

DUALITY FOR ACTIONS OF WEAK KAC ALGEBRAS AND CROSSED PRODUCT INCLUSIONS OF II_1 FACTORS

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ABSTRACT. Weak Kac algebras generalize both finite dimensional Kac algebras and groupoid algebras. They naturally arise as symmetries of depth 2 inclusions of II_1 factors ([16]). We show that indecomposable weak Kac algebras are free over their counital subalgebras and prove a duality theorem for their actions. Using this result, for any biconnected weak Kac algebra we construct a minimal action on the hyperfinite II_1 factor. The corresponding crossed product inclusion of II_1 factors has depth 2 and an integer index. Its first relative commutant is, in general, non-trivial, so we derive some arithmetic properties of weak Kac algebras from considering reduced subfactors.

KEYWORDS: *Duality for actions, subfactors, weak Kac algebras, λ -lattices.*

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1. INTRODUCTION

It is well understood now that Kac algebras (Hopf C^* -algebras) are closely related with the subfactors theory: it was announced by Ocneanu and was proved in [22], [12], [5] and [4] that irreducible depth 2 inclusions of type II factors come from crossed products with Kac algebras. This result was recently extended to the case of general (i.e., not necessarily irreducible) finite index depth 2 subfactors in [16]. It was shown then that if $N \subset M \subset M_1 \subset M_2 \subset \dots$ is the Jones tower constructed from such a subfactor $N \subset M$, then $K = M' \cap M_2$ has a natural structure of a finite-dimensional weak Kac algebra or weak Hopf C^* -algebra and there is a minimal action of K on M_1 such that M is the fixed point subalgebra of M_1 and M_2 is isomorphic to the crossed product of M_1 and K . This result establishes an injective correspondence between finite index depth 2 subfactors of a given II_1 factor and weak Hopf C^* -algebras.

It is natural to ask if this correspondence is one-to-one in the case of the hyperfinite II_1 factor. Note that in [24] Yamanouchi constructed an outer action

of any finite dimensional Kac algebra K on the hyperfinite II_1 factor R , where the outerness means that $R' \cap R \rtimes K = \mathbb{C}$, i.e., that the first relative commutant of the crossed product inclusion $R \subset R \rtimes K$ is minimal. His construction used the Takesaki duality for actions of Kac algebras ([6]).

In this work we extend this result to weak Kac algebras, i.e., we show that any weak Kac algebra has a minimal action on R . Finite dimensional weak Kac algebras generalize both finite groupoid algebras and usual Kac algebras. Note that a weak Kac algebra is a special case of a weak Hopf C^* -algebra introduced in [3] and [14], which is characterized by the property $S^2 = \text{id}$. It was shown in [17] that the category of weak Kac algebras is equivalent to the categories of generalized Kac algebras of T. Yamanouchi ([25]) and Kac bimodules (an algebraic version of Hopf bimodules ([8])). Compared with these objects, the advantage of the language of weak Kac algebras is that their definition is transparently self-dual, so it is easy to work with both weak Kac algebra and its dual simultaneously.

The paper is organized as follows.

In Section 2 we collect the necessary definitions and facts about weak Kac algebras, their actions and crossed products, and their counital subalgebras; we also give a brief description of the basic construction for $*$ -algebras.

In Section 3 we introduce a λ -Markov condition for weak Kac algebras. A weak Kac algebra K satisfies the λ -Markov condition if the normalized Haar trace on K is the λ -Markov trace for the inclusion $K_s \subset K$, where K_s is the source counital subalgebra of K . This condition is automatically satisfied if K is indecomposable, i.e., not isomorphic to the direct sum of two weak Kac algebras. Theorem 3.5 shows that being λ -Markov is equivalent to the freeness of K over its counital subalgebras; in particular, λ^{-1} must be a positive integer. As a corollary, we obtain that indecomposable weak Kac algebras of prime dimension are group algebras of cyclic groups, which extends the well-known result of Kac ([11]).

Also in this section we introduce and study basic properties of connected and biconnected weak Kac algebras, i.e., those for which the inclusion $K_s \subset K$ is connected (respectively inclusions $K_s \subset K$ and $K_s^* \subset K^*$ are connected). The latest class of indecomposable weak Kac algebras is the most important for the applications to subfactors in Section 5, so we describe a way of constructing biconnected weak Kac algebras from usual Kac algebra actions on C^* -algebras (this procedure generalizes a construction of a groupoid from a group acting on a space).

The central result of Section 4 is a duality theorem for actions of weak Kac algebras. This theorem is an analogue of the well known duality results for actions of locally compact groups ([13]), Kac algebras ([6]), and Hopf algebras ([2]). It states that if K satisfies the λ -Markov condition and acts on a C^* -algebra (von Neumann algebra) A , then the dual crossed product algebra $(A \rtimes K) \rtimes K^*$ is isomorphic to $A \otimes M_{\lambda^{-1}}(\mathbb{C})$. Let us note that a similar result for depth 2 inclusions of von Neumann algebras was proved in [8].

In Section 5 for any biconnected weak Kac algebra K we construct a minimal action on the hyperfinite II_1 factor R (where the minimality means that the relative commutant $R' \cap R \rtimes K$ is minimal). The resulting crossed product inclusion $R \subset R \rtimes K$ of II_1 factors has depth 2 and an integer index λ^{-1} . We compute the standard invariant of this inclusion, and show, in particular, that the first relative commutant is isomorphic to the counital subalgebra of K : $R' \cap R \rtimes K \cong K_s$.

Finally, in Section 6 we construct irreducible subfactors reducing the inclusion $R \subset R \rtimes K$ by the minimal projection in $K_s = R' \cap R \rtimes K$. In this way

we can associate an irreducible finite depth subfactor of R with every irreducible representation of K or K_s . This allows us to derive certain arithmetic properties of biconnected weak Kac algebras.

2. PRELIMINARIES

2.1. WEAK KAC ALGEBRAS ([3] and [17]). Throughout this paper all weak Kac algebras are supposed to be finite-dimensional.

The notion of a weak Kac algebra ([17]) is a special case of a more general concept of weak C^* -Hopf algebra introduced in [3]; see [17] for a discussion on equivalence of weak Kac algebras with other algebraic versions of a quantum groupoid (generalized Kac algebras of T. Yamanouchi ([25]) and Kac bimodules).

A *weak Kac algebra* K is a finite dimensional C^* -algebra equipped with the following linear maps:

- (i) *comultiplication* $\Delta : K \rightarrow K \otimes K$;
- (ii) *counit* $\varepsilon : K \rightarrow \mathbb{C}$;
- (iii) *antipode* $S : K \rightarrow K$;

where Δ is a (not necessarily unital) homomorphism of C^* -algebras, ε is a positive (not necessarily multiplicative) functional, S is a $*$ -preserving anti-multiplicative and anti-comultiplicative involution (i.e., $S^2 = \text{id}$) such that the following identities hold (we denote $\varepsilon_s(x) = (\text{id} \otimes \varepsilon)((1 \otimes x)\Delta(1))$ and $\varepsilon_t(x) = (\varepsilon \otimes \text{id})(\Delta(1)(x \otimes 1))$):

- (1) $(\Delta \otimes \text{id})\Delta = (\text{id} \otimes \Delta)\Delta$ and $(\varepsilon \otimes \text{id})\Delta = \text{id} = (\text{id} \otimes \varepsilon)\Delta$, i.e., K is a coalgebra;
- (2) $\varepsilon_s(x)y = (\text{id} \otimes \varepsilon)((1 \otimes x)\Delta(y))$;
- (3) $(\varepsilon_s \otimes \text{id})\Delta(x) = (1 \otimes x)\Delta(1)$;
- (4) $m(S \otimes \text{id})\Delta(x) = \varepsilon_s(x)$ with $x, y \in K$;

where m denotes multiplication.

The following identities are equivalent to the above axioms (2)–(4) respectively:

- (2') $x\varepsilon_t(y) = (\varepsilon \otimes \text{id})(\Delta(x)(y \otimes 1))$;
- (3') $(\text{id} \otimes \varepsilon_t)\Delta(x) = \Delta(1)(x \otimes 1)$;
- (4') $m(\text{id} \otimes S)\Delta(x) = \varepsilon_t(x)$ with $x, y \in K$.

The dual vector space K^* has a natural structure of a weak Kac algebra given by dualizing the structure operations of K :

$$\begin{aligned} \langle \varphi\psi, x \rangle &= \langle \varphi \otimes \psi, \Delta(x) \rangle && \text{(multiplication),} \\ \langle \Delta(\varphi), x \otimes y \rangle &= \langle \varphi, xy \rangle && \text{(comultiplication),} \\ \langle S(\varphi), x \rangle &= \langle \varphi, S(x) \rangle && \text{(antipode),} \\ \langle \varphi^*, x \rangle &= \langle \varphi, S(x^*) \rangle && \text{(*-operation),} \end{aligned}$$

for all $\varphi, \psi \in K^*$, $x, y \in K$. The unit is given by ε and counit by $\varphi \mapsto \langle \varphi, 1 \rangle$.

Below we collect the most important results of the theory of weak Kac algebras. The proofs can be found in [17].

