

## ON EXTENSIONS OF STABLY FINITE $C^*$ -ALGEBRAS

HONGLIANG YAO

*Communicated by William Arvenson*

**ABSTRACT.** In this paper, we prove that for any  $C^*$ -algebra  $A$  with an approximate unit of projections, there is a smallest ideal  $I$  of  $A$ , in which quotient  $A/I$  is stably finite. We give a sufficient condition and a necessary condition on which  $I$  is the smallest ideal in this case for  $A$  by  $K$ -theory.

**KEYWORDS:** *Extension, stably finite  $C^*$ -algebra, index map.*

**MSC (2000):** 13B02, 46L05, 46L80.

### 1. INTRODUCTION AND MAIN RESULTS

Extension theory is important in many contexts, since it describes how more complicated  $C^*$ -algebras can be constructed out of simpler “building blocks”. There are many important applications of extension theory (see [2]). A  $C^*$ -algebra  $A$  is called *finite* if it admits an approximate unit of projections and all projections in  $A$  are finite. If  $A \otimes \mathcal{K}$  is finite, then  $A$  is called *stably finite*. About extensions of stably finite  $C^*$ -algebras, J.S. Spielberg gave an important result:

**THEOREM 1.1** ([6]). *Let  $A$  be a  $C^*$ -algebra, let  $I$  be an ideal in  $A$ , and suppose that  $I$  and  $A/I$  are stably finite. Then  $A$  is stably finite if and only if*

$$\partial(K_1(A/I)) \cap K_0(I)_+ = 0.$$

In this short paper, we will prove that for any  $C^*$ -algebra  $A$  with an approximate unit of projections, there is a smallest ideal  $I$  in which quotient  $A/I$  is stably finite. Thus if  $Q$  is a stably finite quotient of  $C^*$ -algebra  $A$ , then there is a canonical surjective  $*$ -homomorphism from  $Q$  to  $A/I$ . Give a sufficient condition and a necessary condition on which  $I$  is a smallest ideal in this case for  $A$  by  $K$ -theory. When the ideal  $I$  is simple and has real rank zero, the former result is equivalent to Theorem 1.1.

**THEOREM 1.2.** *Let  $A$  be a  $C^*$ -algebra with an approximate unit of projections,  $\{I_\lambda\}_{\lambda \in \Lambda}$  is a set of ideals of  $A$ .*

- (i) If quotient  $A/I_\lambda$  is a finite  $C^*$ -algebra for each  $\lambda \in \Lambda$ , then  $A/\bigcap_{\lambda \in \Lambda} I_\lambda$  is a finite  $C^*$ -algebra;
- (ii) If quotient  $A/I_\lambda$  is a stably finite  $C^*$ -algebra for each  $\lambda \in \Lambda$ , then  $A/\bigcap_{\lambda \in \Lambda} I_\lambda$  is a stably finite  $C^*$ -algebra.

Let  $\{I_\lambda\}_{\lambda \in \Lambda}$  be the set of all ideals  $I_\lambda$  of  $A$  with  $A/I_\lambda$  is stably finite. Throughout this paper, we denote the ideal  $\bigcap_{\lambda \in \Lambda} I_\lambda$  of  $A$  by  $I(A)$ .

**THEOREM 1.3.** *Let  $A$  be a  $C^*$ -algebra with an approximate unit of projections, and let  $I$  be an ideal of  $A$ , which has real rank zero. If  $A/I$  is stably finite and for any  $x \in K_0(I)_+$ , there is an  $y$  in  $\partial(K_1(A/I)) \cap K_0(I)_+$  such that  $x \leq y$ , then  $I = I(A)$ .*

**COROLLARY 1.4.** *Let  $A$  be a  $C^*$ -algebra with real rank zero. If  $K_0(A)_+ = K_0(A)$ , then  $I(A) = A$ .*

**THEOREM 1.5.** *Let  $A$  be a  $C^*$ -algebra with an approximate unit of projections. Let  $J$  be the ideal of  $A$  generated by*

$$\{q : \text{there is a hyponormal partial isometry } v \in A \text{ such that } vv^* - v^*v = q\}.$$

