

α -LIPSCHITZ ALGEBRAS ON THE NONCOMMUTATIVE TORUS

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ABSTRACT. We define deformed, noncommutative versions of the Lipschitz algebras $\text{Lip}^\alpha(\mathbb{T}^2)$ and $\text{lip}^\alpha(\mathbb{T}^2)$. Deformation preserves the property that the former is isometrically isomorphic to the second dual of the latter.

KEYWORDS: *Noncommutative torus, Lipschitz algebras, von Neumann algebras.*

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The algebra $\text{Lip}(X)$ of Lipschitz functions on a complete metric space X plays a role in noncommutative metric theory similar to that played by the algebra $C(K)$ in noncommutative topology. For instance, there is a robust duality between metric properties of X and algebraic properties of $\text{Lip}(X)$ ([24]) which matches closed subsets with weak*-closed ideals etc. Furthermore, one has an abstract characterization of Lipschitz algebras in terms of derivations of abelian von Neumann algebras into abelian operator bimodules ([26]) which admits a natural extension to the noncommutative setting. For more on noncommutative metrics see [4], [5], [6], [7], [15], [17] and for more on the particular approach described above see [26], [27], [28]. The abstract commutative theory of Lipschitz algebras is considered in [1], [2], [10], [12], [19], [20], [21], [22], [23], [24], [25], [29], among other places.

For $0 < \alpha \leq 1$ one calls a function $f : X \rightarrow \mathbb{C}$ α -Lipschitz (or Hölder) if it is Lipschitz with respect to the original metric on X raised to the power α . The space of α -Lipschitz functions on X is denoted $\text{Lip}^\alpha(X)$. This concept

is of interest in connection with little Lipschitz functions. A Lipschitz function on X is *little* if its slopes are locally null, i.e. every point has neighborhoods the restrictions of f to which have arbitrarily small Lipschitz number. The space of little Lipschitz functions (respectively, little α -Lipschitz functions) is denoted $\text{lip}(X)$ (resp. $\text{lip}^\alpha(X)$). In general, there may be no nonconstant little Lipschitz functions, but for $\alpha < 1$ little α -Lipschitz functions always exist in abundance. These notions have long been important in harmonic analysis, and have also played a special role in the abstract theory of Lipschitz algebras, going back to the seminal paper [8] which initiated this theory.

At the moment we have no general noncommutative versions of α -Lipschitz or little Lipschitz functions. However, we wish to show here that there are reasonable versions of both concepts in relation to the noncommutative torus ([16]). Our definitions are based on an approach to α -Lipschitz functions on the unit circle developed in [13]. Thus, we define and study deformed, noncommutative versions $\text{Lip}_\theta^\alpha(\mathbb{T}^2)$ and $\text{lip}_\theta^\alpha(\mathbb{T}^2)$ of the classical algebras $\text{Lip}^\alpha(\mathbb{T}^2)$ and $\text{lip}^\alpha(\mathbb{T}^2)$. Among our results is the fact that for $\alpha < 1$ the space $\text{Lip}_\theta^\alpha(\mathbb{T}^2)$ is isometrically isomorphic to the second dual of $\text{lip}_\theta^\alpha(\mathbb{T}^2)$. This holds in the commutative case by [2].

Our main interest in this material is that it provides a class of examples of noncommutative metrics which are not differential geometric in nature. For instance, the operator bimodule in Theorem 2.3 (ii) is not a Hilbert module; also, the derivation discussed there is not an actual differentiation. Much of what is done here generalizes immediately to the setting of an arbitrary Lie group acting on a von Neumann algebra. Another class of noncommutative metrics which are not Riemannian was given in [28].

Lipschitz functions on the noncommutative torus were discussed in [26] and some of our results here generalize work done there in the $\alpha = 1$ case.

1. THE NONCOMMUTATIVE TORUS

We begin with a review of the noncommutative torus, as described in [16] (we use different notation here). Fix a real number $\theta \in [0, 1)$ and define unitary operators $U, V \in B(l^2(\mathbb{Z}^2))$ by setting

$$Uv_{mn} = v_{(m+1)n} \quad \text{and} \quad Vv_{mn} = e^{2\pi i \theta m} v_{m(n+1)},$$

where v_{mn} is the canonical basis of $l^2(\mathbb{Z}^2)$. Let $C_\theta(\mathbb{T}^2)$ and $L_\theta^\infty(\mathbb{T}^2)$ respectively be the C^* -algebra and von Neumann algebra generated by U and V . In the $\theta = 0$ case the Fourier transform identifies $C_\theta(\mathbb{T}^2)$ and $L_\theta^\infty(\mathbb{T}^2)$ with $C(\mathbb{T}^2)$ and $L^\infty(\mathbb{T}^2)$,

respectively. However, for $\theta \neq 0$ these algebras are noncommutative and our “function space” notation is merely symbolic.

For $x \in L_\theta^\infty(\mathbb{T}^2)$ and $N \geq 0$ define

$$s_N(x) = \sum_{|m|, |n| \leq N} a_{mn} U^m V^n$$

where $a_{mn} = \langle xv_{00}, v_{mn} \rangle$, and set

$$\sigma_N(x) = \frac{s_0 + \dots + s_N}{N + 1}.$$

These are respectively the partial sums and Cesaro means of the Fourier series of x . (For basic material on harmonic analysis see [9], [11], or [30].)

Define unbounded self-adjoint operators D_1, D_2 on $l^2(\mathbb{Z}^2)$ by

$$D_1 v_{mn} = m v_{mn} \quad \text{and} \quad D_2 v_{mn} = n v_{mn}.$$

For $\theta = 0$ these correspond via the Fourier transform to $i\partial/\partial x$ and $i\partial/\partial y$. Then we have two actions γ^1, γ^2 of \mathbb{R} by automorphisms of $L_\theta^\infty(\mathbb{T}^2)$, given by

$$\gamma_t^k(x) = e^{-itD_k} x e^{itD_k}$$

for $k = 1, 2$. For $\theta = 0$ these correspond to translations of $L^\infty(\mathbb{T}^2)$ in the two variables.

The following was noted in [26], and is probably well-known.

