),$$

part (i) follows by induction on k.

To prove (ii), since $xe_1 = e_1$, we have for every $k \ge 1$ that $x^k e_1 = e_1$ and $g_1 x^k g_1 = e_1 x^k e_1 = e_1 = g_1$. If $n \ge 2$, using (i) with n-1 instead of n, it follows for all $k \ge 1$ that

$$x^k e_n = e_{n-1} x^k e_n + g_n;$$

$$g_n x^k e_n = g_n;$$

$$g_n x^k g_n = g_n.$$

Lemma 1.2. For every $\varepsilon > 0$ there are an integer $k \geqslant 1$ and a projection $e \in \mathcal{I}$, such that

$$||(1-e)x^k|| \leqslant \varepsilon^k.$$

Proof. Let $0 < \delta < \varepsilon$. By the Beurling formula for the spectral radius there is an integer $k \geqslant 1$ with

$$||(x^k)/\mathcal{I}|| \leq \delta^k$$
.

Now from the spectral theorem (see the proof of Proposition 7.3 in [1]), there exists a projection $e \in M$, commuting with $x^k(x^k)^*$, such that

$$ex^k(x^k)^* \geqslant \varepsilon^{2k}e$$

and

$$(1-e)x^k(x^k)^* \leqslant \varepsilon^{2k}(1-e).$$

Then

$$\varepsilon^{2k} \|e/\mathcal{I}\| \leqslant \|(ex^k(x^k)^*)/\mathcal{I}\| \leqslant \|(x^k)/\mathcal{I}\|^2 \leqslant \delta^{2k}.$$

Hence from

$$||e/\mathcal{I}|| \leqslant \left(\frac{\delta}{\varepsilon}\right)^{2k} < 1,$$

it follows that $e \in \mathcal{I}$.

On the other hand,

$$||(1-e)x^k||^2 \le ||(1-e)x^k(x^k)^*|| \le \varepsilon^{2k}$$
.

Note that the property proven in the above lemma characterizes the essential quasinilpotentness of x with respect to \mathcal{I} (compare with Theorem 3.2 in [8]).

LEMMA 1.3. There are an integer $\ell_0 \geqslant 1$ and a projection $e \in \mathcal{I}$, such that

$$g_n e g_n \geqslant \frac{1}{\ell_0} g_n, \quad n \geqslant 1.$$

Proof. Let $0 < \varepsilon < (4||x||)^{-1}$. By Lemma 1.2 there are an integer $k \ge 1$ and a projection $e \in \mathcal{I}$, such that

$$||(1-e)x^k|| \leqslant \varepsilon^k.$$

For every $n \ge 1$, using Lemma 1.1, we obtain

$$\begin{aligned} \|g_n - g_n e x^k g_n(x^k)^* e g_n\| &= \|g_n x^k g_n(x^k)^* g_n - g_n e x^k g_n(x^k)^* e g_n\| \\ &\leq \|g_n (1 - e) x^k g_n(x^k)^* g_n\| + \|g_n e x^k g_n(x^k)^* (1 - e) g_n\| \\ &\leq \|(1 - e) x^k\| \cdot \|x^k\| + \|x^k\| \cdot \|(x^k)^* (1 - e)\| \\ &= 2\|(1 - e) x^k\| \cdot \|x^k\| \leq 2\varepsilon^k \|x\|^k < 2\frac{1}{4^k} \leqslant \frac{1}{2}. \end{aligned}$$

Hence

$$\frac{1}{2}g_n\leqslant g_nex^kg_n(x^k)^*eg_n\leqslant ||x^k||^2g_neg_n.$$

Choosing now an integer $\ell_0 \geqslant 2||x^k||^2$, it follows for all $n \geqslant 1$ that

$$g_n e g_n \geqslant \frac{1}{\ell_0} g_n$$
.

2. LEMMAS ON PROJECTIONS

Let us agree to denote

$$e = e_1 + e_2 + \cdots$$

for e, e_1, e_2, \ldots projections in an AW^* -algebra M whenever e_1, e_2, \ldots are mutually orthogonal and with supremum e in the lattice of all projections of M. In this case e is equally the supremum of the partial sum sequence

$$e_1, e_1 + e_2, e_1 + e_2 + e_3, \ldots,$$

which justifies the notation.