*Then for any  $x = [p]_0$  in  $K_0(I(A))_+$ , where  $p \in J$ , there is an  $y$  in  $\partial(K_1(A/I(A))) \cap K_0(I(A))_+$  such that  $x \leq y$ .*

**COROLLARY 1.6.** *Let*

$$0 \longrightarrow I \longrightarrow A \longrightarrow B \longrightarrow 0$$

*be an extension of  $C^*$ -algebras. Suppose that  $A$  has an approximate unit of projections and that  $I$  and  $B$  are two stably finite  $C^*$ -algebras. If  $I$  is a non-zero simple  $C^*$ -algebra with real rank zero, then the following conditions are equivalent:*

- (i)  $A$  is not stably finite;
- (ii)  $I = I(A)$ ;
- (iii) for any  $x \in K_0(I)_+$ , there is an  $y$  in  $\partial(K_1(A/I)) \cap K_0(I)_+$  such that  $x \leq y$ .

**COROLLARY 1.7.** *Let  $A$  be a simple  $C^*$ -algebra with real rank zero. Then  $I(A) = A$  if and only if  $K_0(A)_+ = K_0(A)$ . Furthermore, either  $(K_0(A), K_0(A)_+)$  is an order group or  $K_0(A)_+ = K_0(A)$ .*

2. PROOFS

**LEMMA 2.1** ([3], 1.11.42). *Let  $A$  be a  $C^*$ -algebra with an approximate unit of projections. Then every ideal  $I$  of  $M_n(A)$  has the form  $M_n(J)$  for some ideal  $J$  of  $A$ . So  $M_n(A)/I \cong M_n(A/J)$ .*

*Proof of Theorem 1.2.* (i) Let  $\{p_i\}$  be an approximate unit of projections in  $A$ .  $\pi$  is the quotient map from  $A$  to  $A/\bigcap_{\lambda \in \Lambda} I_\lambda$ . Then  $\pi(p_i)$  becomes an approximate

unit of projections in  $A / \bigcap_{\lambda \in \Lambda} I_\lambda$ . For any  $i$ , we assume that  $v^*v = \pi(p_i)$ . There is  $w \in p_i A p_i$  such that  $\pi(w) = v$ . Since  $\pi(w^*w) = \pi(p_i)$ ,  $w^*w \in p_i + \bigcap_{\lambda \in \Lambda} I_\lambda$ . By the hypothesis of the theorem,  $ww^* \in p_i + I_\lambda$  for all  $\lambda$ , so  $ww^* \in p_i + \bigcap_{\lambda \in \Lambda} I_\lambda$ ,  $vv^* = \pi(ww^*) = \pi(p_i)$ . Therefore  $A / \bigcap_{\lambda \in \Lambda} I_\lambda$  is a finite C\*-algebra.

(ii) By Lemma 2.1 and (i), (ii) is obvious. ■

LEMMA 2.2. *Let  $A$  be a C\*-algebra with an approximate unit of projections. Then:*

- (i) *if  $B$  is an ideal of  $A$ , with an approximate unit of projections, then  $I(B) \subset I(A)$ ;*
- (ii)  $I(\tilde{A}) = I(A)$ ;
- (iii)  $I(M_n(A)) = M_n(I(A))$ ,  $I(A \otimes \mathcal{K}) = I(A) \otimes \mathcal{K}$ .

*Proof.* Note that every ideal  $I$  of  $M_n(A)$  has the form  $M_n(J)$  for some ideal  $J$  of  $A$ . (iii) is trivial.

(i) Let  $\{I_\lambda\}$  be the set of all ideal of  $A$  with  $A/I_\lambda$  is stably finite. Then  $\ker \pi_\lambda \circ i = B \cap I_\lambda$ .  $I(B) \subset \bigcap_{\lambda \in \Lambda} I_\lambda = I(A)$ .