PROPOSITION 1.1. (i) γ^1 and γ^2 are ultraweakly continuous actions of \mathbb{R} on $L_\theta^\infty(\mathbb{T}^2)$.

(ii) $C_\theta(\mathbb{T}^2)$ is stable for the actions of γ^1 and γ^2 , and consists of precisely those elements of $L_\theta^\infty(\mathbb{T}^2)$ for which both actions are continuous in operator norm.

(iii) For any $x \in L_\theta^\infty(\mathbb{T}^2)$, $s_N(x) \rightarrow x$ ultraweakly.

(iv) For any $x \in C_\theta(\mathbb{T}^2)$, $\sigma_N(x) \rightarrow x$ in operator norm.

In [26] we defined a θ -deformed version of the algebra of Lipschitz functions on \mathbb{T}^2 by $\text{Lip}_\theta(\mathbb{T}^2) = \text{dom}(\delta_1) \cap \text{dom}(\delta_2)$, where δ_k ($k = 1, 2$) is the generator of the flow γ^k , i.e. $\delta_k(x) = i[D_k, x]$. This is a variation on a definition in [4]. In the $\theta = 0$ case it corresponds to precisely the algebra of Lipschitz functions on \mathbb{T}^2 .

The following is also from [26].

THEOREM 1.2. (i) $\text{Lip}_\theta(\mathbb{T}^2)$ is a dual Banach space.

(ii) $\text{Lip}_\theta(\mathbb{T}^2) \subset C_\theta(\mathbb{T}^2)$, densely in operator norm.

(iii) For any $x \in \text{Lip}_\theta(\mathbb{T}^2)$, $s_N(x) \rightarrow x$ in operator norm.

$\text{Lip}_\theta(\mathbb{T}^2)$ can also be viewed in the following way. Consider $E = L_\theta^\infty(\mathbb{T}^2) \oplus L_\theta^\infty(\mathbb{T}^2)$ as a Hilbert $L_\theta^\infty(\mathbb{T}^2)$ -bimodule in the natural way. Then one has an unbounded derivation $\delta : L_\theta^\infty(\mathbb{T}^2) \rightarrow E$ defined by $\delta(x) = \delta_1(x) \oplus \delta_2(x)$. This exhibits $\text{Lip}_\theta(\mathbb{T}^2)$ as the domain of a natural “exterior derivative” on the noncommutative torus.

2. NONCOMMUTATIVE α -LIPSCHITZ ALGEBRAS

We retain the notation of the previous section.

DEFINITION 2.1. Let $0 < \alpha \leq 1$. Then we define $\text{Lip}_\theta^\alpha(\mathbb{T}^2)$ to be the set of $x \in L^\infty(\mathbb{T}^2)$ for which there exists a constant $C \geq 0$ such that

$$\|x - \gamma_t^k(x)\| \leq Ct^\alpha$$

for $k = 1, 2$ and all $t > 0$. We let $L^\alpha(x)$ be the least such value of C and norm $\text{Lip}_\theta^\alpha(\mathbb{T}^2)$ by

$$\|x\|_\alpha = \max(\|x\|, L^\alpha(x)),$$

which we call the *Lipschitz norm*. We define $\text{lip}_\theta^\alpha(\mathbb{T}^2)$ to be the set of $x \in \text{Lip}_\theta^\alpha(\mathbb{T}^2)$ such that

$$\frac{\|x - \gamma_t^k(x)\|}{t^\alpha} \rightarrow 0$$

for $k = 1, 2$ as $t \rightarrow 0$.

PROPOSITION 2.2. (i) $\text{Lip}_\theta^\alpha(\mathbb{T}^2)$ and $\text{lip}_\theta^\alpha(\mathbb{T}^2)$ are involutive Banach algebras for the Lipschitz norm $\|\cdot\|_\alpha$.

(ii) For $\theta = 0$, $\text{Lip}_\theta^\alpha(\mathbb{T}^2)$ and $\text{lip}_\theta^\alpha(\mathbb{T}^2)$ are identified by means of the Fourier transform with the classical α -Lipschitz and little α -Lipschitz algebras on \mathbb{T}^2 , respectively.

Proof. (i) Checking that $\text{Lip}_\theta^\alpha(\mathbb{T}^2)$ and $\text{lip}_\theta^\alpha(\mathbb{T}^2)$ are involutive algebras is a straightforward calculation. For instance, if x and y belong to $\text{Lip}_\theta^\alpha(\mathbb{T}^2)$ then

$$\begin{aligned} \|xy - \gamma_t^k(xy)\| &\leq \|xy - x\gamma_t^k(y)\| + \|x\gamma_t^k(y) - \gamma_t^k(x)\gamma_t^k(y)\| \\ &\leq \|x\| \|y - \gamma_t^k(y)\| + \|x - \gamma_t^k(x)\| \|\gamma_t^k(y)\| \\ &\leq (\|x\|L^\alpha(y) + \|y\|L^\alpha(x))t^\alpha \\ &\leq 2\|x\|_\alpha \|y\|_\alpha t^\alpha \end{aligned}$$

shows that $xy \in \text{Lip}_\theta^\alpha(\mathbb{T}^2)$. This also shows that $\|xy\|_\alpha \leq 2\|x\|_\alpha \|y\|_\alpha$, hence multiplication is continuous for the Lipschitz norm, although note that $\text{Lip}_\theta^\alpha(\mathbb{T}^2)$ is not a Banach algebra in the stricter sense of satisfying $\|xy\| \leq \|x\| \|y\|$.