LEMMA 2.1. Let M be an AW*-algebra, $e \in M$ a projection and $\ell_0 \geqslant 1$ an integer. Then there are central projections $p_1, \ldots, p_{\ell_0}, p_{\infty}$ in M with

$$p_1+\cdots+p_{\ell_0}+p_\infty=1$$

such that:

(i) for every $1\leqslant \ell<\ell_0$ there are projections $e_{\ell,1},\dots,e_{\ell,\ell+1}\in M$ with

$$p_{\ell} = \sum_{k=1}^{\ell+1} e_{\ell,k},$$
 $e_{\ell,1} = ep_{\ell} \quad and \ is \ finite,$
 $e_{\ell,k} \sim ep_{\ell} \quad for \ 1 < k \leqslant \ell,$
 $e_{\ell,\ell+1} \prec ep_{\ell};$

(ii) there are projections $e_{\ell_0,1},\ldots,e_{\ell_0,\ell_0}\in M$ with

$$\begin{split} p_{\ell_0} \geqslant \sum_{k=1}^{\ell_0} e_{\ell_0,k}, \\ e_{\ell_0,1} = e p_{\ell_0} \quad \text{and is finite,} \\ e_{\ell_0,k} \sim e p_{\ell_0} \quad \text{for } 1 < k \leqslant \ell_0; \end{split}$$

(iii) there is an infinite sequence of projections $e_{\infty,1}, e_{\infty,2}, \ldots \in M$ with

$$ep_{\infty} = e_{\infty,1} + e_{\infty,2} + \cdots,$$

 $e_{\infty,k} \sim ep_{\infty} \quad for \ k \geqslant 1.$

Proof. By Proposition 4.8 (iii) in [1], eMe is an AW^* -subalgebra of M. According to Theorem 15.1 and Proposition 6.4 in [1], there exists a central projection p_{∞} in M such that ep_{∞} is properly infinite and $e(1-p_{\infty})$ is finite.

By Theorem 17.1 in [1], our statement (iii) holds. Set $q=1-p_{\infty}$, so that the projection eq is finite. We prove inductively that there are mutually orthogonal central projections $q_1, q_2, \ldots \leq q$, and there are mutually orthogonal projections f_1, f_2, \ldots with $e=f_1$ such that, for every ℓ ,

$$(1-f_1-\cdots-f_\ell)q_\ell \prec eq_\ell; \quad f_{\ell+1} \sim e(q-q_1-\cdots-q_\ell).$$

As required, first let $f_1=e$. Since M has generalized comparability (see Corollary 1 of Proposition 14.7 in [1]), there exists a central projection $q_1\leqslant q$ in M with

$$(1-f_1)q_1 \prec eq_1; \quad (1-f_1)(q-q_1) \succ e(q-q_1).$$

By the last relation there exists a projection $f_2 \in M$ with

$$(1-f_1)(q-q_1) \geqslant f_2 \sim e(q-q_1).$$

Let us now assume that, for some $m \ge 1, q_1, \ldots, q_m, f_1, \ldots, f_m, f_{m+1}$ have been constructed. Since M has generalized comparability, there exists a central projection $q_{m+1} \le q - q_1 - \cdots - q_m$ in M with

$$(1 - f_1 - \dots - f_{m+1})q_{m+1} \prec eq_{m+1};$$

$$(1 - f_1 - \dots - f_{m+1})(q - q_1 - \dots - q_{m+1}) \succ e(q - q_1 - \dots - q_{m+1}).$$

By the last relation there exists a projection $f_{m+2} \in M$ with

$$(1-f_1-\cdots-f_{m+1})(q-q_1-\cdots-q_{m+1}) \geqslant f_{m+2} \sim e(q-q_1-\cdots-q_{m+1}),$$

which completes the proof of the induction step.