The maps ε_s and ε_t are called *source* and *target counital maps* respectively and we have $\varepsilon_s^2 = \varepsilon_s$ and $\varepsilon_t^2 = \varepsilon_t$. Their images are unital C^* -subalgebras, called *counital subalgebras* of K :

$$K_s = \{x \in K \mid \varepsilon_s(x) = x\} = \{x \in K \mid \Delta(x) = 1_{(1)} \otimes x1_{(2)}\},$$

$$K_t = \{x \in K \mid \varepsilon_t(x) = x\} = \{x \in K \mid \Delta(x) = 1_{(1)}x \otimes 1_{(2)}\}.$$

The counital subalgebras commute: $[K_s, K_t] = 0$; also we have $S \circ \varepsilon_s = \varepsilon_t \circ S$ and $S(K_s) = K_t$.

Like usual finite-dimensional Kac algebras (= Hopf C^* -algebras), weak Kac algebras have integrals in the following sense.

There exists a unique projection $p_\varepsilon \in K$, called a *Haar projection*, such that for all $x \in K$:

$$p_\varepsilon x = p_\varepsilon \varepsilon_s(x), \quad xp_\varepsilon = \varepsilon_t(x)p_\varepsilon, \quad \varepsilon_s(p_\varepsilon) = \varepsilon_t(p_\varepsilon) = 1.$$

There exists a unique faithful trace τ on K , called a *normalized Haar trace*, such that

$$(\tau \otimes \text{id})\Delta = (\tau \circ \varepsilon_s)\Delta, \quad (\text{id} \otimes \tau)\Delta = (\varepsilon_t \otimes \tau)\Delta, \quad \tau \circ \varepsilon_s = \tau \circ \varepsilon_t = \varepsilon.$$

The normalized Haar projection and trace are unimodular, i.e. $S(p_\varepsilon) = p_\varepsilon$ and $\tau \circ S = \tau$. By duality, τ is the normalized Haar projection for the dual weak Kac algebra K^* .

The maps

$$\begin{aligned} E_s : K &\rightarrow K_s & E_s(x) &= (\tau \otimes \text{id})\Delta(x), \\ E_t : K &\rightarrow K_t & E_t(x) &= (\text{id} \otimes \tau)\Delta(x) \end{aligned}$$

define τ -preserving conditional expectations (see 2.3 for the definition) from K to counital subalgebras.

To fix the notation in what follows, let

$$K \cong \bigoplus_{i=1}^N M_{d_i}(\mathbb{C}), \quad K_s \cong K_t \cong \bigoplus_{\alpha=1}^L M_{m_\alpha}(\mathbb{C}),$$

and let $\{e_{kl}^{(i)}\}$ ($i = 1, \dots, N; k, l = 1, \dots, d_i$) be a system of matrix units in K , $\{f_{rs}^{(\alpha)}\}$ in K_s , and $\{g_{rs}^{(\alpha)}\}$ in K_t ($\alpha = 1, \dots, L; r, s = 1, \dots, m_\alpha$). By [17] we have :

$$\Delta(p_\varepsilon) = \sum_i \frac{1}{d_i} \sum_{k,l} e_{kl}^{(i)} \otimes S(e_{lk}^{(i)}),$$

$$\Delta(1) = \sum_\alpha \frac{1}{m_\alpha} \sum_{r,s} f_{rs}^{(\alpha)} \otimes S(f_{sr}^{(\alpha)}) = \sum_\alpha \frac{1}{m_\alpha} \sum_{r,s} S(g_{sr}^{(\alpha)}) \otimes g_{rs}^{(\alpha)}.$$

In particular, p_ε is cocommutative, i.e., $\Delta(p_\varepsilon) = \varsigma \Delta(p_\varepsilon)$, where ς is the flip on the tensor product $K \otimes K$.

Also we denote $\Lambda = (\Lambda_{ij})$ the $(L \times N)$ inclusion matrix ([9]) of K_s (or K_t) into K .

2.2. ACTIONS, DUAL ACTIONS, AND CROSSED PRODUCTS ([15]). By a $*$ -algebra we understand an associative algebra over \mathbb{C} equipped with a conjugate linear anti-automorphism of order 2 (involution), $x \mapsto x^*$.

The notion of an action of a weak C^* -Hopf algebra on a $*$ -algebra was defined in [15]. We slightly modify that definition, since we consider only those actions for which the map $x \mapsto (x \triangleright 1)$ (respectively $x \mapsto (1 \triangleleft x)$) is injective on a counital subalgebra. We need definitions of left and right actions.

A *left* (respectively *right*) *action* of a weak Kac algebra K on a unital $*$ -algebra A is a linear map

$$K \otimes A \ni h \otimes a \mapsto (h \triangleright a) \in A, \quad \text{respectively } A \otimes K \ni a \otimes h \mapsto (a \triangleleft h) \in A,$$

defining a structure of a left (respectively right) K -module on A such that:

- (i) $h \triangleright ab = (h_{(1)} \triangleright a)(h_{(2)} \triangleright b)$ (respectively $ab \triangleleft h = (a \triangleleft h_{(1)})(b \triangleleft h_{(2)})$);
- (ii) $(h \triangleright a)^* = Sh^* \triangleright a^*$ (respectively $(a \triangleleft h)^* = a^* \triangleleft Sh^*$);
- (iii) $h \triangleright 1 = \varepsilon_t(h) \triangleright 1$, and $h \triangleright 1 = 0$ iff $\varepsilon_t(h) = 0$ (respectively $1 \triangleleft h = 1 \triangleleft \varepsilon_s(h)$, and $1 \triangleleft h = 0$ iff $\varepsilon_s(h) = 0$).

If A is a C^* -algebra or a von Neumann algebra then we also require that the map $a \mapsto (h \triangleright a)$ (respectively $a \mapsto (a \triangleleft h)$) to be norm continuous or weakly continuous for all $h \in K$.

Note that the map $z \mapsto (z \triangleright 1)$ (respectively $z \mapsto (1 \triangleleft z)$) defines an injective $*$ -homomorphism from K_t (respectively K_s) to A . Thus, A must contain a $*$ -subalgebra isomorphic to a counital subalgebra of K .

A *trivial* left (respectively right) action of K on K_t (respectively K_s) is given by

$$h \triangleright a = \varepsilon_t(ha) \quad (\text{respectively } a \triangleleft h = \varepsilon_s(ah)), \quad h \in K, a \in K_t \quad (\text{respectively } K_s).$$

A *dual* left (respectively right) action of K^* on K is given by

$$\varphi \triangleright h = h_{(1)} \langle \varphi, h_{(2)} \rangle, \quad \text{respectively } h \triangleleft \varphi = \langle \varphi, h_{(1)} \rangle h_{(2)}, \quad \varphi \in K^*, h \in K.$$

Given a left (respectively right) action of K on a $*$ -algebra A , there is a left (respectively right) *crossed product* $*$ -algebra $A \rtimes K$ (respectively $K \rtimes A$) constructed as follows. As a \mathbb{C} -vector space it is $A \otimes_{K_t} K$ (respectively $K \otimes_{K_s} A$), where K is a left (respectively right) K_t -module (respectively K_s -module) via multiplication and A is a right K_t -module (respectively left K_s -module) via multiplication by image of K_t (respectively K_s) under $z \mapsto (z \triangleright 1)$ (respectively $z \mapsto (1 \triangleleft z)$); that is, we identify

$$a(z \triangleright 1) \otimes h \equiv a \otimes zh, \quad \text{respectively } hz \otimes a \equiv h \otimes (1 \triangleleft z)a,$$

for all $a \in A, h \in K, z \in K_t$ (respectively K_s). Let $[a \otimes h]$ (respectively $[h \otimes a]$) denote the class of $a \otimes h$ (respectively $h \otimes a$). A $*$ -algebra structure is defined by

$$[a \otimes h][b \otimes k] = [a(h_{(1)} \triangleright b) \otimes h_{(2)}k], \quad [a \otimes h]^* = [(h_{(1)}^* \triangleright a^*) \otimes h_{(2)}^*],$$

respectively

$$[h \otimes a][k \otimes b] = [hk_{(1)} \otimes (a \triangleleft k_{(2)})b], \quad [h \otimes a]^* = [h_{(1)}^* \otimes (a^* \triangleleft h_{(2)}^*)],$$

for all $a, b \in A, h, k \in K$. The maps $i_A : a \mapsto [a \otimes 1_K]$ (respectively $a \mapsto [1_K \otimes a]$) and $i_K : h \mapsto [1_A \otimes h]$ (respectively $h \mapsto [h \otimes 1_A]$) are inclusions of $*$ -algebras such that $A \rtimes K = i_A(A)i_K(K)$ (respectively $K \rtimes A = i_K(K)i_A(A)$). Moreover, if A is a C^* -algebra (von Neumann algebra), then the crossed product is naturally $*$ -isomorphic to a norm closed (weakly closed) $*$ -algebra of operators on some Hilbert space, i.e., it becomes a C^* -algebra (von Neumann algebra).

For the crossed products constructed from the trivial actions of K on counital subalgebras we have

$$K_t \rtimes K \cong K \quad \text{and} \quad K \rtimes K_s \cong K.$$

A left (respectively right) *dual action* of K^* on the crossed product $A \rtimes K$ (respectively $K \rtimes A$) is defined as

$$\varphi \triangleright [a \otimes h] = [a \otimes (\varphi \triangleright h)], \quad \text{respectively} \quad [h \otimes a] \triangleleft \varphi = [(h \triangleleft \varphi) \otimes a],$$

for all $a \in A, h \in K, \varphi \in K^*$. The action of K^* on K defined above is dual to the trivial action of K on the counital subalgebra.