To see that $\text{Lip}_\theta^\alpha(\mathbb{T}^2)$ is complete for the Lipschitz norm, let $(x_n) \subset \text{Lip}_\theta^\alpha(\mathbb{T}^2)$ be Cauchy. It follows that (x_n) is Cauchy in operator norm, hence converges in this sense to some $x \in L^\infty(\mathbb{T}^2)$. For any $t > 0$ choose n such that $\|x - x_n\| \leq t^\alpha$; then

$$\begin{aligned} \|x - \gamma_t^k(x)\| &\leq \|x - x_n\| + \|x_n - \gamma_t^k(x_n)\| + \|\gamma_t^k(x_n - x)\| \\ &\leq t^\alpha + Ct^\alpha + t^\alpha = (C + 2)t^\alpha \end{aligned}$$

where $C = \sup \|x_n\|_\alpha$. This shows that $x \in \text{Lip}_\theta^\alpha(\mathbb{T}^2)$. Furthermore, given $\varepsilon > 0$ choose n large enough that $\|x_m - x_n\|_\alpha \leq \varepsilon$ for all $m > n$. Then for any $t > 0$ we can find $m > n$ so that $\|x - x_m\| \leq \varepsilon t^\alpha$, and then

$$\begin{aligned} \|(x - x_n) - \gamma_t^k(x - x_n)\| &\leq \|(x - x_m) - \gamma_t^k(x - x_m)\| + \|(x_m - x_n) - \gamma_t^k(x_m - x_n)\| \\ &\leq 2\varepsilon t^\alpha + \varepsilon t^\alpha = 3\varepsilon t^\alpha. \end{aligned}$$

This shows that $L^\alpha(x_n - x) \rightarrow 0$, and as we already know $\|x_n - x\| \rightarrow 0$, it follows that $\|x_n - x\|_\alpha \rightarrow 0$. Thus, $\text{Lip}_\theta^\alpha(\mathbb{T}^2)$ is complete for the Lipschitz norm.

For completeness of $\text{lip}_\theta^\alpha(\mathbb{T}^2)$ let $(x_n) \subset \text{lip}_\theta^\alpha(\mathbb{T}^2)$ be Cauchy, so that by the above x_n converges in Lipschitz norm to some $x \in \text{Lip}_\theta^\alpha(\mathbb{T}^2)$. We must show $x \in \text{lip}_\theta^\alpha(\mathbb{T}^2)$. Given $\varepsilon > 0$ choose n such that $\|x_m - x_n\|_\alpha \leq \varepsilon$ for $m > n$. Then since $x_n \in \text{lip}_\theta^\alpha(\mathbb{T}^2)$ there exists $\delta > 0$ such that $t \leq \delta$ implies $\|x_n - \gamma_t^k(x_n)\| \leq \varepsilon t^\alpha$. For any $t \leq \delta$ we can find $m > n$ so that $\|x - x_m\|_\alpha \leq \varepsilon t^\alpha$, and then

$$\begin{aligned} \|x - \gamma_t^k(x)\| &\leq \|x - x_m\| + \|x_n - \gamma_t^k(x_n)\| \\ &\quad + \|(x_m - x_n) - \gamma_t^k(x_m - x_n)\| + \|\gamma_t^k(x_m - x)\| \\ &\leq \varepsilon t^\alpha + \varepsilon t^\alpha + \varepsilon t^\alpha + \varepsilon t^\alpha = 4\varepsilon t^\alpha. \end{aligned}$$

This shows that $\|x - \gamma_t^k(x)\|/t^\alpha \rightarrow 0$ as $t \rightarrow 0$, so $x \in \text{lip}_\theta^\alpha(\mathbb{T}^2)$.

(ii) In the $\theta = 0$ case, $\text{Lip}_\theta^\alpha(\mathbb{T}^2)$ is identified with the set of functions $f \in L^\infty(\mathbb{T}^2)$ which satisfy

$$\|f - \gamma_t^k(f)\|_\infty \leq Ct^\alpha$$

for $k = 1, 2$ and all t . That is, these are the functions which satisfy

$$\sup\{|f(x, y) - f(x + t, y)|, |f(x, y) - f(x, y + t)| : (x, y) \in \mathbb{T}^2\} \leq Ct^\alpha$$

for all $t > 0$. This condition is automatically satisfied by any α -Lipschitz function on \mathbb{T}^2 ; conversely, for any function f which satisfies this condition we have

$$\begin{aligned} |f(x_1, y_2) - f(x_2, y_2)| &\leq |f(x_1, y_1) - f(x_2, y_1)| + |f(x_2, y_1) - f(x_2, y_2)| \\ &\leq C(d^\alpha(x_1, x_2) + d^\alpha(y_1, y_2)) \\ &\leq 2Cd^\alpha((x_1, y_1), (x_2, y_2)) \end{aligned}$$

where d denotes the ordinary Euclidean distance on \mathbb{T} and \mathbb{T}^2 , hence f is α -Lipschitz. Thus, for $\theta = 0$ we may identify $\text{Lip}_\theta^\alpha(\mathbb{T}^2)$ with the α -Lipschitz functions on \mathbb{T}^2 .

To see that $\text{lip}_\theta^\alpha(\mathbb{T}^2)$ is identified with the little α -Lipschitz functions, suppose that $t \leq \delta$ implies

$$|f(x, y) - f(x + t, y)|, |f(x, y) - f(x, y + t)| \leq \varepsilon t^\alpha$$

for all $(x, y) \in \mathbb{T}^2$; then $d((x_1, y_1), (x_2, y_2)) \leq \delta$ implies

$$\begin{aligned} |f(x_1, y_1) - f(x_2, y_2)| &\leq |f(x_1, y_1) - f(x_2, y_1)| + |f(x_2, y_1) - f(x_2, y_2)| \\ &\leq \varepsilon d^\alpha(x_1, x_2) + \varepsilon d^\alpha(y_1, y_2) \\ &\leq 2\varepsilon d^\alpha((x_1, y_1), (x_2, y_2)). \end{aligned}$$

Conversely, if f is a little α -Lipschitz function then for every $\varepsilon > 0$ we can find $\delta > 0$ such that for all $(x_1, y_1), (x_2, y_2) \in \mathbb{T}^2$, $d((x_1, y_1), (x_2, y_2)) \leq \delta$ implies

$$|f(x_1, y_1) - f(x_2, y_2)| \leq \varepsilon d^\alpha((x_1, y_1), (x_2, y_2)).$$

(Each point has a neighborhood in which this is true, and then by compactness we can take δ to be the Lebesgue number of the resulting covering of \mathbb{T}^2 .) In particular,

$$|f(x, y) - f(x + t, y)|, |f(x, y) - f(x, y + t)| \leq \varepsilon t^\alpha$$

for $t \leq \delta$, i.e. $\|f - \gamma_t^k(f)\|_\infty \leq \varepsilon t^\alpha$ for $t \leq \delta$. ■