Let $1 \leqslant \ell < \ell_0$. If we let $p_{\ell} = q_{\ell}$, $e_{\ell,k} = f_k q_{\ell}$ for $1 \leqslant k \leqslant \ell$, $e_{\ell,\ell+1} = (1 - f_1 - \dots - f_{\ell})q_{\ell}$, it follows that

$$\begin{split} & \sum_{k=1}^{\ell+1} e_{\ell,k} = q_{\ell} = p_{\ell}; \\ & e_{\ell,1} = f_1 q_{\ell} = e p_{\ell} \leqslant e q \; \text{ is finite}; \\ & e_{\ell,k} = f_k q_{\ell} \sim e (q - q_1 - \dots - q_{k-1}) q_{\ell} = e q_{\ell} = e p_{\ell} \; \text{ for } 1 < k \leqslant \ell; \\ & e_{\ell,\ell+1} = (1 - f_1 - \dots - f_{\ell}) q_{\ell} \prec e q_{\ell} = e p_{\ell}. \end{split}$$

Also, if we let $p_{\ell_0} = q - q_1 - \cdots - q_{\ell_0 - 1}$, $e_{\ell_0, k} = f_k p_{\ell_0}$ for $1 \leqslant k \leqslant \ell_0$, it follows that

$$\begin{split} & \sum_{k=1}^{\ell_0} e_{\ell_0,k} \leqslant p_{\ell_0}; \\ & e_{\ell_0,1} = f_1 p_{\ell_0} = e p_{\ell_0} \leqslant e q \quad \text{is finite}; \\ & e_{\ell_0,k} = f_k p_{\ell_0} \sim e (q - q_1 - \dots - q_{k-1}) p_{\ell_0} = e p_{\ell_0} \quad \text{for } 1 < k \leqslant \ell_0. \quad \blacksquare \end{split}$$

The following known result is included in order to have a convenient reference in the sequel.

LEMMA 2.2. Let e, g be projections in a C^* -algebra A such that, for some $\varepsilon > 0$, $geg \geqslant \varepsilon g$. Then $g \prec e$.

Proof. Since $0 \le g - geg \le (1 - \varepsilon)g$, it follows from $||g - geg|| \le 1 - \varepsilon < 1$ that geg is invertible in gAg. Let $a \ge 0$ denote the inverse of $geg \ge 0$ in gAg and set

$$v = ega^{\frac{1}{2}}.$$

Then

$$v^*v = a^{\frac{1}{2}} geg a^{\frac{1}{2}} = g$$
,

and from

$$(1-e)vv^* = (1-e)egage = 0$$

it is clear that

$$vv^* = evv^* \leqslant e$$
.

LEMMA 2.3. Let M be a von Neumann algebra, $e \in M$ a finite projection and $g_1, g_2, \ldots \in M$ mutually orthogonal projections such that, for some integer $\ell_0 \geqslant 1$,

$$g_n e g_n \geqslant \frac{1}{\ell_0} g_n, \quad n \geqslant 1.$$

If $e_1'=e,e_2',\ldots,e_{\ell_0}'\in M$ are mutually orthogonal equivalent projections then

$$\sum_{n=1}^{\infty} g_n \prec \sum_{k=1}^{\ell_0} e_k'.$$

Proof. First of all we notice that, according to Lemma 2.2, $g_n \prec e$, $n \geqslant 1$. In particular, all projections g_n are finite. By Lemma 7.3 in [9] or Chapter V, Lemma 2.2 in [10] it is enough to prove that, for every $m \geqslant 1$,

$$\sum_{n=1}^m g_n \prec \sum_{k=1}^{\ell_0} e_k'.$$

Passing from M to the von Neumann algebra generated by $e'_1, \ldots, e'_{\ell_0}, g_1, \ldots, g_m$, we can assume without loss of generality that M is finite.

Let $x \mapsto x^{\natural}$ be the center valued trace on M (see Theorem 7.11 in [9] or Chapter V, Theorem 2.6 in [10]). Then for any $m \geqslant 1$,

$$\left(\sum_{n=1}^{m} g_{n}\right)^{\natural} = \sum_{n=1}^{m} g_{n}^{\natural} \leqslant \ell_{0} \sum_{n=1}^{m} (g_{n} e g_{n})^{\natural} = \ell_{0} \sum_{n=1}^{m} (e g_{n} e)^{\natural}$$

$$= \ell_{0} \left(e \sum_{n=1}^{m} g_{n} e\right)^{\natural} \leqslant \ell_{0} e^{\natural} = \sum_{k=1}^{\ell_{0}} (e'_{k})^{\natural} = \left(\sum_{k=1}^{\ell_{0}} e'_{k}\right)^{\natural},$$

thus

$$\sum_{n=1}^{m} g_n \prec \sum_{k=1}^{\ell_0} e_k'. \quad \blacksquare$$

LEMMA 2.4. Let M be a von Neumann algebra, $e \in M$ a projection and $g_1, g_2, \ldots \in M$ mutually orthogonal projections such that, for some integer $\ell_0 \geqslant 1$,

$$g_n e g_n \geqslant \frac{1}{\ell_0} g_n, \quad n \geqslant 1.$$

Then there are mutually orthogonal projections $e_1'=e,e_2',\ldots,e_{\ell_0}'\in M$ such that

$$e'_1 \succ e'_2 \succ \ldots \succ e'_{\ell_0}$$
, $\sum_{n=1}^{\infty} g_n \prec \sum_{k=1}^{\ell_0} e'_k$.