2.3. THE BASIC CONSTRUCTION FOR *-ALGEBRAS ([23]). Let B be a unital *-algebra, A be its *-subalgebra containing the unit of B . A *conditional expectation* $E : B \rightarrow A$ is a faithful (i.e. such that $E(Bb) = 0$ implies $b = 0$, for $b \in B$) linear *-preserving map satisfying

$$E(ab) = aE(b), \quad E(ba) = E(b)a, \quad \text{and} \quad E(a) = a,$$

for all $a \in A, b \in B$. A finite family $\{u_1, \dots, u_n\} \subset B$ is called a *quasi-basis* for E if

$$b = \sum_i u_i E(u_i^* b) \quad \text{for all } b \in B.$$

It is called a *basis* if “the coefficients” $E(u_i^* b)$ are unique, i.e. if $\sum_i u_i a_i = 0, a_i \in A \Leftrightarrow a_i = 0 (\forall i)$. A conditional expectation E is of *finite-index type* if there exists a quasi-basis for E . In this case the *index* of E is defined as

$$\text{Index } E = \sum_i u_i u_i^* \in B.$$

Index E belongs to the center of B and does not depend on the choice of quasi-basis.

The *basic construction* for E is a *-algebra $B \otimes_A B$ with the multiplication and involution given by

$$(b_1 \otimes b_2)(b_3 \otimes b_4) = b_1 E(b_2 b_3) \otimes b_4, \quad (b_1 \otimes b_2)^* = b_2^* \otimes b_1^*,$$

for all b_1, b_2, b_3, b_4 in B . Note that the unit of this algebra is $\sum_i u_i \otimes u_i^*$, where $\{u_i\}$ is the quasi-basis for E .

In what follows we consider only conditional expectations of finite-index type for which a basis (not just a quasi-basis) exists.

In this case $B \otimes_A B$ is canonically isomorphic to $\text{End}_A^r(B)$, the algebra of endomorphisms of B viewed as a right A -module, with the isomorphism $\varphi : B \otimes_A B \rightarrow \text{End}_A^r(B)$ given by

$$\varphi(b_1 \otimes b_2)(b) = b_1 E(b_2 b), \quad b, b_1, b_2 \in B.$$

B is canonically identified with the subalgebra of left multiplication operators ($x \mapsto bx$ for $x \in B$) in $\text{End}_A^r(B)$. Clearly, $\text{End}_A^r(B) \cong M_n(A)$, the *-algebra of $(n \times n)$ matrices over A , since B is free of rank n over A .

Note that $e_A = E \in \text{End}_A^r(B)$ is a projection such that:

$$(i) \quad e_A b e_A = E(b) e_A \quad \text{for all } b \in B,$$

(ii) the map $A \ni a \mapsto ae_A \in \text{End}_A^r(B)$ is injective,

and $\text{End}_A^r(B)$ is generated by B and e_A .

Conversely, if C is a $*$ -algebra containing B as a unital $*$ -subalgebra and generated by B and some projection e_A satisfying properties (i) and (ii) above, then C is canonically $*$ -isomorphic to $B \otimes_A B$ with the isomorphism given by $b_1 e_A b_2 \mapsto b_1 \otimes b_2$.

Due to this fact, we will denote the basic construction for E by $\langle B, e_A \rangle$.

When A and B are C^* -algebras (von Neumann algebras) then $\langle B, e_A \rangle$ naturally becomes a C^* -algebra (von Neumann algebra).

3. λ -MARKOV CONDITION AND CONNECTED WEAK KAC ALGEBRAS

We show that indecomposable weak Kac algebras have the Markov property (i.e., $E_s(p_\varepsilon)$ is a scalar). We prove that this property is equivalent to existence of a basis for E_s , i.e., to freeness of K over the counital subalgebra K_s , and can be expressed in terms of the inclusion matrix of $K_s \subset K$.

We also introduce notions of connected and biconnected weak Kac algebras which are important for applications to the theory of subfactors.

DEFINITION 3.1. A weak Kac algebra K is *decomposable* if it is isomorphic to the direct sum of two weak Kac algebras, $K \cong K_1 \oplus K_2$; otherwise K is *indecomposable*.

These properties can be expressed in terms of the algebra $K_s \cap K_t \cap Z(K)$, the *hypercenter* of K ([15]) (here $Z(K)$ is the center of K).

PROPOSITION 3.2. K is indecomposable iff the hypercenter of K is trivial, i.e., $K_s \cap K_t \cap Z(K) = \mathbb{C}$.

Proof. If q is a projection in $K_s \cap K_t \cap Z(K)$, then $S(q) = q$, $\Delta(q) = (q \otimes q)\Delta(1)$, and $\Delta(qh) = (q \otimes q)\Delta(h)$ for all $h \in K$. Therefore, qK and $(1 - q)K$ are weak Kac algebras and $K = qK \oplus (1 - q)K$. Conversely, if K is decomposable and K_1 is its direct summand, then $\varepsilon_s(1_{K_1}) = \varepsilon_t(1_{K_1}) = 1_{K_1}$ and the unit of K_1 belongs to the hypercenter of K . ■

It turns out that indecomposable weak Kac algebras satisfy an important λ -Markov condition.

DEFINITION 3.3. A weak Kac algebra K satisfies a λ -Markov condition if

$$E_s(p_\varepsilon) = E_t(p_\varepsilon) = \lambda$$

for some positive λ (note that since p_ε is cocommutative, we always have $E_s(p_\varepsilon) = E_t(p_\varepsilon)$).

PROPOSITION 3.4. If K is indecomposable, then it satisfies the λ -Markov condition for some λ .

Proof. Note that

$$E_s(p_\varepsilon) = E_t(p_\varepsilon) = \sum_i \frac{1}{d_i} \sum_k e_{kk}^{(i)} \tau(e_{kk}^{(i)}) = \sum_i \frac{\tau_i}{d_i} \sum_k e_{kk}^{(i)} \in Z(K),$$

where $\tau_i = \tau(e_{kk}^{(i)})$ does not depend on k . Therefore, if $E_s(p_\varepsilon) \neq \lambda$, then the hypercenter is non-trivial and K is decomposable by Proposition 3.2. ■

The following theorem describes the λ -Markov condition in several equivalent ways.

THEOREM 3.5. *The following conditions are equivalent:*

- (i) K satisfies the λ -Markov condition;
- (ii) $\tau = \lambda \text{Tr}$ where Tr is the trace of the left regular representation of K on itself;
- (iii) $(\Lambda\Lambda^t)\vec{m} = \lambda\vec{m}$, where $\vec{m} = (m_1, \dots, m_L)$ is the dimension vector of a counital subalgebra, and Λ is the $L \times N$ inclusion matrix of $K_s \subset K$;
- (iv) $n = \lambda^{-1}$ is an integer and there is a basis $\{x_\nu\}_{\nu=1, \dots, n}$ for E_s , i.e., a basis of K over K_s such that $x = \sum_\nu x_\nu E_s(x_\nu^*, x)$ for all $x \in K$;
- (v) $n = \lambda^{-1}$ is an integer and there is a basis $\{y_\nu\}_{\nu=1, \dots, n}$ for E_t , i.e., a basis of K over K_t such that $y = \sum_\nu y_\nu E_t(y_\nu^*, y)$ for all $y \in K$.

Proof. (i) \Leftrightarrow (ii) As we saw in the proof of Proposition 3.4, $E_s(p_\varepsilon) = \lambda$ iff there exists λ such that $\tau(e_{kk}^{(i)}) = \lambda d_i$, i.e., $\tau = \lambda \text{Tr}$.

(ii) \Leftrightarrow (iii) It suffices to prove that (iii) holds true if and only if Tr is normalized by conditions $(\text{Tr} \otimes \text{id})\Delta(1) = (\text{id} \otimes \text{Tr})\Delta(1) = \lambda^{-1}$ (it was shown in [17] that $(\text{Tr} \otimes \text{id})\Delta = (\text{Tr} \otimes \varepsilon_s)\Delta$ and $(\text{id} \otimes \text{Tr})\Delta = (\varepsilon_t \otimes \text{Tr})\Delta$). Since $\text{Tr} \circ S = \text{Tr}$, we have

$$\begin{aligned}
 (\text{Tr} \otimes \text{id})\Delta(1) &= \sum_{\alpha=1}^K \frac{1}{m_\alpha} \sum_r \text{Tr}(g_{rr}^{(\alpha)})g_{rr}^{(\alpha)} = \sum_{\alpha=1}^K \frac{1}{m_\alpha} \left(\sum_i \Lambda_{\alpha i} d_i \right) \sum_r g_{rr}^{(\alpha)}, \\
 (\text{id} \otimes \text{Tr})\Delta(1) &= \sum_{\alpha=1}^K \frac{1}{m_\alpha} \sum_r f_{rr}^{(\alpha)} \text{Tr}(f_{rr}^{(\alpha)}) = \sum_{\alpha=1}^K \frac{1}{m_\alpha} \left(\sum_i \Lambda_{\alpha i} d_i \right) \sum_r f_{rr}^{(\alpha)}.
 \end{aligned}$$

This shows that (ii) is equivalent to the following condition:

$$\sum_i \Lambda_{\alpha i} d_i = \lambda^{-1} m_\alpha, \quad \alpha = 1, \dots, L.$$

But $d_i = \sum_{\beta=1}^L \Lambda_{\beta i} m_\beta$ since the inclusion $K_s \subset K$ is unital. Hence, we can rewrite the last condition as

$$\sum_{i=1}^N \sum_{\beta=1}^L \Lambda_{\alpha i} \Lambda_{\beta i} m_\beta = \lambda^{-1} m_\alpha, \quad \alpha = 1, \dots, L,$$

which means precisely that $(\Lambda\Lambda^t)\vec{m} = \lambda^{-1}\vec{m}$.