We now wish to demonstrate that the definitions given in this paper match up with our previous work, specifically, that $\text{Lip}_\theta^1(\mathbb{T}^2)$ equals the Lipschitz algebra $\text{Lip}_\theta(\mathbb{T}^2)$ defined in [26] (and above in Section 1), and that each $\text{Lip}_\theta^\alpha(\mathbb{T}^2)$ is a Lipschitz algebra in the sense of [26], i.e. is the domain of a von Neumann algebra derivation. For the latter, let

$$E = \bigoplus_{t>0}^\infty (L_\theta^\infty(\mathbb{T}^2) \oplus L_\theta^\infty(\mathbb{T}^2))$$

be the l^∞ direct sum of von Neumann algebras. It is a von Neumann algebra, and it is also a dual operator $L_\theta^\infty(\mathbb{T}^2)$ -bimodule with left action given by the diagonal embedding of $L_\theta^\infty(\mathbb{T}^2)$ in E and right action given by the embedding

$$x \mapsto \bigoplus_{t>0} (\gamma_t^1(x) \oplus \gamma_t^2(x)).$$

Define an unbounded map $\delta : L_\theta^\infty(\mathbb{T}^2) \rightarrow E$ with domain $\text{Lip}_\theta^\alpha(\mathbb{T}^2)$ by $\delta = \bigoplus (\delta_t^1 \oplus \delta_t^2)$ with

$$\delta_t^k(x) = \frac{x - \gamma_t^k(x)}{t^\alpha}.$$

Notice that indeed $\delta(x) \in E$ if $x \in \text{Lip}_\theta^\alpha(\mathbb{T}^2)$ since $\sup_{t,k} \|\delta_t^k(x)\| = L^\alpha(x) < \infty$.

THEOREM 2.3. (i) $\text{Lip}_\theta^1(\mathbb{T}^2) = \text{Lip}_\theta(\mathbb{T}^2)$ as sets.

(ii) $\text{Lip}_\theta^\alpha(\mathbb{T}^2)$ is the domain of an unbounded von Neumann algebra derivation with weak*-closed graph.

Proof. (i) Let $x \in L_\theta^\infty(\mathbb{T}^2)$. Then $x \in \text{Lip}_\theta^1(\mathbb{T}^2)$ if and only if

$$\sup_{t>0} \left\{ \frac{\|x - \gamma_t^1(x)\|}{t}, \frac{\|x - \gamma_t^2(x)\|}{t} \right\} < \infty,$$

while $x \in \text{Lip}_\theta(\mathbb{T}^2)$ if and only if it belongs to the domains of the generators of γ^1 and γ^2 . According to [3], Proposition 3.1.23, these two conditions are equivalent. (Note however that the norm $\|x\|_1$ defined here on $\text{Lip}_\theta^1(\mathbb{T}^2)$ does not agree with the norm $\|x\|_L$ given in [26] on $\text{Lip}_\theta(\mathbb{T}^2)$, although the two are equivalent.)

(ii) An easy calculation shows that the map δ defined before the theorem is linear and self-adjoint and satisfies the derivation identity (with respect to the bimodule structure described above), and its domain is $\text{Lip}_\theta^\alpha(\mathbb{T}^2)$ by definition. To check ultraweak closure of the graph of δ , suppose $x_\lambda \oplus \delta(x_\lambda)$ is a bounded net in the graph which converges ultraweakly to some element $x \oplus y \in L_\theta^\infty(\mathbb{T}^2) \oplus E$. (By the Krein-Smulian theorem, it is sufficient to consider bounded nets.) Write $y = \bigoplus(y_t^1 \oplus y_t^2)$. Then for each $t > 0$ we have

$$y_t^k = \lim_\lambda \delta_t^k(x_\lambda) = \lim_\lambda \frac{(x_\lambda - \gamma_t^k(x_\lambda))}{t^\alpha} = \frac{(x - \gamma_t^k(x))}{t^\alpha}$$

($k = 1, 2$). As this holds for all t and

$$\sup_{t>0} \{\|y_t^1\|, \|y_t^2\|\} = \|y\| < \infty,$$

it follows that $x \in \text{Lip}_\theta^\alpha(\mathbb{T}^2)$ and $\delta(x) = y$. Thus, the graph of δ is weak*-closed. ■

COROLLARY 2.4. $\text{Lip}_\theta^\alpha(\mathbb{T}^2)$ is a dual Banach space.

Proof. For any $x \in \text{Lip}_\theta^\alpha(\mathbb{T}^2)$ we have

$$\begin{aligned} \|x\|_\alpha &= \max(\|x\|, L^\alpha(x)) = \max\left(\|x\|, \sup_{t,k} \frac{\|x - \gamma_t^k(x)\|}{t^\alpha}\right) \\ &= \max(\|x\|, \|\delta(x)\|) = \|x \oplus \delta(x)\|. \end{aligned}$$

Thus, $\text{Lip}_\theta^\alpha(\mathbb{T}^2)$ is linearly isometric to the graph of δ . But the latter is an ultraweakly closed subspace of $L_\theta^\infty(\mathbb{T}^2) \oplus E$, hence a dual Banach space. ■

In consequence of this corollary $\text{Lip}_\theta^\alpha(\mathbb{T}^2)$ has a weak*-topology. In general it is distinct from the restriction of the ultraweak topology on $L_\theta^\infty(\mathbb{T}^2)$, which of course is itself a weak*-topology. To avoid confusion we shall always refer to the latter topology with the term “ultraweak” rather than “weak*”.

3. RELATIONS BETWEEN α -LIPSCHITZ SPACES

In this section we investigate the various containments that obtain among the big and little α -Lipschitz spaces, the algebra of polynomials in U and V , $C_\theta(\mathbb{T}^2)$, and $L_\theta^\infty(\mathbb{T}^2)$. Corresponding statements for classical Lipschitz algebras were proved in [13] and [14] (for the unit circle) and [2] and [25] (for any compact metric space).