(ii) By (i),  $I(A) \subset I(\tilde{A})$  and conversely,  $I(\tilde{A}) \subset I(A)$  is trivial. ■

Let  $A$  be a C\*-algebra and let  $M_n(A)$  denote the  $n \times n$  matrices whose entries are elements of  $A$ . Let  $M_\infty(A)$  denote the algebraic limit of the direct system  $(M_n(A), \phi_n)$ , where  $\phi_n : M_n(A) \rightarrow M_{n+1}(A)$  is given by

$$a \mapsto \begin{pmatrix} a & 0 \\ 0 & 0 \end{pmatrix}.$$

Let  $M_\infty(A)_+$  (respectively  $M_n(A)_+$ ) denote the positive elements in  $M_\infty(A)$  (respectively  $M_n(A)$ ).

Given  $a, b \in M_\infty(A)_+$ , we say that  $a$  is Cuntz subequivalent to  $b$ , written  $a \preceq b$ , if there is a sequence  $\{x_n\}_{n=1}^\infty$  of elements of  $M_\infty(A)$  such that

$$\lim_{n \rightarrow \infty} \|x_n b x_n^* - a\| = 0.$$

We say that  $a$  and  $b$  are Cuntz equivalent (written  $a \sim b$ ) if  $a \preceq b$  and  $b \preceq a$ . It is easy to see that if  $p$  and  $q$  are projections,  $p \preceq q$  is equivalent to the existence of a partial isometry  $u \in A$  with  $u^*u = p$  and  $uu^* \leq q$ .

PROPOSITION 2.3 ([4], [5]). *Let  $A$  be a C\*-algebra, and  $a, b \in A_+$ . Then*

- (a)  $(a - \varepsilon)_+ \preceq a$  for every  $\varepsilon > 0$ .
- (b) *The following are equivalent:*
  - (i)  $a \preceq b$ ;
  - (ii) for all  $\varepsilon > 0$ ,  $(a - \varepsilon)_+ \preceq b$ ;
  - (iii) for all  $\varepsilon > 0$ , there exists  $\delta > 0$  such that  $(a - \varepsilon)_+ \preceq (b - \delta)_+$ ;
  - (iv) there are  $x_n, y_n \in \tilde{A}$  with  $\lim_{n \rightarrow \infty} \|x_n b y_n - a\| = 0$ , where  $\tilde{A}$  is the unitization of  $A$ .

(c) *If  $\varepsilon > 0$  and  $\|a - b\| < \varepsilon$ , then  $(a - \varepsilon)_+ \preceq b$ .*

LEMMA 2.4. Let  $A$  be a  $C^*$ -algebra,  $a, b \in A_+$ , then  $a + b \lesssim a \oplus b$ . If  $A$  has real rank zero and  $a \perp b$ , then  $a + b \sim a \oplus b$ .

*Proof.* Since

$$\begin{pmatrix} 1 & 1 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} a & 0 \\ 0 & b \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 1 & 0 \end{pmatrix} = \begin{pmatrix} a+b & 0 \\ 0 & 0 \end{pmatrix},$$

$a + b \lesssim a \oplus b$ . Let  $A$  have real rank zero, and let  $a \perp b$ . Since  $A$  has real rank zero, for any  $\varepsilon > 0$ , there is a projection  $p \in \overline{aAa}$  such that  $\|a - pap\| < \varepsilon$ , and there is a projection  $q \in \overline{bAb}$  such that  $\|b - qaq\| < \varepsilon$ . Note that  $p \perp q$ . Since

$$\begin{pmatrix} p & 0 \\ q & 0 \end{pmatrix} \begin{pmatrix} pap + qbq & 0 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} p & q \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} pap & 0 \\ 0 & qbq \end{pmatrix}.$$

Hence

$$(a \oplus b - \varepsilon)_+ \lesssim pap \oplus qbq \lesssim pap + qbq \lesssim a + b.$$

By (b) of Proposition 2.3,  $a + b \sim a \oplus b$ . ■

The following lemma is a generalization of Lemma 3.3.6 in [3].