(iii) \Rightarrow (iv) It is clear that λ^{-1} is a positive rational number since all entries of $(\Lambda\Lambda^t)$ and \vec{m} are positive integers. On the other hand, λ^{-1} is an algebraic integer, since it is an eigenvalue of the integer matrix $(\Lambda\Lambda^t)$, therefore, λ^{-1} is an integer.

For all $\alpha = 1, \dots, L$ and $r = 1, \dots, m_\alpha$ define $K_{\alpha r} = K f_{rr}^{(\alpha)}$. Then

$$\dim(K_{\alpha r}) = \text{Tr}(f_{rr}^{(\alpha)}) = \sum_i \Lambda_{\alpha i} d_i = n m_\alpha.$$

For all $y, z \in K_{\alpha r}$ we have:

$$E_s(y^*z) = f_{rr}^{(\alpha)} E_s(y^*z) f_{rr}^{(\alpha)} = (y, z) f_{rr}^{(\alpha)},$$

where (y, z) is a scalar since $f_{rr}^{(\alpha)}$ is minimal in K_s . Clearly, (\cdot, \cdot) defines an inner product in $K_{\alpha r}$, which is non-degenerate since E_s is faithful. Let us choose an orthonormal basis $\{x_\mu^{\alpha r}\}$, $(\mu = 1, \dots, nm_\alpha)$ in $K_{\alpha r}$, $\alpha = 1, \dots, L$, $r = 1, \dots, m_\alpha$ in such a way that

$$x_\mu^{\alpha t} = x_\mu^{\alpha r} f_{rt}^{(\alpha)} \quad \text{for all } t, r = 1, \dots, m_\alpha, \mu = 1, \dots, nm_\alpha.$$

Then we have the following relation

$$E_s((x_\mu^{\alpha r})^* x_{\mu'}^{\alpha' r'}) = \delta_{\alpha\alpha'} \delta_{\mu\mu'} f_{rr'}^{(\alpha)} \quad \text{for all } \alpha, \alpha', \mu, \mu', r, r'.$$

We claim that

$$x_\nu = \sum_\alpha \sum_{r,s} \frac{1}{\sqrt{m_\alpha}} \exp\left(\frac{2sr\pi}{m_\alpha} i\right) x_{\nu+(s-1)n}^{\alpha r}, \quad \nu = 1, \dots, n,$$

is a basis of K over K_s . Indeed:

$$\sum_\nu x_\nu E_s(x_\nu^* x_\mu^{\beta t}) = \sum_\nu \sum_{\alpha,r,s} \frac{1}{m_\alpha} x_{\nu+(s-1)n}^{\alpha r} E_s((x_{\nu+(s-1)n}^{\alpha r})^* x_\mu^{\beta t}) = \frac{1}{m_\beta} \sum_r x_\mu^{\beta r} f_{rt}^{(\beta)} = x_\mu^{\beta t}$$

for all $\beta = 1, \dots, K$, $t = 1, \dots, m_\beta$, $\mu = 1, \dots, nm_\beta$. Next,

$$\begin{aligned} E_s(x_\nu^* x_\kappa) &= \sum_{\alpha,r,r',s,s'} \frac{1}{m_\alpha} \exp\left(\frac{2(sr-s'r')\pi}{m_\alpha} i\right) E_s((x_{\nu+(s-1)n}^{\alpha r})^* x_{\kappa+(s'-1)n}^{\alpha' r'}) \\ &= \delta_{\nu\kappa} \sum_{\alpha,r,r',s} \frac{1}{m_\alpha} \exp\left(\frac{2s(r-r')\pi}{m_\alpha} i\right) f_{rr'}^{(\alpha)} = \delta_{\nu\kappa} \sum_{\alpha,r} f_{rr}^{(\alpha)} = \delta_{\nu\kappa}. \end{aligned}$$

Since ‘ E_s -orthogonality’ implies linear independence over K_s , we conclude that $\{x_\nu\}$ is a basis for E_s .

(iv) \Rightarrow (iii) If there is a basis for $E_s : K \rightarrow K_s$ then the basic construction $\langle K, e_{K_s} \rangle$ is isomorphic to $M_n(K_s)$. This means that the inclusion matrix B of the inclusion $K_s \subset \langle K, e_{K_s} \rangle$ satisfies $B\vec{m} = \lambda^{-1}\vec{m}$. But $B = \Lambda\Lambda^t$ ([9]).

(iv) \Leftrightarrow (v) We will prove (iv) \Rightarrow (v), the converse implication is completely analogous. If $x = \sum_\nu x_\nu E_s(x_\nu^* x)$ then $Sx^* = \sum_\nu Sx_\nu^* E_t(Sx_\nu Sx^*)$, since $E_t = S \circ E_s \circ S$, and we can take $y_\nu = Sx_\nu^*$, $\nu = 1, \dots, \lambda^{-1}$ as a basis for E_t . ■

COROLLARY 3.6. *If the equivalent conditions of Theorem 3.5 are satisfied then τ is a λ^{-1} -Markov trace for the inclusion $K_t \subset K$ ($K_s \subset K$).*

Proof. We need to show that $\Lambda^t \Lambda \vec{t} = \lambda^{-1} \vec{t}$, where \vec{t} is the “trace-vector” corresponding to τ (3.2.3 (ii) of [10]). Since $\tau = \lambda \text{Tr}$, we have $\vec{t} = \lambda \vec{d}$, where $\vec{d} = (d_1, \dots, d_N)$ is the “dimension-vector” of K . Using Theorem 3.5 (iii) we compute

$$\Lambda^t \Lambda \vec{t} = \lambda \Lambda^t \Lambda \vec{d} = \lambda \Lambda^t \Lambda \Lambda^t \vec{m} = \Lambda^t \vec{m} = \vec{d} = \lambda^{-1} \vec{t}. \quad \blacksquare$$

REMARK 3.7. (i) Proposition 3.2 says that K is indecomposable iff the matrix Λ is indecomposable in the sense of [9]. In this case, Theorem 3.5 (iii) implies

that \vec{m} is the Perron-Frobenius eigenvector of the matrix $(\Lambda\Lambda^t)$. It is well-known that in this case the corresponding eigenvalue λ^{-1} is equal to the spectral radius of $(\Lambda\Lambda^t)$, so

$$\lambda^{-1} = \|\Lambda\Lambda^t\| = \|\Lambda\|^2.$$

(ii) Theorem 3.5 (iv) and (v) show that an indecomposable weak Kac algebra K is free over its counital subalgebras K_s and K_t . In particular, $\dim K_s$ divides $\dim K$ and

$$\lambda^{-1} = \frac{\dim K}{\dim K_s}.$$

(iii) Conditional expectations E_s and E_t are of index-finite type and their index is an integer scalar: $\text{Index } E_s = \text{Index } E_t = \lambda^{-1}$.

COROLLARY 3.8. *If K is indecomposable and $\dim K = p$, where p is a prime, then $K \cong \mathbb{C}\mathbb{Z}_p$, a group algebra of a simple abelian group.*

Proof. Remark 3.7 (ii) implies that counital subalgebras of K must be 1-dimensional, so K is an ordinary Kac algebra. But in this case the result is well-known ([11]). ■

The λ -Markov condition is invariant under duality.

PROPOSITION 3.9. *K satisfies the λ -Markov condition iff K^* satisfies the λ -Markov condition (with the same λ).*

Proof. Since K satisfies the λ -Markov condition iff every its indecomposable component does, it sufficed to prove this statement in the case when K is indecomposable. But this is trivial by Proposition 3.4 and Remark 3.7 (ii), since $\dim K_s = \dim K_s^*$. ■

Connected weak Kac algebras (i.e., those with connected Bratteli diagram of the inclusion $K_s \subset K$) form a subclass of indecomposable weak Kac algebras important for the applications to subfactors in Section 5.

DEFINITION 3.10. A weak Kac algebra K is *connected* if the inclusion $K_s \subset K$ is connected, i.e., $K_s \cap Z(K) = \mathbb{C}$ (or, equivalently, $K_t \cap Z(K) = \mathbb{C}$), where $Z(\cdot)$ denotes the center of an algebra. K is *biconnected* if both K and K^* are connected.

PROPOSITION 3.11. (cf. [15]) *The following conditions are equivalent:*

- (i) K is connected;
- (ii) $K_s^* \cap K_t^* = \mathbb{C}$;
- (iii) p_ε is a minimal projection in K (i.e the counital representation of K (Section 2.2 of [17]) is irreducible).