Our first lemma provides basic tools that we will use repeatedly. It is a noncommutative version of basic facts from harmonic analysis and was proved in [26]. Let K_N be the Fejér kernel,

$$K_N(t) = \sum_{n=-N}^N \left(1 - \frac{|n|}{N+1}\right) e^{int} = \frac{1}{N+1} \left(\frac{\sin((N+1)t/2)}{\sin(t/2)}\right)^2.$$

It has the properties that:

- (1) $K_N(t) \geq 0$ for all $t \in [-\pi, \pi]$;
- (2) $\int_{-\pi}^{\pi} K_N(t) dt = 1$; and
- (3) for any $\varepsilon > 0$, $\int_{|t| \geq \varepsilon} K_N(t) dt \rightarrow 0$ as $N \rightarrow \infty$.

LEMMA 3.1. *Let $x \in L_\theta^\infty(\mathbb{T}^2)$. Then*

$$\sigma_N(x) = \int_{-\pi}^{\pi} \int_{-\pi}^{\pi} \gamma_s^1(\gamma_t^2(x)) K_N(s) K_N(t) ds dt$$

and

$$\begin{aligned} x - \sigma_N(x) &= \int_{-\pi}^{\pi} (x - \gamma_s^1(x)) K_N(s) ds \\ &\quad + \int_{-\pi}^{\pi} \gamma_s^1 \left(\int_{-\pi}^{\pi} (x - \gamma_t^2(x)) K_N(t) dt \right) K_N(s) ds, \end{aligned}$$

where all operator integrals are taken in the ultraweak sense.

LEMMA 3.2. *For any $\varepsilon > 0$ there exists N large enough that $\|x - \sigma_n(x)\| \leq \varepsilon$ for all $x \in \text{ball}(\text{Lip}_\theta^\alpha(\mathbb{T}^2))$ and $n \geq N$.*

Proof. Consider the second formula in Lemma 3.1. For any $x \in \text{ball}(\text{Lip}_\theta^\alpha(\mathbb{T}^2))$ we have

$$\left\| \int_{-\pi}^{\pi} (x - \gamma_s^1(x)) K_N(s) ds \right\| \leq \int_{-\pi}^{\pi} \|x - \gamma_s^1(x)\| K_N(s) ds \leq \int_{-\pi}^{\pi} |s|^\alpha K_N(s) ds$$

and

$$\begin{aligned} & \left\| \int_{-\pi}^{\pi} \gamma_s^1 \left(\int_{-\pi}^{\pi} (x - \gamma_t^2(x)) K_N(t) dt \right) K_N(s) ds \right\| \\ & \leq \int_{-\pi}^{\pi} \left\| \int_{-\pi}^{\pi} (x - \gamma_t^2(x)) K_N(t) dt \right\| K_N(s) ds = \left\| \int_{-\pi}^{\pi} (x - \gamma_t^2(x)) K_N(t) dt \right\| \\ & \leq \int_{-\pi}^{\pi} |t|^\alpha K_N(t) dt. \end{aligned}$$

Since the function $t \mapsto |t|^\alpha$ is continuous on $[-\pi, \pi]$ and vanishes at $t = 0$, it follows that

$$\int_{-\pi}^{\pi} |t|^\alpha K_N(t) dt \rightarrow 0$$

as $N \rightarrow \infty$. The second formula given in Lemma 3.1 then implies that for any $\varepsilon > 0$ we can choose N large enough that $\|x - \sigma_n(x)\| \leq \varepsilon$ for all $x \in \text{ball}(\text{Lip}_\theta^\alpha(\mathbb{T}^2))$ and $n \geq N$. ■

The next lemma was proved for $\text{Lip}_\theta(\mathbb{T}^2)$ in [26]. The proof for $\text{Lip}_\theta^\alpha(\mathbb{T}^2)$ given here is essentially the same. The result in [26] can also be generalized in a different direction, in the broad setting of compact groups acting on C^* -algebras ([18]).

LEMMA 3.3. *On the unit ball of $\text{Lip}_\theta^\alpha(\mathbb{T}^2)$ the weak*-topology agrees with the operator norm topology.*

Proof. Both topologies are Hausdorff on $\text{ball}(\text{Lip}_\theta^\alpha(\mathbb{T}^2))$, and the weak*-topology is compact. Furthermore, the weak*-topology is weaker than the operator norm topology; for if $x, x_\lambda \in \text{ball}(\text{Lip}_\theta^\alpha(\mathbb{T}^2))$ and $x_\lambda \rightarrow x$ in operator norm, then in the notation of Section 2 we have $\delta_t^k(x_\lambda) \rightarrow \delta_t^k(x)$ in operator norm for each $k = 1, 2$ and $t > 0$, hence (by boundedness) $x_\lambda \oplus \delta(x_\lambda) \rightarrow x \oplus \delta(x)$ ultraweakly, i.e. $x_\lambda \rightarrow x$ weak*. Thus, it will suffice to show that the unit ball of $\text{Lip}_\theta^\alpha(\mathbb{T}^2)$ is compact in operator norm.

To see this let $(x_k) \subset \text{ball}(\text{Lip}_\theta^\alpha(\mathbb{T}^2))$; we will find a subsequence which converges in operator norm. (Since the topology is metric, we may use sequences rather than nets.) Recalling the representation on $l^2(\mathbb{Z}^2)$ described in Section 1, let $a_{mn}^k = \langle x_k v_{00}, v_{mn} \rangle$ be the Fourier coefficients of x_k . Since $\|x_k\| \leq \|x_k\|_\alpha \leq 1$ it follows that $|a_{mn}^k| \leq 1$ for all k, m, n and so we may choose a subsequence x_{j_k} such that the coefficients $(a_{mn}^{j_k})$ converge for each index m, n .

Let x be an ultraweak cluster point of (x_{j_k}) and let a_{mn} be its Fourier coefficients; then a_{mn} is a cluster point of $(a_{mn}^{j_k})$ for each m, n . But the latter sequences have been chosen to converge, so we must have $a_{mn}^{j_k} \rightarrow a_{mn}$ for each m, n . We will show that $x_{j_k} \rightarrow x$ in operator norm.