In particular, $\sum_{n=1}^{\infty} g_n$ belongs to every two-sided ideal of M containing e.

Proof. Applying Lemma 2.1 to e and ℓ_0 and using the same notations, let us set

$$\begin{split} e_1' &= e = e p_{\infty} + \sum_{\ell=1}^{\ell_0} e_{\ell,1}; \\ e_k' &= \sum_{\ell=k-1}^{\ell_0} e_{\ell,k} \quad \text{for } 1 < k \leqslant \ell_0. \end{split}$$

The projections $e_1' = e, e_2', \dots, e_{\ell_0}' \in M$ are clearly mutually orthogonal. Since $e_{1,2} \prec ep_1$ and $e_{\ell,2} \sim ep_\ell$ for $2 \leq \ell \leq \ell_0$, we have

$$e_2' = e_{1,2} + \sum_{\ell=2}^{\ell_0} e_{\ell,2} \prec ep_1 + \sum_{\ell=2}^{\ell_0} ep_{\ell} \leqslant e = e_1'.$$

For $1 < k < \ell_0$, since $e_{k,k+1} \prec ep_k \sim e_{k,k}$ and $e_{\ell,k+1} \sim ep_\ell \sim e_{\ell,k}$ for $k+1 \leq \ell \leq \ell_0$, we also have

$$e'_{k+1} = e_{k,k+1} + \sum_{\ell=k+1}^{\ell_0} e_{\ell,k+1} \prec e_{k,k} + \sum_{\ell=k+1}^{\ell_0} e_{\ell,k} \leqslant e'_k.$$

Hence $e_1' \succ e_2' \succ \cdots \succ e_{\ell_0}'$. Note that

$$\begin{split} \sum_{k=1}^{\ell_0} e_k' &= e p_\infty + \sum_{\ell=1}^{\ell_0} e_{\ell,1} + \sum_{k=2}^{\ell_0} \sum_{\ell=k-1}^{\ell_0} e_{\ell,k} \\ &= e p_\infty + \sum_{\ell=1}^{\ell_0-1} \sum_{k=1}^{\ell+1} e_{\ell,k} + \sum_{k=1}^{\ell_0} e_{\ell_0,k} \\ &= e p_\infty + \sum_{\ell=1}^{\ell_0-1} p_\ell + \sum_{k=1}^{\ell_0} e_{\ell_0,k}. \end{split}$$

Hence, in order to complete the proof we have to show that

(2.1)
$$\sum_{n=1}^{\infty} g_n p_{\infty} \prec e p_{\infty},$$

(2.2)
$$\sum_{n=1}^{\infty} g_n \sum_{\ell=1}^{\ell_0-1} p_{\ell} \prec \sum_{\ell=1}^{\ell_0-1} p_{\ell}$$

and

(2.3)
$$\sum_{n=1}^{\infty} g_n p_{\ell_0} \prec \sum_{\ell=1}^{\ell_0} e_{\ell_0,k}.$$

To show (2.1), we notice that according to Lemma 2.2 and property (iii) from Lemma 2.1, we have $g_n p_\infty \prec e p_\infty \sim e_{\infty,n}$ for all $n \geqslant 1$, and

$$\sum_{n=1}^{\infty} g_n p_{\infty} \prec \sum_{n=1}^{\infty} e_{\infty,n} = e p_{\infty}.$$

Relation (2.2) holds trivially, because

$$\sum_{n=1}^{\infty} g_n \sum_{\ell=1}^{\ell_0 - 1} p_{\ell} \leqslant \sum_{\ell=1}^{l_0 - 1} p_{\ell}.$$

Since $e_{\ell_0,1} = ep_{\ell_0}, e_{\ell_0,2}, \dots, e_{\ell_0,\ell_0}$ are mutually orthogonal equivalent finite projections, (2.3) follows from Lemma 2.3.