Proof. (i) \Rightarrow (ii) Suppose that there is $\beta \in K_s^* \cap K_t^*$, $\beta \notin \mathbb{C}$. Since the counital subalgebras commute, β must belong to $Z(K_s^*)$, the center of K_s^* . Consider the element $b \in K \cong K^{**}$ defined as $\langle b, \varphi \rangle = \langle 1, \beta\varphi \rangle$ for all $\varphi \in K^*$. We can compute:

$$\begin{aligned} \langle b, \varphi_{(1)} \rangle \varphi_{(2)} &= \langle 1, \beta\varphi_{(1)} \rangle \varphi_{(2)} = \langle 1, \beta_{(1)}\varphi_{(1)} \rangle \beta_{(2)}\varphi_{(2)} = \beta\varphi, \\ \varphi_{(1)} \langle b, \varphi_{(2)} \rangle &= \varphi_{(1)} \langle 1, \beta\varphi_{(2)} \rangle = \varepsilon_{(1)}\varphi \langle 1, \beta\varepsilon_{(2)} \rangle = \beta\varphi, \end{aligned}$$

therefore $b \in Z(K)$. Also, for all $\varphi \in K^*$ we have

$$\langle \varepsilon_s(b), \varphi \rangle = \langle b, \varepsilon_s(\varphi) \rangle = \langle 1, \beta \varepsilon_s(\varphi) \rangle = \langle 1, \beta \varepsilon_{(1)} \rangle \langle 1, \varepsilon_{(2)} \varphi \rangle = \langle 1, \beta \varphi \rangle = \langle b, \varphi \rangle,$$

therefore $\varepsilon_s(b) = b$ and $b \in K_s$. Thus $Z(K) \cap K_s \neq \mathbb{C}1$, so K is not connected.

(ii) \Rightarrow (i) If K is not connected, then there exists $b \in Z(K) \cap K_t$, $b \notin \mathbb{C}$. Define $\beta \in K^*$ by $\beta : x \mapsto \varepsilon(bx)$. We have, for all $x \in K$:

$$\begin{aligned} \langle \beta, \varepsilon_s(x) \rangle &= \varepsilon(b1_{(1)})\varepsilon(x1_{(2)}) = \varepsilon(x\varepsilon_t(b)) = \varepsilon(xb), \\ \langle \beta, \varepsilon_t(x) \rangle &= \varepsilon(b1_{(2)})\varepsilon(1_{(1)}x) = \varepsilon(bx) = \varepsilon(xb), \end{aligned}$$

from where $\varepsilon_s(\beta) = \beta = \varepsilon_t(\beta)$ and $K_s^* \cap K_t^* \neq \mathbb{C}\varepsilon$.

(i) \Rightarrow (iii) If there is a proper subprojection q of p_ε then from the formula for $\Delta(p_\varepsilon)$ we get $\varepsilon_s(q) \neq 1$ and $\varepsilon_s(q) \in Z(K)$, so K is not connected.

(iii) \Rightarrow (i) Let P_ε be the central support of p_ε . It was shown in [17] that the quotient map $K \mapsto P_\varepsilon K$ (which is a homomorphism of weak Kac algebras) is one-to-one on the counital subalgebras. Therefore $K_s \cap Z(K)$ is contained in $Z(P_\varepsilon K)$, and $K_s \cap Z(K) = \mathbb{C}$ when p_ε is minimal. ■

The following construction generalizes transformation groupoids arising from group actions on spaces ([20]). We associate a weak Kac algebra with any finite dimensional C^* -algebra carrying an action of a usual Kac algebra. Our method uses two-sided crossed products introduced in [14].

Namely, let H be a usual finite-dimensional Kac algebra (i.e., finite-dimensional Hopf C^* -algebra) acting on the right on a finite-dimensional C^* -algebra A via $a \otimes h \mapsto (a \triangleleft h)$, where $a \in A$, $h \in H$. Then H also acts on the left on A^{op} , the C^* -algebra opposite to A , via $(h \triangleright a) = a \triangleleft S(h)$, where $a \in A^{\text{op}}$, $h \in H$.

DEFINITION 3.12. A two-sided crossed product C^* -algebra $A^{\text{op}} \rtimes H \ltimes A$ is defined as vector space $A^{\text{op}} \otimes H \otimes A$ with multiplication and involution given by

$$\begin{aligned} (b \otimes h \otimes a)(b' \otimes h' \otimes a') &= (h_{(1)} \triangleright b')b \otimes h_{(2)}h'_{(1)} \otimes (a \triangleleft h'_{(2)})a' \\ (b \otimes h \otimes a)^* &= (h^*_{(1)} \triangleright b^*) \otimes h^*_{(2)} \otimes (a^* \triangleleft h^*_{(3)}), \end{aligned}$$

for all $a, a' \in A$, $b, b' \in A^{\text{op}}$, $h, h' \in H$.

Let $\{f_{rs}^\alpha\}$ be a system of matrix units in $A = \bigoplus_\alpha M_{m_\alpha}(\mathbb{C})$. Then the element $e \in A \otimes A^{\text{op}}$ and the functional $\omega \in A^*$ defined by

$$e = \sum_{\alpha, r, s} \frac{1}{m_\alpha} f_{rs}^\alpha \otimes f_{sr}^\alpha, \quad \omega(f_{rs}^\alpha) = \delta_{rs} m_\alpha$$

do not depend on the choice of matrix units. Moreover, one can directly check that

$$\omega(a(h \triangleright b)) = \omega(b(a \triangleleft h)), \quad e^{(1)} \otimes (h \triangleright e^{(2)}) = (e^{(1)} \triangleleft h) \otimes e^{(2)},$$

where $a \in A$, $b \in A^{\text{op}}$, $h \in H$, and $e = e^{(1)} \otimes e^{(2)}$ (with the summation sign suppressed).

PROPOSITION 3.13. (cf. [14]) *There is a structure of weak Kac algebra on $K = A^{\text{op}} \rtimes H \rtimes A$ defined by*

$$\begin{aligned}\Delta(b \otimes h \otimes a) &= (b \otimes h_{(1)} \otimes e^{(1)}) \otimes ((h_{(2)} \triangleright e^{(2)}) \otimes h_{(3)} \otimes a), \\ \varepsilon(b \otimes h \otimes a) &= \omega(a(h \triangleright b)), \\ S(b \otimes h \otimes a) &= a \otimes S(h) \otimes b,\end{aligned}$$

where $a \in A$, $b \in A^{\text{op}}$, $h \in H$, and the canonical anti-isomorphism $b \mapsto b$ between A^{op} and A is implicitly used.

Proof. The verification of all the axioms is straightforward and is left to the reader. ■

The source and target counital subalgebras of K are

$$K_s = \{1 \otimes 1 \otimes a \mid a \in A\}, \quad K_t = \{b \otimes 1 \otimes 1 \mid b \in A^{\text{op}}\}.$$

Clearly, $K_s \cap K_t = \mathbb{C}$, so K^* is connected by Proposition 3.11. It is easy to see that K is biconnected iff the fixed points algebra

$$A^H = \{a \in A \mid a \triangleleft h = \varepsilon(h)a, \forall h \in H\}$$

is trivial.

In the special case when $H = \mathbb{C}$ acts trivially on A , K^* is isomorphic to the full matrix algebra $M_d(\mathbb{C})$, $d = \dim A$. Such weak Kac algebras were classified in [17].

EXAMPLE 3.14. Let A be a right coideal C^* -subalgebra of H^* and the action of H on A be induced by the dual action of H on H^* :

$$a \triangleleft h = \langle h, a_{(1)} \rangle a_{(2)}.$$

Then $K = A^{\text{op}} \rtimes H \rtimes A$ is a biconnected weak Kac algebra and

$$\lambda^{-1} = (\dim H)(\dim A).$$

In Section 6 we derive some arithmetic properties of biconnected weak Kac algebras from the existence of a minimal action of any such algebra on the hyperfinite II_1 factor.

4. DUALITY FOR ACTIONS

In this section K is a weak Kac algebra satisfying the λ -Markov condition (e.g., indecomposable) and acting on a C^* -algebra A . Left actions are assumed everywhere; the right counterparts of the results below can be obtained similarly and are left to the reader.

LEMMA 4.1. *For all $a \in A$ we have*

$$(n \triangleright a) = a(n \triangleright 1), \quad n \in K_s, \quad \text{and} \quad (n \triangleright a) = (n \triangleright 1)a, \quad n \in K_t.$$

Proof. For all $n \in K_s$ we compute

$$n \triangleright a = (n_{(1)} \triangleright a)(n_{(2)} \triangleright 1) = (1_{(1)} \triangleright a)(1_{(2)} n \triangleright 1) = a(n \triangleright 1),$$

and similarly the second statement. ■

PROPOSITION 4.2. *The map $E_A : A \rtimes K \rightarrow A$ defined as*

$$E_A([a \otimes h]) = a(E_t(h) \triangleright 1), \quad a \in A, h \in K,$$

is a faithful conditional expectation. If $\{y_\nu\}_{\nu=1,\dots,n}$ is a basis for E_t as in Theorem 3.5 (v), then $\{[1 \otimes y_\nu]\}_{\nu=1,\dots,n}$ is a basis for E_A .