Given $\varepsilon > 0$, by Lemma 3.2 we can choose N so that

$$\|x - \sigma_N(x)\|, \|x_{j_k} - \sigma_N(x_{j_k})\| \leq \varepsilon$$

for all k . By the last paragraph we can then choose M so that $k \geq M$ implies

$$|a_{mn} - a_{mn}^{j_k}| \leq \frac{\varepsilon}{(2N+1)^2}$$

for all $|m|, |n| \leq N$. This implies that $\|s_n(x) - s_n(x_{j_k})\| \leq \varepsilon$ for $n \leq N$ hence $\|\sigma_N(x) - \sigma_N(x_{j_k})\| \leq \varepsilon$. We conclude that

$$\|x - x_{j_k}\| \leq \|x - \sigma_N(x)\| + \|\sigma_N(x) - \sigma_N(x_{j_k})\| + \|\sigma_N(x_{j_k}) - x_{j_k}\| \leq 3\varepsilon$$

for $k \geq M$. So $x_{j_k} \rightarrow x$ in operator norm, as desired. ■

LEMMA 3.4. (i) *Any polynomial formed from U and V and their adjoints belongs to $\text{Lip}_\theta^\alpha(\mathbb{T}^2)$ for all $\alpha \leq 1$ and to $\text{lip}_\theta^\alpha(\mathbb{T}^2)$ for all $\alpha < 1$.*

(ii) *Let $x \in \text{Lip}_\theta^\alpha(\mathbb{T}^2)$ ($\alpha \leq 1$). Then $\|\sigma_N(x)\|_\alpha \leq \|x\|_\alpha$ for all N and $\sigma_N(x) \rightarrow x$ weak*.*

(iii) *Let $x \in \text{lip}_\theta^\alpha(\mathbb{T}^2)$ ($\alpha < 1$). Then $\sigma_N(x) \rightarrow x$ in Lipschitz norm.*

Proof. (i) The operators U and V were defined in Section 1. Now U belongs to $\text{lip}_\theta^\alpha(\mathbb{T}^2)$ for $\alpha < 1$ since $\gamma_t^2(U) = U$ and

$$\frac{\|U - \gamma_t^1(U)\|}{t^\alpha} = \frac{\|U - e^{-it}U\|}{t^\alpha} = \frac{|1 - e^{-it}|}{t^\alpha} \rightarrow 0$$

as $t \rightarrow 0$. For $\alpha = 1$ we still have $U \in \text{Lip}_\theta^1(\mathbb{T}^2)$ since $|1 - e^{-it}|/t$ is bounded for $t > 0$. Similar statements hold for V , and so the polynomials formed from U and V and their adjoints belong to $\text{lip}_\theta^\alpha(\mathbb{T}^2)$ for $\alpha < 1$ and to $\text{Lip}_\theta^\alpha(\mathbb{T}^2)$ for $\alpha \leq 1$ by Proposition 2.2 (i).

(ii) First of all, $\sigma_N(x) \in \text{Lip}_\theta^\alpha(\mathbb{T}^2)$ by part (i). The sequence is bounded because, using the first formula in Lemma 3.1,

$$\begin{aligned} \|\sigma_N(x)\|_\alpha &= \left\| \int \int \gamma_s^1(\gamma_t^2(x)) K_N(s) K_N(t) \, ds dt \right\|_\alpha \\ &\leq \int \int \|x\|_\alpha K_N(s) K_N(t) \, ds dt = \|x\|_\alpha. \end{aligned}$$

Weak*-convergence then follows from Lemmas 3.2 and 3.3.

(iii) We have $\sigma_N(x) \in \text{lip}_\theta^\alpha(\mathbb{T}^2)$ by part (i). Given $\varepsilon > 0$, find $\delta > 0$ such that $t \leq \delta$ implies $\|x - \gamma_t^k(x)\| \leq \varepsilon t^\alpha$. Then choose N large enough that $n \geq N$ implies

$$\int_{|s| \geq \delta} K_n(s) \, ds \leq \frac{\varepsilon \delta^\alpha}{\|x\|}.$$

We are going to estimate $\|(x - \sigma_n(x)) - \gamma_t^k(x - \sigma_n(x))\|$ (hence $L^\alpha(x - \sigma_n(x))$) for $n \geq N$ by using the second formula in Lemma 3.1.

For $t \leq \delta$ and $n \geq N$, we have

$$\begin{aligned} & \left\| \int ((x - \gamma_s^1(x)) - \gamma_t^k(x - \gamma_s^1(x))) K_n(s) \, ds \right\| \\ &= \left\| \int ((x - \gamma_t^k(x)) - \gamma_s^1(x - \gamma_t^k(x))) K_n(s) \, ds \right\| \\ &\leq \int (\|x - \gamma_t^k(x)\| + \|\gamma_s^1(x - \gamma_t^k(x))\|) K_n(s) \, ds \leq 2\varepsilon t^\alpha. \end{aligned}$$

For $t \geq \delta$, our choice of N implies that

$$\left\| \int_{|s| \geq \delta} ((x - \gamma_s^1(x)) - \gamma_t^k(x - \gamma_s^1(x))) K_n(s) \, ds \right\| \leq \int_{|s| \geq \delta} 4\|x\| K_n(s) \, ds \leq 4\varepsilon \delta^\alpha \leq 4\varepsilon t^\alpha$$

for $n \geq N$, while

$$\begin{aligned} & \left\| \int_{|s| \leq \delta} ((x - \gamma_s^1(x)) - \gamma_t^k(x - \gamma_s^1(x))) K_n(s) \, ds \right\| \\ &\leq \int_{|s| \leq \delta} (\|x - \gamma_s^1(x)\| + \|\gamma_t^k(x - \gamma_s^1(x))\|) K_n(s) \, ds \\ &\leq \int_{|s| \leq \delta} 2\varepsilon |s|^\alpha K_n(s) \, ds \leq 2\varepsilon \delta^\alpha \leq 2\varepsilon t^\alpha \end{aligned}$$

for $n \geq N$. Thus, for any $t > 0$ we have a bound of $6\varepsilon t^\alpha$ on the first integral in the second formula in Lemma 3.1 as applied to

$$\|(x - \sigma_n(x)) - \gamma_t^k(x - \sigma_n(x))\|;$$

the second integral is bounded similarly. We conclude that $L^\alpha(x - \sigma_N(x)) \rightarrow 0$, and as we already know that $\|x - \sigma_N(x)\| \rightarrow 0$ by Lemma 3.2, it follows that $\|x - \sigma_N(x)\|_\alpha \rightarrow 0$. ■

THEOREM 3.5. (i) $\text{lip}_\theta^1(\mathbb{T}^2) = \mathbb{C}$.