LEMMA 2.5. If  $B \subset A_+$  is a subset of a  $C^*$ -algebra  $A$ , and  $p$  is a projection in the ideal generated by  $B$ , then there are  $x_1, \dots, x_k$  in  $A$ , and  $a_1, \dots, a_k$  in  $B$  such that

$$p = \sum_{i=1}^k x_i a_i x_i^*.$$

*Proof.* There are  $y_1, \dots, y_k$  and  $z_1, \dots, z_k$  in  $A$  such that

$$\left\| \sum_{i=1}^k y_i a_i z_i - p \right\| < \frac{1}{2}.$$

Let  $b = p \sum_{i=1}^k y_i a_i z_i p$ . Then  $b$  is invertible in  $pAp$ . So  $p = \sum_{i=1}^k b^{-1} y_i a_i z_i p$ , where the inverse is taken in  $pAp$ . To save notation, we obtain  $g_1, \dots, g_k$  and  $f_1, \dots, f_k$  such that  $p = \sum_{i=1}^k g_i a_i f_i$ . Set

$$g = \begin{pmatrix} g_1 & g_2 & \cdots & g_k \\ 0 & 0 & \cdots & 0 \\ \cdots & \cdots & \cdots & \cdots \\ 0 & 0 & \cdots & 0 \end{pmatrix}, \quad f = \begin{pmatrix} f_1 & 0 & \cdots & 0 \\ f_2 & 0 & \cdots & 0 \\ \cdots & \cdots & \cdots & \cdots \\ f_k & 0 & \cdots & 0 \end{pmatrix},$$

$$e = \text{diag}(p, 0, \dots, 0), \quad \text{and} \quad a = \text{diag}(a_1, \dots, a_k).$$

Then  $e = gaf$  in  $M_k(A)$ . So  $e = egaff^*ag^*e$ . We have  $e \leq \|ff^*\|egaag^*e$ . Let  $c = egaag^*e$ . Then  $c$  has an inverse  $c^{-1}$  in  $eM_k(A)e = pAp$ . Note that

$$gaa g^* = \sum_{i=1}^k (g_i a^{1/2}) a (g_i a^{1/2})^*.$$

Therefore

$$p = \sum_{i=1}^k c^{-1/2} e(g_i a^{1/2}) a(g_i a^{1/2}) e c^{-1/2}.$$

Set  $x_i = c^{-1/2} e(g_i a^{1/2})$ . The lemma then follows. ■

*Proof of Theorem 1.3.* For any projection  $p$  in  $I$ , there is a projection  $q$  in  $M_n(I)$  for some positive integer  $n$ , such that  $[p]_0 \leq [q]_0$  and  $[q]_0$  belongs to  $\partial(K_1(A/I))$ . If  $q = 0$ , then  $p \in I(A)$ . We may assume that  $q \neq 0$ . Let  $u$  be a unitary in  $M_m(\tilde{A}/I)$  with  $\partial([u]_1) = [q]_0$ . By  $K$ -theory, there is a unitary  $w$  in  $M_{2m}(\tilde{A})$  such that  $\pi(w) = u \oplus u^*$ , where  $\pi$  is the quotient map from  $A$  to  $A/I$ . Then

$$\partial([u]_1) = [w(1_m \oplus 0_m)w^*]_0 - [1_m \oplus 0_m]_0.$$

Therefore

$$[w(1_m \oplus 0_m)w^* \oplus 0_n]_0 = [1_m \oplus 0_m \oplus q]_0.$$

So there are integer  $r, s$  and a unitary  $v$  in  $M_{2m+n+r+s}(\tilde{I})$  such that

$$v(w(1_m \oplus 0_m)w^* \oplus 0_n \oplus 1_r \oplus 0_s)v^* = 1_m \oplus 0_m \oplus q \oplus 1_r \oplus 0_s.$$

Let  $R = v(w(1_m \oplus 0_m) \oplus 0_n \oplus 1_r \oplus 0_s)$  in  $M_{2m+n+r+s}(\tilde{A})$ , then  $R$  is cohyponormal partial isometry and  $RR^* - R^*R = 0_{2m} \oplus q \oplus 0_{r+s}$  belongs to  $I(M_{2m+n+r+s}(\tilde{A}))$ . By Lemma 2.2,  $q$  belongs to  $I(M_n(A))$ . Since  $[p]_0 \leq [q]_0$ , there is a projection  $p'$  in  $M_l(I)$  such that  $[p]_0 + [p']_0 = [q]_0$ , without loss of generality, we may assume that  $p \oplus p'$  and  $q$  belong to  $M_k(I)$ . There are integers  $i, j$  and a unitary  $x$  in  $M_{k+i+j}(\tilde{I})$ , such that