Proof. For all $z \in K_t$ we compute

$$\begin{aligned} E_A([a \otimes zh]) &= a(E_t(zh) \triangleright 1) = a(zE_t(h) \triangleright 1) \\ &= a(z \triangleright 1)(E_t(h) \triangleright 1) = E_A([a(z \triangleright 1) \otimes h]), \end{aligned}$$

therefore E_A is well-defined on $A \rtimes K$. Clearly, $E_A|_A = \text{id}_A$. Let us check other properties (using Lemma 4.1):

$$\begin{aligned} E_A([a \otimes 1][b \otimes h][c \otimes 1]) &= E_A([ab(h_{(1)} \triangleright c) \otimes h_{(2)}]) = ab(h_{(1)} \triangleright c)(E_t(h_{(2)}) \triangleright 1) \\ &= ab(E_t(h) \triangleright c) = ab(E_t(h) \triangleright 1)c = aE_A([b \otimes h])c, \end{aligned}$$

for all $a, b, c \in A$ and $h \in K$, so E_A is a conditional expectation. We have $h = \sum_\nu y_\nu E_t(y_\nu^* h) = \sum_\nu E_t(h y_\nu) y_\nu^*$ for all $h \in K$ by Theorem 3.5 (v), so

$$\begin{aligned} [a \otimes h] &= \sum_\nu [a \otimes E_t(h y_\nu) y_\nu^*] = \sum_\nu [a(E_t(h y_\nu) \triangleright 1) \otimes 1][1 \otimes y_\nu^*] \\ &= \sum_\nu [E_A([a \otimes h][1 \otimes y_\nu^*]) \otimes 1][1 \otimes y_\nu^*], \end{aligned}$$

applying the involution we get

$$[a \otimes h] = \sum_\nu [1 \otimes y_\nu][E_A([1 \otimes y_\nu^*][a \otimes h]) \otimes 1], \quad a \in A, h \in K.$$

Therefore, every $x \in A \rtimes K$ can be written as $x = \sum_\nu [1 \otimes y_\nu][a_\nu \otimes 1]$ for some a_ν , $\nu = 1, \dots, n$. Since $E_A([1 \otimes y_\nu^* y_\nu]) = \delta_{\nu\kappa}$, we have

$$E_A(x^* x) = \sum_{\nu, \kappa} E_A([a_\nu^* \otimes 1][1 \otimes y_\nu^*][1 \otimes y_\kappa][a_\kappa \otimes 1]) = \sum_\nu a_\nu^* a_\nu,$$

and $x = 0$ iff $E_A(x^* x) = 0$ iff $a_\nu = 0$ ($\forall \nu$). This proves that E_A is faithful and $\{[1 \otimes y_\nu]\}_{\nu=1,\dots,n}$ is a basis for E_A . ■

REMARK 4.3. $\text{Index } E_A = \text{Index } E_t = \lambda^{-1}$.

In what follows we consider C^* -algebras $A, K, K^*, A \rtimes K$, and $K \rtimes K^*$ as subalgebras of $(A \rtimes K) \rtimes K^*$ in an obvious way with inclusion maps denoted by i_A, i_K etc.

LEMMA 4.4. *Let $e_A = i_{K^*}(\tau) \in (A \rtimes K) \rtimes K^*$. Then:*

- (i) $e_A i_{A \rtimes K}(x) e_A = i_A(E_A(x)) e_A$ for all $x \in A \rtimes K$;
- (ii) the map $A \ni a \mapsto i_A(a) e_A \in (A \rtimes K) \rtimes K^*$ is injective.

Moreover, $E_{A \rtimes K}(e_A) = \lambda$.

Proof. For all $a \in A, h \in K$ we compute

$$\begin{aligned} e_A i_{A \rtimes K}([a \otimes h]) e_A &= [\tau_{(1)} \triangleright [a \otimes h] \otimes \tau_{(2)} \tau] = [\tau_{(1)} \triangleright [a \otimes h] \otimes \varepsilon_t(\tau_{(2)})][1_{A \rtimes K} \otimes \tau] \\ &= [\tau \triangleright [a \otimes h] \otimes \varepsilon][1_{A \rtimes K} \otimes \tau] = i_A(E_A([a \otimes h])) e_A, \end{aligned}$$

which proves (i). Next, we compute

$$E_{A \rtimes K}(i_A(a) e_A) = E_{A \rtimes K}([a \otimes 1] \otimes \tau) = [a \otimes 1](\lambda \varepsilon \triangleright [1 \otimes 1]) = \lambda i_A(a),$$

thus proving that the map $a \mapsto i_A(a) e_A$ is injective. Taking $a = 1$ in the last formula, we obtain $E_{A \rtimes K}(e_A) = \lambda$. ■

PROPOSITION 4.5. $(A \rtimes K) \rtimes K^* = (A \rtimes K) e_A (A \rtimes K)$.

Proof. Observe that for all $a \in A, g, h \in K$

$$i_{A \rtimes K}([a \otimes h]) e_A i_K(g) = i_A(a)(i_K(h) e_A i_K(g)).$$

Since $(A \rtimes K) \rtimes K^* = \text{span}\{i_A(a) i_K \rtimes_{K^*}(x) \mid a \in A, x \in K \rtimes K^*\}$, it suffices to show that $K \rtimes K^* = K e_K K$ (here $e_K = [1_K \otimes \tau] \in K \rtimes K^*$).

For this purpose, we need to show that every element of $K \rtimes K^*$ can be written as a linear combination of elements $i_K(h) e_K i_K(g), h, g \in K$.

Let $\{\varphi_{ij}^\gamma\}$ be a system of matrix units in K^* . Since τ is the normalized Haar projection in K^* , we have

$$\Delta(\tau) = \sum_\gamma \frac{1}{c_\gamma} \sum_{i,j} \varphi_{ij}^\gamma \otimes S(\varphi_{ji}^\gamma),$$

for some integers c_γ . Let $\{v_{ij}^\gamma\}$ be the system of comatrix units in K , dual to $\{\varphi_{ij}^\gamma\}$: $\Delta(v_{ij}^\gamma) = \sum_k v_{ik}^\gamma \otimes v_{kj}^\gamma, \varepsilon(v_{ij}^\gamma) = \delta_{ij}$.

Fix $x \in K$ and let $h_k = x S(v_{pk}^\gamma), g_k = c_\gamma v_{kl}^\gamma$ for some γ, p, l ($k = 1, \dots, m_\gamma$).

Then

$$\begin{aligned} \sum_k i_K(h_k) e_K i_K(g_k) &= \sum_{k,i,j,m} [x S(v_{pk}^\gamma) v_{km}^\gamma \otimes \langle \varphi_{ij}^\gamma, v_{ml}^\gamma \rangle S(\varphi_{ji}^\gamma)] \\ &= \sum_{k,m} [x S(v_{pk}^\gamma) v_{km}^\gamma \otimes S(\varphi_{lm}^\gamma)] = \sum_m [x \varepsilon_s(v_{pm}^\gamma) \otimes S(\varphi_{lm}^\gamma)] \\ &= \left[x \otimes \sum_m \langle \varepsilon_{(1)}, v_{pm}^\gamma \rangle \varepsilon_{(2)} S(\varphi_{lm}^\gamma) \right]. \end{aligned}$$

Since $x \in K$ is arbitrary, it remains to show that the elements of the form $\psi_{lp}^\gamma = \sum_m \langle \varepsilon_{(1)}, v_{pm}^\gamma \rangle \varepsilon_{(2)} S(\varphi_{lm}^\gamma)$ form a linear basis for K^* . We have

$$\begin{aligned} \langle S(\psi_{lp}^\gamma), v_{pq}^\beta \rangle &= \sum_m \langle \varphi_{lm}^\gamma \varepsilon_{(1)}, v_{pq}^\beta \rangle \langle \varepsilon_{(2)}, S(v_{pm}^\gamma) \rangle = \sum_{m,j} \langle \varphi_{lm}^\gamma, v_{pj}^\beta \rangle \langle \varepsilon, v_{jq}^\beta S(v_{pm}^\gamma) \rangle \\ &= \delta_{\gamma\beta} \delta_{lp} \sum_m \langle \varepsilon, v_{mq}^\gamma S(v_{pm}^\gamma) \rangle = \delta_{\gamma\beta} \delta_{lp} \varepsilon(S(v_{pq}^\gamma)) = \delta_{\gamma\beta} \delta_{lp} \delta_{pq}, \end{aligned}$$

therefore, $\psi_{lp}^\gamma = S(\varphi_{lp}^\gamma)$. ■

COROLLARY 4.6. $(A \rtimes K) \rtimes K^* \cong \langle A \rtimes K, e_A \rangle$, i.e., $(A \rtimes K) \rtimes K^*$ is the basic construction for the conditional expectation E_A .

Proof. Propositions 4.2 and Proposition 4.5 show that $(A \rtimes K) \rtimes K^*$ is generated by $A \rtimes K$ and projection e_A in the way characterizing the basic construction (see Subsection 2.3). ■

The following result is an analogue of the Takesaki duality theorem for actions of Kac algebras ([6]) and Hopf algebras ([2]).