(ii) The space of polynomials formed from U and V and their adjoints is Lipschitz norm dense in $\text{lip}_\theta^\alpha(\mathbb{T}^2)$ for $\alpha < 1$ and weak*-dense in $\text{Lip}_\theta^\alpha(\mathbb{T}^2)$ for $\alpha \leq 1$.

(iii) $\text{Lip}_\theta^\alpha(\mathbb{T}^2) \subset C_\theta(\mathbb{T}^2)$ for all $\alpha \leq 1$. If $\alpha < 1$ then $\text{lip}_\theta^\alpha(\mathbb{T}^2)$ is operator norm (ultraweakly) dense in $C_\theta(\mathbb{T}^2)$ ($L_\theta^\infty(\mathbb{T}^2)$), and if $\alpha \leq 1$ then $\text{Lip}_\theta^\alpha(\mathbb{T}^2)$ is operator norm (ultraweakly) dense in $C_\theta(\mathbb{T}^2)$ ($L_\theta^\infty(\mathbb{T}^2)$).

(iv) For $\alpha < \beta \leq 1$ we have $\text{Lip}_\theta^\beta(\mathbb{T}^2) \subset \text{lip}_\theta^\alpha(\mathbb{T}^2)$, densely in Lipschitz norm.

Proof. (i) It is clear that $\text{lip}_\theta^1(\mathbb{T}^2)$ contains the constants. Conversely, for any $x \in \text{Lip}_\theta^1(\mathbb{T}^2)$ we have

$$\frac{(x - \gamma_t^k(x))}{t} \rightarrow i[D_k, x]$$

ultraweakly. It follows that $x \in \text{lip}_\theta^1(\mathbb{T}^2)$, i.e. $\|x - \gamma_t^k(x)\|/t \rightarrow 0$, only if $[D_1, x] = [D_2, x] = 0$. But then

$$0 = \langle [D_1, x]v_{00}, v_{mn} \rangle = m \langle xv_{00}, v_{mn} \rangle$$

implies that the Fourier coefficient a_{mn} vanishes for $m \neq 0$, and similarly a_{mn} vanishes for $n \neq 0$. Thus the Fourier series of x consists of simply a constant term, and convergence of Fourier series (Lemma 3.4 (ii)) implies that x is a constant.

(ii) Containment was proved in Lemma 3.4 (i), and density follows from Lemma 3.4 (ii) and (iii).

(iii) For any $x \in \text{Lip}_\theta^\alpha(\mathbb{T}^2)$ we have $\|x - \gamma_t^k(x)\| \leq L^\alpha(x)t^\alpha \rightarrow 0$ as $t \rightarrow 0$, so $x \in C_\theta(\mathbb{T}^2)$ by Proposition 1.1 (ii). This shows that $\text{Lip}_\theta^\alpha(\mathbb{T}^2) \subset C_\theta(\mathbb{T}^2)$. The density assertions follow from Lemma 3.4 (i).

(iv) Suppose $x \in \text{Lip}_\theta^\beta(\mathbb{T}^2)$. Then

$$\|x - \gamma_t^k(x)\| \leq L^\beta(x)t^\beta = (L^\beta(x)t^{\beta-\alpha})t^\alpha.$$

As $t^{\beta-\alpha} \rightarrow 0$ as $t \rightarrow 0$, this shows that $x \in \text{lip}_\theta^\alpha(\mathbb{T}^2)$. Density follows from Lemma 3.4 (i) and (iii). ■

4. DOUBLE DUALITY

We now aim to prove for any $\alpha < 1$ that $\text{Lip}_\theta^\alpha(\mathbb{T}^2)$ is naturally isometrically isomorphic to the double dual of $\text{lip}_\theta^\alpha(\mathbb{T}^2)$. This was established for α -Lipschitz functions on the unit circle in [8] and later generalized to a large class of spaces by many people, most notably in [2] and [10] (see also [29]).

For $n \in \mathbb{N}$ define

$$\mathcal{A}_n = C\left(\left[\frac{\pi}{n+1}, \frac{\pi}{n}\right], C_\theta(\mathbb{T}^2)\right),$$

the C^* -algebra of continuous functions from the interval $[\pi/(n+1), \pi/n]$ into $C_\theta(\mathbb{T}^2)$. By Proposition 1.1 (ii), for any $x \in C_\theta(\mathbb{T}^2)$ the function

$$\delta_n^k : t \mapsto \frac{(x - \gamma_t^k(x))}{t^\alpha}$$

(with domain $[\pi/(n+1), \pi/n]$) belongs to \mathcal{A}_n , and if $x \in \text{Lip}_\theta^\alpha(\mathbb{T}^2)$ then these functions have uniformly bounded norms. Thus, we have a map

$$\delta : \text{Lip}_\theta^\alpha(\mathbb{T}^2) \rightarrow \bigoplus_n^\infty (\mathcal{A}_n \oplus \mathcal{A}_n)$$

into the l^∞ direct sum, defined by $\delta = \bigoplus (\delta_n^1 \oplus \delta_n^2)$. Note that $x \in \text{lip}_\theta^\alpha(\mathbb{T}^2)$ precisely if $\|\delta_n^k(x)\| \rightarrow 0$ for $k = 1, 2$ as $n \rightarrow \infty$, so that δ takes $\text{lip}_\theta^\alpha(\mathbb{T}^2)$ into the c_0 direct sum $\bigoplus_n^0 (\mathcal{A}_n \oplus \mathcal{A}_n)$.

Now define

$$\mathcal{A} = C_\theta(\mathbb{T}^2) \oplus \bigoplus_n^\infty (\mathcal{A}_n \oplus \mathcal{A}_n)$$

and

$$\mathcal{B} = C_\theta(\mathbb{T}^2) \oplus \bigoplus_n^0 (\mathcal{A}_n \oplus \mathcal{A}_n)$$

(the l^∞ and c_0 direct sums, respectively). The map $\Gamma : x \mapsto x \oplus \delta(x)$ defines an isometric embedding of $\text{Lip}_\theta^\alpha(\mathbb{T}^2)$ in \mathcal{A} and of $\text{lip}_\theta^\alpha(\mathbb{T}^2)$ in $\mathcal{B} \subset \mathcal{A}$.