$$p \oplus p' \oplus 1_i \oplus 0_j = x(q \oplus 1_i \oplus 0_j)x^*.$$

Let  $\pi'$  be the quotient map from  $\tilde{I}$  to  $\tilde{I}/I(A)$ . Then

$$\pi'(q \oplus 1_i \oplus 0_j) = 0_k \oplus 1_i \oplus 0_j,$$

and

$$\pi'(x(q \oplus 1_i \oplus 0_j)x^*) = \pi'(p \oplus p' \oplus 1_i \oplus 0_j) = \pi'(p \oplus p') \oplus 1_i \oplus 0_j.$$

Since  $\tilde{I}/I(A)$  is stably finite,  $\pi'(p \oplus p') = 0_k$ . So  $p \in I(M_k(A))$ . By Lemma 2.2,  $p \in I(A)$ . ■

*Proof of Theorem 1.5.* By Lemma 2.2(iii), without loss of generality, we may assume that  $I, A$  and  $A/I$  are stable. Note that  $J$  is the ideal of  $A$  generated by

$$C = \{q : \text{there is a hyponormal partial isometry } v \in A \text{ such that } vv^* - v^*v = q\}.$$

$J \subset I(A)$ . For any  $p \in J$ , by Lemma 2.5, there are projections  $q_1, \dots, q_k$  in  $C$  and there are  $x_1, \dots, x_k$  in  $A$  such that

$$p = \sum_{i=1}^k x_i q_i x_i^*.$$

By Lemma 2.4,

$$p \simeq \bigoplus_{i=1}^k x_i q_i x_i^* \simeq \bigoplus_{i=1}^k q_i.$$

So  $[p]_0 \leq \sum_{i=1}^k [q_i]_0$ . Note from the construction of  $C$ , that  $\sum_{i=1}^k [q_i]_0$  belongs to  $\partial(K_1(A/I)) \cap K_0(I)_+$ . ■

Finally, we end with the following question.

**QUESTION 2.6.** *Let  $A$  be a  $C^*$ -algebra which has real rank zero. For any  $x \in K_0(I(A))_+$ , is there an  $y$  in  $\partial(K_1(A/I(A))) \cap K_0(I(A))_+$  such that  $x \leq y$ ?*

*Acknowledgements.* This paper was supported by the National Natural Science Foundation of China (No.11001131) and the NUST Research Funding (No.2010ZYTS068).

#### REFERENCES

- [1] W. ARVESON, Notes on extensions of  $C^*$ -algebras, *Duke Math. J.* **44**(1977), 329–355.
- [2] B. BLACKADAR, *K-Theory for Operator Algebras*, Springer-Verlag, New York 1986.
- [3] H. LIN, *An Introduction to the Classification of Amenable  $C^*$ -Algebras*, World Sci., New Jersey-London-Singapore-Hong Kong 2001.
- [4] E. KIRCHBERG, M. RØRDAM, Non-simple purely infinite  $C^*$ -algebras, *Amer. J. Math.* **122**(2000), 637–666.
- [5] M. RØRDAM, On the structure of simple  $C^*$ -algebras tensored with an UHF-algebra. II, *J. Funct. Anal.* **107**(1992), 255–269.
- [6] J.S. SPIELBERG, Embedding  $C^*$ -algebra extensions into AF-algebras, *J. Funct. Anal.* **81**(1988), 325–344.
- [7] S. ZHANG,  $C^*$ -algebras with real rank zero and the internal structure of their corona and multiplier algebras. I, *Pacific J. Math.* **155**(1992), 169–197.

HONGLIANG YAO, SCHOOL OF SCIENCE, NANJING UNIVERSITY OF SCIENCE AND TECHNOLOGY, NANJING 210094, P. R. CHINA  
*E-mail address:* hlyao@mail.njust.edu.cn

Received May 13, 2009; revised June 30, 2009.