THEOREM 4.7. (Duality for actions) *Let K be a weak Kac algebra satisfying the λ -Markov condition, acting on a C^* -algebra A . Then*

$$(A \rtimes K) \rtimes K^* \cong A \otimes M_n(\mathbb{C}), \quad \text{where } n = \lambda^{-1}.$$

Proof. By Proposition 4.2 there is a basis for E_A , therefore $\langle A \rtimes K, e_A \rangle \cong A \otimes M_n(\mathbb{C})$, and the result follows from Corollary 4.6. ■

LEMMA 4.8. *Let K be a weak Kac algebra acting on the right on a $*$ -algebra A . Then $K_t \subset A' \cap K \rtimes A$.*

Proof. If $z \in K_t$, then

$$i_A(a) i_K(z) = [z_{(1)} \otimes (a \triangleleft z_{(2)})] = [z 1_{(1)} \otimes (a \triangleleft 1_{(2)})] = [z \otimes a] = i_K(z) i_A(a),$$

thus $K_t \subset A' \cap K \rtimes A$. ■

DEFINITION 4.9. A right action of K on A is *minimal* if $K_t = A' \cap K \rtimes A$.

5. CONSTRUCTION OF A MINIMAL ACTION OF A BICONNECTED WEAK KAC ALGEBRA ON THE HYPERFINITE II_1 FACTOR

In this section we assume that K is a biconnected weak Kac algebra, in particular that it satisfies the λ -Markov condition for some $\lambda = n^{-1}$.

LEMMA 5.1. *Let K act on a finite-dimensional C^* -algebra A . Suppose that tr is a trace on $A \rtimes K$, and E_A from Proposition 4.2 is the tr -preserving conditional expectation. Then $\text{tr}_1 = \text{tr} \circ E_{A \rtimes K}$ is a trace on $\langle A \rtimes K, e_A \rangle$, extending tr and satisfying $\text{tr}_1(e_A) = \lambda$. In other words, if tr is a trace on $A \rtimes K$ such that E_A preserves it, then tr is a λ -Markov trace for the inclusion $A \subset A \rtimes K$, and tr_1 is its λ -Markov extension to $\langle A \rtimes K, e_A \rangle$.*

Proof. Clearly, tr_1 is a positive functional on $\langle A \rtimes K, e_A \rangle$ extending tr . Let us show that tr_1 is a trace. By Lemma 4.4, $E_{A \rtimes K}(e_A) = \lambda$, therefore

$$\begin{aligned} \text{tr}_1((x_1 e_A y_1)(x_2 e_A y_2)) &= \text{tr}_1((x_1 E_A(y_1 x_2) e_A y_2)) = \lambda \text{tr}(E_A(x_1 y_2) E_A(y_1 x_2)) \\ &= \text{tr}_1((x_2 e_A y_2)(x_1 e_A y_1)), \end{aligned}$$

for all $x_1, y_1, x_2, y_2 \in A \rtimes K$. Since $\langle A \rtimes K, e_A \rangle$ is spanned by elements of the form $x e_A y$, ($x, y \in A \rtimes K$) the result follows from Subsection 3.2.5 of [10]. ■

REMARK 5.2. In conditions of Lemma 5.1, e_A is the Jones projection for the inclusion $A \subset A \rtimes K$ with respect to the Markov trace tr and $E_{A \rtimes K} : \langle A \rtimes K, e_A \rangle \rightarrow A \rtimes K$ is the tr -preserving conditional expectation.

Note that the map $\varphi \mapsto (\varphi \triangleright 1)$ gives an isomorphism between K_t^* and K_s in the crossed product algebra $K \rtimes K^*$.

PROPOSITION 5.3. *Let K be a connected weak Kac algebra and let tr be the unique Markov trace for the inclusion $i_K(K) \subset K \rtimes K^*$. Then*

$$\begin{array}{ccc} i_K(K) & \subset & K \rtimes K^* \\ \cup & & \cup \\ i_K(K_s) \equiv i_{K^*}(K_t^*) & \subset & i_{K^*}(K^*) \end{array}$$

is a symmetric commuting square with respect to tr .

Proof. By Corollary 3.6, τ is a Markov trace for the inclusion $K_t \subset K$, and E_t is the τ -preserving conditional expectation.

Since $K \rtimes K^* = (K_t \rtimes K) \rtimes K^*$, it follows from Lemma 5.1 that tr extends τ and $E_K : K \rtimes K^* \rightarrow K$ is the tr -preserving conditional expectation. We have

$$E_K(i_{K^*}(\varphi)) = E_K([1 \otimes \varphi]) = i_K(\varphi \triangleright 1) \in i_K(K_s),$$

for all $\varphi \in K^*$. This proves that the square is commuting. It is symmetric since $K \rtimes K^* = i_K(K) i_{K^*}(K^*)$. ■

Corollary 4.6 implies that the sequence

$$K_t \subset K \subset K \rtimes K^* \subset K \rtimes K^* \rtimes K \subset \dots \subset M$$

is the Jones tower for the inclusion $K_t \subset K$. When K is connected, all the inclusions in this sequence are connected and the union of these C^* -algebras admits a unique tracial state. Consequently, its von Neumann algebra completion M with respect to this trace is a copy of the hyperfinite II_1 factor. Using the standard procedure of iterating the basic construction we can construct a von Neumann subalgebra $N \subset M$ from the above symmetric commuting square.

PROPOSITION 5.4. *The lattice of C^* -algebras obtained by iterating the basic construction (in the horizontal direction) for the symmetric commuting square from Proposition 5.3 is given by two sequences of alternating crossed products with K and K^* :*

$$\begin{array}{ccccccc} K & \subset & K \rtimes K^* & \subset & K \rtimes K^* \rtimes K & \subset & \cdots \subset M \\ \cup & & \cup & & \cup & & \cup \\ K_s \equiv K_t^* & \subset & K^* & \subset & K^* \rtimes K & \subset & \cdots \subset N, \end{array}$$

where we identify all C^* -subalgebras with their images in M .

Proof. Identities $K^* \rtimes K = \langle K, e_K \rangle$, $K^* \rtimes K \rtimes K^* = \langle K^* \rtimes K, e_{K \rtimes K^*} \rangle$ etc. follow immediately from Proposition 4.5. ■

PROPOSITION 5.5. *There is a $*$ -isomorphism between finite dimensional C^* -algebras*

$$A^r = \underbrace{K \rtimes K^* \rtimes \cdots \rtimes K \rtimes K^*}_{2r \text{ factors}} \quad \text{and} \quad B^r = \underbrace{K \rtimes K^* \rtimes \cdots \rtimes K \rtimes K^*}_{2r \text{ factors}}$$

given by the “identity” map

$$[h^1 \otimes \varphi^1 \otimes \cdots \otimes h^r \otimes \varphi^r] \mapsto [h^1 \otimes \varphi^1 \otimes \cdots \otimes h^r \otimes \varphi^r],$$

where $h^i \in K$, $\varphi^i \in K^*$.

Proof. By the definition of crossed product, the above algebras are isomorphic to

$$K_{K_t=K_s^*} \otimes K^*_{K_t^*=K_s} \otimes \cdots \otimes K^*_{K_t=K_s^*}$$

as vector spaces. By Theorem 4.7, we know that these algebras are isomorphic to $M_{nr}(\mathbb{C}) \otimes K_s$, where $n = \lambda^{-1}$. To see that the “identity” map defines a $*$ -algebra isomorphism, it suffices to note that

$$\begin{aligned} & [h^1 \otimes \varphi^1 \otimes \cdots \otimes h^r \otimes \varphi^r] \cdot_{A^r} [g^1 \otimes \psi^1 \otimes \cdots \otimes g^r \otimes \psi^r] \\ &= [h^1(\varphi^1_{(1)} \triangleright g^1) \otimes \varphi^1_{(2)}(h^2_{(1)} \triangleright \psi^1) \otimes \cdots \otimes h^r_{(2)}(\varphi^r_{(1)} \triangleright g^r) \otimes \varphi^r_{(2)}\psi^r] \\ &= [h^1 g^1_{(1)} \otimes \langle \varphi^1_{(1)}, g^1_{(2)} \rangle \varphi^1_{(2)} \psi^1_{(1)} \otimes \cdots \otimes \langle \psi^r_{(2)}{}^{-1}, h^r_{(1)} \rangle h^r_{(2)} g^r_{(1)} \otimes \langle \varphi^r_{(1)}, g^r_{(2)} \rangle \varphi^r_{(2)} \psi^r] \\ &= [h^1 g^1_{(1)} \otimes (\varphi^1 \triangleleft g^1_{(2)}) \psi^1_{(1)} \otimes \cdots \otimes (h^r \triangleleft \psi^r_{(2)}{}^{-1}) g^r_{(1)} \otimes (\varphi^r \triangleleft g^r_{(2)}) \psi^r] \\ &= [h^1 \otimes \varphi^1 \otimes \cdots \otimes h^r \otimes \varphi^r] \cdot_{B^r} [g^1 \otimes \psi^1 \otimes \cdots \otimes g^r \otimes \psi^r], \end{aligned}$$

for all $h^i, g^i \in K$, $\varphi^i, \psi^i \in K^*$, $i = 1, \dots, r$, i.e. multiplications in A^r and B^r are the same. ■

COROLLARY 5.6. *The lattice of algebras from Proposition 5.4 is isomorphic to*

$$\begin{array}{ccccccc} K & \subset & K \rtimes K^* & \subset & K \rtimes K^* \rtimes K & \subset & \cdots \subset M \\ \cup & & \cup & & \cup & & \cup \\ K_s & \subset & K^* & \subset & K^* \rtimes K & \subset & \cdots \subset N. \end{array}$$

Proof. Clearly, the isomorphisms constructed in Proposition 5.5 are compatible with all inclusions of the lattice from Proposition 5.4. ■

Our next goal is to show that there is a right action of K on N such that $M \cong K \rtimes N$.