THEOREM 4.1. *Let $0 < \alpha < 1$. Then $\text{Lip}_\theta^\alpha(\mathbb{T}^2) \cong \text{lip}_\theta^\alpha(\mathbb{T}^2)^{**}$.*

Proof. We already know from Corollary 2.4 that $\text{Lip}_\theta^\alpha(\mathbb{T}^2)$ is a dual space. We begin by defining a map from the dual of $\text{lip}_\theta^\alpha(\mathbb{T}^2)$ into the predual of $\text{Lip}_\theta^\alpha(\mathbb{T}^2)$.

Given a bounded linear functional $f \in \text{lip}_\theta^\alpha(\mathbb{T}^2)^*$, we can extend it to a bounded linear functional $F \in \mathcal{B}^*$ via the embedding Γ of $\text{lip}_\theta^\alpha(\mathbb{T}^2)$ in \mathcal{B} . Since \mathcal{B} is a c_0 direct sum its dual space is an l^1 direct sum of the dual summands, i.e.

$$\mathcal{B}^* = C_\theta(\mathbb{T}^2)^* \oplus \bigoplus_n^1 (\mathcal{A}_n^* \oplus \mathcal{A}_n^*).$$

Therefore F has a natural action on \mathcal{A} , i.e. we may consider $F \in \mathcal{A}^*$, hence $F \circ \Gamma \in \text{Lip}_\theta^\alpha(\mathbb{T}^2)^*$. We now must show that $F \circ \Gamma$ is weak*-continuous on $\text{Lip}_\theta^\alpha(\mathbb{T}^2)$.

It will suffice to show that $F \circ \Gamma$ is weak*-continuous on the unit ball of $\text{Lip}_\theta^\alpha(\mathbb{T}^2)$. We will apply Lemma 3.3. Thus, let $x, x_m \in \text{ball}(\text{Lip}_\theta^\alpha(\mathbb{T}^2))$ and suppose $x_m \rightarrow x$ in operator norm. Let $\varepsilon > 0$. Writing

$$F = F_0 \oplus \bigoplus_n (F_n^1 \oplus F_n^2),$$

we may choose N large enough that $\sum_{n>N} \|F_n^k\| \leq \varepsilon$ for $k = 1, 2$. Also, from the definition of δ_n^k we have $\delta_n^k(x_m) \rightarrow \delta_n^k(x)$ in \mathcal{A}_n for each k and n , so we may then choose M large enough that $m \geq M$ implies

$$\|\delta_n^k(x_m) - \delta_n^k(x)\| \leq \frac{\varepsilon}{N\|F_n^k\|}$$

for $k = 1, 2$ and all $n \leq N$. We may also take M large enough that $\|x_m - x\| \leq \varepsilon/\|F_0\|$ for $m \geq M$. It follows that $m \geq M$ implies

$$\begin{aligned} |F(\Gamma(x_m)) - F(\Gamma(x))| &\leq |F_0(x_m) - F_0(x)| + \sum_{n,k} |F_n^k(\delta_n^k(x_m)) - F_n^k(\delta_n^k(x))| \\ &\leq \|F_0\| \|x_m - x\| + \sum_{n \leq N, k} \|F_n^k\| \|\delta_n^k(x_m) - \delta_n^k(x)\| \\ &\quad + \sum_{n > N, k} \|F_n^k\| \|\delta_n^k(x_m) - \delta_n^k(x)\| \\ &\leq \varepsilon + 2N \left(\frac{\varepsilon}{N}\right) + 4\varepsilon = 7\varepsilon. \end{aligned}$$

We conclude that $F(\Gamma(x_m)) \rightarrow F(\Gamma(x))$, and this completes the proof that $F \circ \Gamma$ is weak*-continuous on $\text{Lip}_\theta^\alpha(\mathbb{T}^2)$.

We have seen that every bounded linear functional on $\text{lip}_\theta^\alpha(\mathbb{T}^2)$ extends to a weak*-continuous linear functional on $\text{Lip}_\theta^\alpha(\mathbb{T}^2)$. The extension is unique by weak*-density of $\text{lip}_\theta^\alpha(\mathbb{T}^2)$ in $\text{Lip}_\theta^\alpha(\mathbb{T}^2)$ (Theorem 3.5 (ii)). Thus we may define a map $T : \text{lip}_\theta^\alpha(\mathbb{T}^2)^* \rightarrow \text{Lip}_\theta^\alpha(\mathbb{T}^2)_*$ by setting $Tf = F \circ \Gamma$. This map is obviously 1-1, and it is onto since every weak*-continuous linear functional on $\text{Lip}_\theta^\alpha(\mathbb{T}^2)$ restricts to a bounded linear functional on $\text{lip}_\theta^\alpha(\mathbb{T}^2)$, of which it is then an extension. Also it is clear that $\|Tf\| \geq \|f\|$, since Tf is an extension of f .

To complete the proof that $\text{lip}_\theta^\alpha(\mathbb{T}^2)^* \cong \text{Lip}_\theta^\alpha(\mathbb{T}^2)_*$ we must show that $\|Tf\| \leq \|f\|$ for any $f \in \text{lip}_\theta^\alpha(\mathbb{T}^2)^*$. To see this let $x \in \text{Lip}_\theta^\alpha(\mathbb{T}^2)$. Then for each N , $\sigma_N(x) \in \text{lip}_\theta^\alpha(\mathbb{T}^2)$ by Lemma 3.4 (i) and $\|\sigma_N(x)\|_\alpha \leq \|x\|_\alpha$ by Lemma 3.4 (ii), so $|f(\sigma_N(x))| \leq \|f\| \|x\|_\alpha$. But $f(\sigma_N(x)) \rightarrow (Tf)(x)$ by weak*-continuity of Tf and Lemma 3.4 (ii), so we conclude that $|(Tf)(x)| \leq \|f\| \|x\|_\alpha$ for all $x \in \text{Lip}_\theta^\alpha(\mathbb{T}^2)$. Thus $\|Tf\| \leq \|f\|$. ■

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