3. THE MAIN RESULT

THEOREM 3.1. Let M be a von Neumann algebra, \mathcal{I} a norm closed two-sided ideal in M and $x \in M$, such that the canonical image of x in M/\mathcal{I} is quasinilpotent. Let us denote, for every integer $n \geq 1$, by $e_n(x)$ the null projection of $(1-x)^n$, that is the greatest projection $e \in M$ with $(1-x)^n e = 0$, and by $f_n(x)$ the range projection of $(1-x)^n$, that is the smallest projection $f \in M$ with $f(1-x)^n = (1-x)^n$. Let $e_{\infty}(x)$ be the supremum of $e_1(x) \leq e_2(x) \leq \cdots$ in the complete lattice of all projections of M, and $f_{\infty}(x)$ the infimum of $f_1(x) \geq f_2(x) \geq \cdots$ in the same lattice.

Then $e_{\infty}(x)$ and $1 - f_{\infty}(x)$ belong to \mathcal{I} .

Proof. Setting $g_1=e_1(x),\,g_n=e_n(x)-e_{n-1}(x),\,n\geqslant 2$ and applying Lemmas 1.3 and 2.4, yield

$$e_{\infty}(x) = \sum_{n=1}^{\infty} g_n \in \mathcal{I}.$$

The statement concerning $f_{\infty}(x)$ follows by observing that the canonical image of x^* in M/\mathcal{I} is quasinilpotent and that $1 - f_{\infty}(x) = e_{\infty}(x^*)$.

REMARK 3.2. In spite of the fact that both $e_{\infty}(x)$ and $1 - f_{\infty}(x)$ are in \mathcal{I} under the most general conditions, Riesz type decomposition theorems like Theorem 3 in [3], Theorem 3 in [7] and Theorem 4.2 in [8] do not hold generally. A simple example is the following one:

Let M be the von Neumann algebra of all bounded linear operators on ℓ^2 , $\mathcal{I} = M$, s the forward shift $s(\xi_1, \xi_2, \ldots) = (0, \xi_1, \xi_2, \ldots)$, and x = 1 - s.

Obviously, the canonical image of x in M/\mathcal{I} is quasinilpotent. It is easy to see that both $e_{\infty}(x)$ and $f_{\infty}(x)$ are zero, therefore neither do we have that $e_{\infty}(x) \vee f_{\infty}(x) = 1$, nor that $e_{\infty}(x)$ and $1 - f_{\infty}(x)$ are equivalent.

REMARK 3.3. The proof of Theorem 3.1 had a strong AW^* -algebra flavour, so it is quite natural to ask: does it hold for every AW^* -algebra M?

The only point where we essentially used the fact that M is a von Neumann algebra, was in the proof of Lemma 2.3. If Kaplansky's conjecture on the linearity of the canonical quasitrace of a finite AW^* -factor would hold, then Lemma 2.3 would follow for arbitrary AW^* -algebras.

However, we need slightly less than the validity of the general Kaplansky conjecture.

Namely, let us assume the following

$$\begin{cases} \text{ if } M \text{ is a finite } AW^*\text{-factor, generated by the projections} \\ e,g_1,\ldots,g_m, \text{ where } g_1,\ldots,g_m \text{ are mutually orthogonal} \\ \text{and if, for some } \varepsilon>0, \text{ we have that} \\ g_neg_n\geqslant \varepsilon g_n,\, 1\leqslant n\leqslant m, \\ \text{then the canonical quasitrace of } M \text{ is linear.} \end{cases}$$

Then Proposition 4.6 in [4] would imply that every quasitrace on a C^* -algebra generated by a finite number of projections e, g_1, \ldots, g_m , where g_1, \ldots, g_m are mutually orthogonal and, for some $\varepsilon > 0$, $g_n e g_n \ge \varepsilon g_n$, $1 \le n \le m$, is linear. This would suffice to prove Lemma 2.3 for arbitrary AW^* -algebras. So, let us ask, does (*) hold?

We notice that, if under the assumptions from (*) the C^* -algebra generated by e, g_1, \ldots, g_m would be exact (see, for example, [5] and [11]), then Corollary 5.13 in [4] would imply the conclusion from (*).

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