PROPOSITION 5.7. Let $i_K : h \mapsto [h \otimes \varepsilon \otimes 1 \otimes \dots]$ be the inclusion of K in M , $E_N : M \rightarrow N$ be the trace preserving conditional expectation. Then the map

$$x \triangleleft h = \lambda^{-1} E_N(i_K(p_\varepsilon) x i_K(h)), \quad x \in N, h \in K$$

defines a right action of K on N such that $M = K \rtimes N$ (cf. Section 5 of [22]).

Proof. There is a right action of K on the $*$ -subalgebra given by the union of the generating sequence of C^* -algebras of N :

$$[\varphi \otimes g \otimes \dots] \triangleleft h = [(\varphi \triangleleft h) \otimes g \otimes \dots], \quad h, g \in K, \varphi \in K^*.$$

We have

$$\begin{aligned} [\varphi \otimes g \otimes \dots] \triangleleft h &= \lambda^{-1} [(\varepsilon \triangleleft E_s(p_\varepsilon))(\varphi \triangleleft h) \otimes g \otimes \dots] \\ &= \lambda^{-1} E_N([p_\varepsilon \otimes (\varphi \triangleleft h) \otimes g \otimes \dots]) = \lambda^{-1} E_N(i_K(p_\varepsilon)[\varphi \otimes g \otimes \dots] i_K(h)), \end{aligned}$$

therefore the map $x \triangleleft h = \lambda^{-1} E_N(i_K(p_\varepsilon) x i_K(h))$ extends the above action to a weakly continuous action of K on N . Clearly, $K \rtimes N = i_k(K)N = M$. ■

COROLLARY 5.8. $[M : N] = \lambda^{-1}$.

Proof. Follows from Remark 4.3 and Proposition 5.1.9 in [10]. ■

Let us compute the higher relative commutants of the inclusion $N \subset M$.

LEMMA 5.9. Let K act on the left on a C^* -algebra A ; then

$$i_{K^*}(K^*)' \cap i_{A \rtimes K}(A \rtimes K) \cap (A \rtimes K) \rtimes K^* = i_A(A).$$

Proof. Let $C = i_{K^*}(K^*)' \cap i_{A \rtimes K}(A \rtimes K) \cap (A \rtimes K) \rtimes K^*$ and $x \in C$. Recall that $e_A = i_{K^*}(\tau)$. Then $x e_A = e_A x e_A = E_A(x) e_A$ and since the map $A \rtimes K \ni x \mapsto i_{A \rtimes K}(x) e_A$ is injective (Lemma 4.4), it follows that $x \in i_A(A)$ and $C \subset i_A(A)$.

Conversely, for all $a \in A, \varphi \in K^*$ we have

$$\begin{aligned} i_{K^*}(\varphi) i_A(a) &= [1_{A \rtimes K} \otimes \varphi][[a \otimes 1] \otimes \varepsilon] = [(\varphi_{(1)} \triangleright [a \otimes 1]) \otimes \varphi_{(2)}] \\ &= [[a \otimes 1](\varphi_{(1)} \triangleright [1 \otimes 1]) \otimes \varphi_{(2)}] = [[a \otimes 1] \otimes \varphi] = i_A(a) i_{K^*}(\varphi), \end{aligned}$$

therefore $i_A(A) = C$. ■

PROPOSITION 5.10. Let $N \subset M = M_0 \subset M_1 \subset M_2 \dots$ be the Jones tower constructed from the inclusion $N \subset M$. Then

$$N' \cap M_n \cong \underbrace{\dots \rtimes K \rtimes K^*}_{n \text{ factors}} \rtimes K_t, \quad n \geq 0$$

$$M' \cap M_n \cong \underbrace{\dots \rtimes K^* \rtimes K}_{(n-1) \text{ factors}} \rtimes K_t^*, \quad n \geq 1.$$

In particular, the action of K is minimal.

Proof. Iterating the basic construction for the commuting square from Proposition 5.3 in the vertical direction and using Proposition 5.5, we get the lattice

$$\begin{array}{ccc} \dots & & \dots \\ \cup & & \cup \\ K^* \rtimes K & \subset & K^* \rtimes K \rtimes K^* \\ \cup & & \cup \\ K & \subset & K \rtimes K^* \\ \cup & & \cup \\ K_t^* \equiv K_s & \subset & K^*. \end{array}$$

The Ocneanu compactness argument ([10]) and Lemma 5.9 imply that

$$N' \cap M = K_t, \quad N' \cap M_1 = K^*, \quad N' \cap M_2 = K \rtimes K^* \quad \dots$$

Similarly, one computes the relative commutants for M . ■

COROLLARY 5.11. ([15]) *The inclusion $N \subset M$ is of depth 2.*

Proof. We have seen in Section 4 that $K \rtimes K^* \cong K_t \otimes M_n(\mathbb{C})$, where $n = \lambda^{-1}$. Therefore, $\dim Z(N' \cap M) = \dim Z(N' \cap M_2)$, and so $N \subset M$ is of depth 2. ■

COROLLARY 5.12. *The λ -lattice of higher relative commutants ([19]) of the inclusion $N \subset M$ is given by*

$$\begin{array}{ccccccccccc} \mathbb{C} & \subset & K_t^* \equiv K_s & \subset & K & \subset & K^* \rtimes K & \subset & K \rtimes K^* \rtimes K & \subset & \dots \\ & & \cup & & \cup & & \cup & & \cup & & \\ & & \mathbb{C} & \subset & K_t \equiv K_s^* & \subset & K^* & \subset & K \rtimes K^* & \subset & \dots \end{array}$$

REMARK 5.13. In a similar way one can construct a left minimal action of a biconnected weak Kac algebra on the hyperfinite II_1 factor.

6. EXAMPLES OF SUBFACTORS AND ARITHMETIC PROPERTIES OF BICONNECTED WEAK KAC ALGEBRAS

Let K be a biconnected weak Kac algebra. Recall the notation

$$K \cong \bigoplus_{i=1}^N M_{d_i}(\mathbb{C}), \quad K_s \cong K_t \cong \bigoplus_{\alpha=1}^L M_{m_\alpha}(\mathbb{C}),$$

from Subsection 2.1. Let us also denote $d = \dim K_s$. We have $\dim K = d\lambda^{-1}$.

Reducing the inclusion $N \subset M = K \rtimes N$ constructed in Section 5 by a minimal projection $q \in N' \cap M = K_t$, we get an irreducible inclusion $qN \subset qMq$ of hyperfinite II_1 factors with index $[qMq : qN] = \tau(q)^2 \lambda^{-1}$, where τ is the normalized trace on M ($qN \subset qMq$ is of finite depth ([1]), and therefore extremal, see [18]). But $\tau(q) = \frac{m_\alpha}{d}$, when $q \in M_{m_\alpha}(\mathbb{C})$, therefore

$$[qMq : qN] = \frac{m_\alpha^2 \lambda^{-1}}{d^2}.$$

Note that since $qN \subset qMq$ has a finite depth, its index is an algebraic integer. But by Theorem 3.5, λ^{-1} is an integer, so $[qMq : qN]$ is rational. Therefore, $[qMq : qN]$ is an integer. Thus, we proved

PROPOSITION 6.1. *d^2 divides $m_\alpha^2 \lambda^{-1}$ for all α .*

COROLLARY 6.2. *If $\lambda^{-1} = p$ is a prime, then $K \cong \mathbb{C}\mathbb{Z}_p$.*

Proof. By the previous proposition we must have $d = 1$, so $\dim K = d\lambda^{-1} = p$ and the result follows from Corollary 3.9. ■

Next, reducing the inclusion $M \subset M_2$ by a minimal projection q from the relative commutant $M' \cap M_2 = K$ we get an irreducible inclusion $qM \subset qM_2q$. Clearly, this inclusion depends only on the equivalence class of q , so inclusions of the above type are in one-to-one correspondence with irreducible representations of K . The index is

$$[qM_2q : qM] = \tau(q)^2[M_2 : M] = \tau(q)^2\lambda^{-2} = \left(\frac{d_i}{d}\right)^2,$$

whenever $q \in M_{d_i}(\mathbb{C})$. Again, the index must be an integer, so we get the following arithmetic property of biconnected weak Kac algebras.

COROLLARY 6.3. *The dimension of a counital subalgebra of K divides the degree of any irreducible representation of K , i.e., d divides d_i for all i . In particular, d^2 divides $\dim K$, and d divides $\lambda^{-1} = [M : N]$.*

Finally, let us remark that considering the biconnected weak Kac algebra $K = H \rtimes H^* \rtimes H$ constructed from a Kac algebra H as in Example 3.14, we can associate an irreducible subfactor with any irreducible representation of H (since we have $K_t = H$ in this case).

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